

GROWTH OF SiO₂ AT THE Sc₂O₃/Si(100) INTERFACE DURING ANNEALING

E. Romain¹, G. Botton², D. Landheer³, X. Wu³, M. Lee⁴ and Z.-H. Lu⁴

¹Institut National Polytechnique de Grenoble, Grenoble, France

²Brockhouse Institute of Materials Research, McMaster University, Hamilton, ON, Canada L8S 4M1

³Institute for Microstructural Sciences, National Research Council of Canada, Ottawa, ON, Canada K1A 0R6

⁴Materials Science Dept., University of Toronto, Toronto, ON, Canada M5S 3E4

Thermodynamic calculations suggest that Sc₂O₃ should be stable on Si at 1000 K, although the formation of silicates could not be ruled out due to the lack of free energy data¹. The measured dielectric constant², $\kappa=13$, also makes it a candidate replacement for SiO₂ as the gate insulator in future generations of CMOS devices. However, this material has not been extensively investigated. This paper describes the deposition and analysis of Sc₂O₃ layers on Si(100) by e-beam evaporation and the growth of the interfacial layer during subsequent annealing. The films were analysed by x-ray photoelectron spectroscopy (XPS), high resolution transmission electron microscopy (HRTEM), and other advanced TEM techniques.

The Si(100) substrates were given an HF last-RCA clean and introduced into the ultra high vacuum (UHV) system which consisted of a deposition chamber connected by a UHV tunnel to an XPS spectrometer. This had an Al K_α x-ray source in a standard 54° geometry. Films were deposited with a rod-fed e-beam evaporator using a pressed powder target of Sc₂O₃. The substrates were held at ambient temperature (20 °C) or heated to 500 °C using a quartz-halogen heater. Apart from hydrogen, the main gases liberated during the deposition, O₂ and CO, had partial pressures of $\sim 1\text{-}3 \times 10^{-6}$ Pa. During some depositions molecular oxygen was introduced into the chamber at a pressure of $1.0\text{-}1.3 \times 10^{-5}$ Torr (1.3-1.7 mPa).

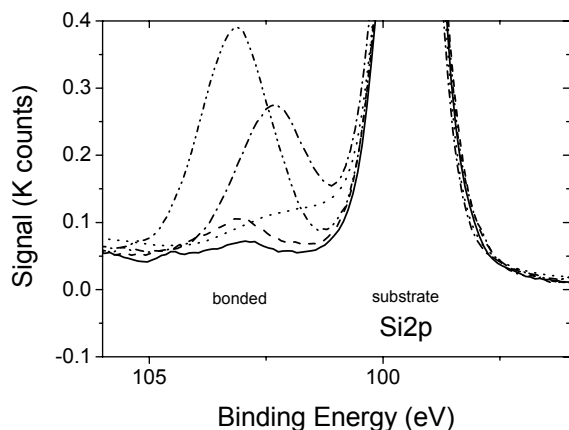


Figure 1. Si 2p XPS peak for Sc₂O₃ films: (—) deposited at 20 °C without O₂, (----) at 500 °C without O₂, (---) at 500 °C with 1.3 mPa O₂, (·-·-·) wafer deposited at 20 °C without O₂ exposed to air, (·-·-·) bare Si(100) heated in 1.3 mPa O₂ for 42 min.

Fig. 1 shows the Si 2p XPS peak of films 4.5-4.8 nm thick deposited at 20 °C or 500 °C with and without O₂ introduction. These spectra were used to determine a nominal thickness assuming the interfacial layer to be SiO₂. The Si 2p peaks were fit to the spectra using a single gaussian-lorenzian for the bonded peak and a doublet for the substrate peak. The areas were used to

calculate the thickness of an SiO₂ layer that would give rise to this Si 2p (bonded) feature using the standard expression and the parameters derived for SiO₂ by Lu *et al.*³. The sample deposited at ambient without O₂ (—) had a peak area corresponding to a nominal thickness of 0.08 nm of SiO₂. The films deposited in O₂ at 20 °C (----) and 500 °C (---) had a thickness of 0.4 and 0.6 nm, respectively. Also shown (·-·-·) in Fig. 1 is the spectrum for a bare silicon substrate annealed for 42 min in 1.3 mPa.

The film deposited without O₂ at 20 °C was brought out of the system for several hours and reintroduced for subsequent XPS analysis. The spectrum shown in Fig. 1 (·-·-·) indicated that the interfacial layer had grown to a thickness of 0.8 nm. Since a layer this thick would not be formed by oxidizing silicon in ambient O₂ or H₂O, it can be deduced that the film was formed by a catalytic process, most likely through the diffusion of atomic oxygen liberated through reaction with the Sc₂O₃ film. The HRTEM analysis of the cross-section of this film revealed it to be amorphous with an amorphous interfacial layer ~ 0.8 nm thick, in agreement with the XPS result.

The result of ex-situ annealing of these films in O₂ and N₂ will be discussed. Further analysis was done to determine the amount of Sc present in the interfacial layers.

Advanced techniques used for the characterization of these thin dielectric films will be presented and compared with results obtained on ultrathin Si oxynitride films. These include energy filtered transmission electron microscopy, near edge structures analysis, electron nanodiffraction and high angle annular dark field scanning transmission electron microscopy with near nanometer to 0.2 nm spatial resolution.

Acknowledgements

We are grateful to X. Tong, A. Duft, F. Pearson, and T. Quance for their expert technical support.

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