

FROM THE LAB TO THE FAB: TRANSISTORS TO INTEGRATED CIRCUITS

Howard R. Huff
International SEMATECH
Austin, TX 78741

Transistor action was experimentally observed by John Bardeen and Walter Brattain in n-type polycrystalline germanium on December 16, 1947 (and subsequently polycrystalline silicon) as a result of the judicious placement of gold-plated probe tips in nearby single crystal grains of the polycrystalline material (i.e., the point-contact semiconductor amplifier, often referred to as the point-contact transistor) [1-3]. The device configuration exploited the inversion layer as the channel through which most of the emitted (minority) carriers were presumed to be transported from the emitter to the collector. The point-contact transistor was manufactured for ten years starting in 1951 by the Western Electric Division of AT&T [4]. The *a priori* tuning of the point-contact transistor parameters, however, was not simple inasmuch as the device was dependent on the detailed surface structure and, therefore, very sensitive to humidity and temperature as well as exhibiting high noise levels. Accordingly, the devices differed significantly in their characteristics and electrical instabilities leading to "burnout" were not uncommon [5]. With the implementation of crystalline semiconductor materials in the early 1950s [3,6,7], however, p-n junction (bulk) transistors began replacing the point-contact transistor, silicon began replacing germanium [5,7] and the transfer of transistor technology from the lab to the fab accelerated.

We shall briefly review the historical route by which single crystalline materials were developed and the accompanying methodologies of bipolar transistor fabrication (i.e., grown junction, alloy and diffused). The oxide masking and photolithographic technique of Carl Frosch and Link Derick [8,9] and its embodiment in the mesa process, the utilization of the silicon oxide for the passivation of the silicon surface by Mohammed (John) Atalla and colleagues [10] and the development of the planar silicon transistor by Jean Hoerni (i.e., the planar process) [11-14] whereby the SiO₂ masking layer, utilized in the fabrication of diffused silicon transistors, was left in place for the passivation of p-n junctions intersecting the surface set the stage for MOSFET fabrication as well as a dielectric layer for supporting metallic conductor overlayers in the integrated circuit (IC) era.

The Si-SiO₂ diffusion technology, transferred from AT&T's Bell Telephone Laboratories (BTL) to Shockley Semiconductor to Fairchild Semiconductor Corporation and, hence, to the "Silicon Valley" led to the creation of the IC industry. The critical role of John Moll's laboratory at BTL in developing the oxidation, diffusion, lithography, aluminum metallization and thermocompression bonding for the fabrication of the junction transistors and silicon-controlled rectifier [15,16], in conjunction with Nick Holonyak [17], are reviewed.

The oxidation kinetics of silicon by Bruce Deal and Andy Grove [18], the explication of the charge and drift mechanisms in the Si-SiO₂ system by Deal et al. [19,20] and the role of Pieter Balk [21,22] in emphasizing the importance of post-deposition

hydrogen and nitrogen annealing are briefly discussed. The mesa and planar processes described above paved the way for the fabrication of the IC by Jack Kilby [23-26] (utilizing the mesa methodology) and Bob Noyce [27-29] (utilizing the planar procedure) and the subsequent microprocessor era; the critical interconnection differences between the two patents are clarified by Walt Runyan and Ken Bean [30].

The early years of the IC from the 256 bit to the 1 M DRAM are then reviewed [31], building on Bob Dennard's one transistor cell structure [32] and associated scaling methodology [33-35]. Gordon Moore's remarkably prescient assessment that the number of memory bits would double per year (now taken as about 18 months), enshrined as Moore's law, became the productivity criterion by which the IC industry grew at about a 25% compound annual growth rate [36-39]. More than just monitoring productivity, whether by staying on the productivity curve or increasing manufacturing effectiveness, however, is required. Rather, modeling productivity—the identification of new productivity measures—is now required [40].

Finally, potential directions for enhanced IC performance, per the International Technology Roadmap for Semiconductors (ITRS) [41], are briefly discussed. These include both carrier transport mechanisms in the channel using variously strained structures to enhance the carrier mobility and new MOSFET device configurations, including various vertical transistor configurations [42].

Acknowledgements

The author appreciates discussions with Kenneth Bean, Jim (James M.) Early, Nick Holonyak, Walter Runyan, Frederick Seitz and John L. Moll.

References

- [1] J. Bardeen and W. H. Brattain, The Transistor, A SemiConductor Triode, *Phys. Rev.*, **74**, 230-231 (1948)
- [2] W.H. Brattain and J. Bardeen, Nature of The Forward Current in Germanium Point Contacts, *Phys. Rev.*, **74**, 231-232 (1948)
- [3] H.R. Huff, John Bardeen and Transistor Physics, *Characterization and Metrology for ULSI Technology, 2000 International Conference*, editors), 3-29 (2001), AIP Press
- [4] I. Ross, The Invention of The Transistor, *Proc. IEEE*, **86**, 7-28 (1998)
- [5] I. Ross, The Foundation of The Silicon Age, *Physics Today*, Dec., 34-39 (1997)
- [6] G.K. Teal, W.R. Runyan, K.E. Bean and H.R. Huff, Semiconductor Materials in "Materials and Processes, Part A: Materials," (3rd ed.), 219-312 (1985), Marcel Dekker Publishers

- [7] H.R. Huff, An Electronics Division Retrospective (1952-2002) and Future Opportunities in The Twenty-First Century, *J. Electrochem. Soc.*, **149**, S35-S58 (2002)
- [8] C.J. Frosch and L. Derick, Surface Protection and Selective Masking Soc., **104**, 547-552 (1957)
- [9] C.J. Frosch, Silicon Diffusion Technology, *Transistor Technology*, **III**, (edited by F.J. Bondi) 90-99 (1958)
- [10] M.M. Atalla, E. Tannenbaum and E.J. Scheibner, Stabilization of Silicon Surfaces by Thermally Grown Oxides, *Bell Syst. Tech. J.*, **38**, 749-783 (1959)
- [11] J.A. Hoerni, Planar Silicon Transistors and Diodes, IRE Electron Dev. Mtg., Wash., D.C., Oct, 1960
- [12] J.A. Hoerni, Planar Silicon Devices and Transistors, *Trans. Electron Devices*, **ED-8**, 178 (1961)
- [13] V.H. Grinich and J.A. Hoerni, The Planar Transistor Family, Colloque International sur les Dispositifs Semiconductors, Paris, Feb. 1961
- [14] J. A. Hoerni, Method of Manufacturing Semiconductor Devices, U.S. Patent No. 3,025,589, Filed May 1, 1959, Issued March 20, 1962
- [15] J.L. Moll, Fifty Years of The Transistor: The Beginning of Silicon Technology, *1997 Symposium on VLSI Circuits*, 5-8 (1997)
- [16] J.L. Moll, M. Tanenbaum, J.M. Gldey and N. Holonyak, P-N-P-N Transistor Switches, *Proc. IRE*, **44**, 1174-1182 (1956)
- [17] N. Holonyak, Diffused Silicon Transistors and Switches (1954-55): The Beginning of Integrated Circuit Technology, (manuscript submitted to *ULSI Process Integration III*)
- [18] B.E. Deal and A.S. Grove, General Relationship For The Thermal Oxidation of Silicon, *J. Appl. Phys.*, **36**, 3770-3778 (1965)
- [19] B.E. Deal, M. Sklar, A.S. Grove and E.H. Snow, Characteristics of The Surface-State Charge (Q_{ss}) of Thermally Oxidized Silicon, *J. Electrochem. Soc.*, **114**, 266-274 (1967)
- [20] B.E. Deal, Standardized Terminology for Oxide Charges Associated With Thermally Oxidized Silicon, *J. Electrochem. Soc.*, **127**, 979-981 (1980)
- [21] P. Balk, Effects of Hydrogen Annealing on Silicon Surfaces, *Electrochemical Society Extended Abstracts of Electronics Division*, **14**, No. 1, Abst. 109, 237-240 (May, 1965); also abstracted in *J. Electrochem. Soc.*, **112**, Abst. 109, p. 69C, (1965)
- [22] P. Balk, Low Temperature Annealing in The Al-SiO₂-Si System, *Electrochemical Society Extended Abstracts of Electronics Division*, **14**, No. 2, Abst. 111, 29-32 (Oct, 1965); also abstracted in *J. Electrochem. Soc.*, **112**, Abst. 111, p. 185C, (1965)
- [23] J.S. Kilby, Miniaturized Electronic Circuits, U.S. Patent No. 3,138,743, Filed February 6, 1959, Issued June 23, 1964
- [24] J.S. Kilby, Invention of The Integrated Circuit, *IEEE Trans. Electron Devices*, **ED-23**, 648-654 (1976)
- [25] J.S. Kilby, Origins of The Integrated Circuit, *Semiconductor Silicon/1998*, 342-349 (1998)
- [26] J.S. Kilby, The Electrical Century: The Integrated Circuit's Early History, *Proc. IEEE*, **88**, 109-111 (2000)
- [27] R.N. Noyce, Semiconductor Device-And-Lead Structure, U.S. Patent No. 2,981, 877, Filed July 30, 1959, Issued April 25, 1961
- [28] R.N. Noyce, Large-Scale Integration: What is Yet to Come?, *Science*, **195**, 1102-1106 (1977)
- [29] R.N. Noyce, Microelectronics, *Scientific American*, **237**, No.3, 62-69 (1977)
- [30] W.R. Runyan and K.E. Bean, *Semiconductor Integrated Circuit Processing Technology*, (1990) Addison Wesley
- [31] C.-T. Sah, Evolution of the MOS Transistor—From Conception to VLSI, *Proc. IEEE*, **76**, 1280-1326 (1988)
- [32] R.H. Dennard, U.S. Patent No. 3,387,286, Issued 1968
- [33] R.H. Dennard, F.H. Gaensslen, H.-N. Yu, V.L. Rideout, E. Bassous and A.R. LeBlanc, Design of Ion-Implanted MOSFET's With Very Small Physical Dimensions, *IEEE J. Solid-State Circuits*, **SC-9**, 256-268 (1974)
- [34] R.H. Dennard, Evolution of The MOSFET Dynamic RAM—A Personal View, *IEEE Trans. Electron Dev.*, **ED-31**, 1549-1555 (1984)
- [35] R.H. Dennard, Scaling Challenges For DRAM and Microprocessors in The 21st Century, *ULSI Science and Technology/1997*, (edited by H.Z. Massoud, H. Iwai, C. Claeys and R.B. Fair), 519-532 (1997)
- [36] G.E. Moore, The Future of Integrated Electronics, Fairchild Report (1964)
- [37] G.E. Moore, Cramming More Components Onto Integrated Circuits, *Electronics*, **38**, No. 8, 114-117 (1965)
- [38] G.E. Moore, Progress in Digital Integrated Electronics, *IEDM*, 11-13 (1975)
- [39] G.E. Moore, Lithography and The Future of Moore's Law, *SPIE* **2438**, 2-17 (1995)
- [40] R. Goodall, D. Fandel, A. Allan, P. Landler and H.R. Huff, Long-Term Productivity Mechanisms of The Semiconductor Industry, *Semiconductor Silicon/2002*, **ECS PV 2002-2**, 125-143 (2002)
- [41] International Technology Roadmap for Semiconductors (ITRS), 2001 Edition, Dec, 2001, Semiconductor Industry Association, 181 Metro Drive, Suite 450, San Jose, CA 95110
www.itrs.net/1999_SIA_Roadmap/Home.htm
- [42] P.M. Zeitzoff, J.A. Hutchby and H.R. Huff, MOSFET and Front-End Process Integration: Scaling Trends, Challenges and Potential Solutions Through The End of The Roadmap, (to be published in the *International Journal of High Speed Electronics and Systems*)