WithumSmith+Brown, PC
Certified Public Accountants and Consultants
New Jersey, New York, Pennsylvania, Maryland, Florida, and Colorado

THE ELECTROCHEMICAL SOCIETY, INC.
65 S MAIN ST
PENNINGTON, NJ 08534-2839

DEAR CLIENT,

ENCLOSED ARE THE ORIGINAL AND ONE COPY OF YOUR INCOME TAX RETURNS FOR THE PERIOD ENDED DECEMBER 31, 2013 FOR:

THE ELECTROCHEMICAL SOCIETY, INC. AS FOLLOWS...

2013 990 - RETURN OF ORGANIZATION EXEMPT FROM INCOME TAX
2013 990-T - EXEMPT ORGANIZATION BUSINESS INCOME TAX RETURN
2013 8879-EO - IRS E-FILE SIGNATURE AUTHORIZATION
2013 NEW JERSEY FORM CRI-300R - LONG FORM RENEWAL STATEMENT

EACH ORIGINAL SHOULD BE DATED, SIGNED AND FILED IN ACCORDANCE WITH THE FILING INSTRUCTIONS. THE COPY SHOULD BE RETAINED FOR YOUR FILES.

THE ENCLOSED RETURNS WERE PREPARED PRIMARILY FROM DATA AND INFORMATION WHICH YOU SUBMITTED. YOU SHOULD REVIEW THE RETURNS TO ENSURE THAT THERE ARE NO OMISSIONS OR MISSTATEMENTS.

VERY TRULY YOURS,

WITHUM SMITH + BROWN, PC

PAUL V GERGEL

5 Vaughn Drive | Princeton, NJ 08540-6313 USA • 609 520 1188 . fax 609 520 9882 • www.withum.com

XL063 9.000

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Certified Public Accountants and Consultants
New Jersey, New York, Pennsylvania, Maryland, Florida, and Colorado

INSTRUCTIONS FOR FILING
THE ELECTROCHEMICAL SOCIETY, INC.
FORM 8879-EO - IRS E-FILE SIGNATURE AUTHORIZATION
FOR THE PERIOD ENDED DECEMBER 31, 2013

****

SIGNATURE...
THE ORIGINAL IRS E-FILE SIGNATURE AUTHORIZATION FORM SHOULD BE SIGNED (USE FULL NAME) AND DATED BY THE TAXPAYER.

FILING...
RETURN YOUR SIGNED FORM 8879-EO TO:

WITHUM SMITH + BROWN PC
5 VAUGHN DR
PRINCETON NJ 08540-6313

PAYMENT OF TAX...
NO PAYMENT OF TAX IS REQUIRED.

FORM 8879-EO SERVES AS A REPLACEMENT FOR YOUR SIGNATURE THAT WOULD BE AFFIXED TO FORM 990 IF YOU PAPER FILED YOUR RETURN.
PLEASE DO NOT SEPARATELY FILE FORM 990 WITH THE INTERNAL REVENUE SERVICE. DOING SO WILL DELAY THE PROCESSING OF YOUR RETURN.

WE MUST RECEIVE YOUR SIGNED FORM BEFORE WE CAN ELECTRONICALLY TRANSMIT YOUR RETURN WHICH IS DUE ON AUGUST 15, 2014. WE WOULD APPRECIATE YOUR RETURNING THIS FORM AS SOON AS POSSIBLE AS THIS WILL EXPEDITE THE PROCESSING OF YOUR RETURN. THE INTERNAL REVENUE SERVICE WILL NOTIFY US WHEN YOUR RETURN IS ACCEPTED. YOUR RETURN IS NOT CONSIDERED FILED UNTIL THE INTERNAL REVENUE SERVICE CONFIRMS THEIR ACCEPTANCE, WHICH MAY OCCUR AFTER THE DUE DATE OF YOUR RETURN.

****

Form **8879-EO**

Department of the Treasury
Internal Revenue Service

IRS *e-file* Signature Authorization for an Exempt Organization

For calendar year 2013, or fiscal year beginning _________ , 2013, and ending _________ , 20 ____

▶ **Do not send to the IRS. Keep for your records.**

▶ **Information about Form 8879-EO and its instructions is at *www.irs.gov/form8879eo.***

OMB No. 1545-1878

2013

Name of exempt organization: THE ELECTROCHEMICAL SOCIETY, INC.

Employer identification number: 13-1771269

Name and title of officer: PAUL GROTE, DIRECTOR OF FINANCE

Part I Type of Return and Return Information (Whole Dollars Only)

Check the box for the return for which you are using this Form 8879-EO and enter the applicable amount, if any, from the return. If you check the box on line **1a, 2a, 3a, 4a,** or **5a,** below, and the amount on that line for the return being filed with this form was blank, then leave line **1b, 2b, 3b, 4b,** or **5b,** whichever is applicable, blank (do not enter -0-). But, if you entered -0- on the return, then enter -0- on the applicable line below. **Do not** complete more than 1 line in Part I.

1a	Form 990 check here ▶	X	**b Total revenue,** if any (Form 990, Part VIII, column (A), line 12)	1b	6,430,072.
2a	Form 990-EZ check here ▶		**b Total revenue,** if any (Form 990-EZ, line 9)	2b	
3a	Form 1120-POL check here ▶		**b Total tax** (Form 1120-POL, line 22)	3b	
4a	Form 990-PF check here ▶		**b Tax based on investment income** (Form 990-PF, Part VI, line 5)	4b	
5a	Form 8868 check here ▶		**b Balance Due** (Form 8868, Part I, line 3c or Part II, line 8c)	5b	

Part II Declaration and Signature Authorization of Officer

Under penalties of perjury, I declare that I am an officer of the above organization and that I have examined a copy of the organization's 2013 electronic return and accompanying schedules and statements and to the best of my knowledge and belief, they are true, correct, and complete. I further declare that the amount in Part I above is the amount shown on the copy of the organization's electronic return. I consent to allow my intermediate service provider, transmitter, or electronic return originator (ERO) to send the organization's return to the IRS and to receive from the IRS **(a)** an acknowledgement of receipt or reason for rejection of the transmission, **(b)** the reason for any delay in processing the return or refund, and **(c)** the date of any refund. If applicable, I authorize the U.S. Treasury and its designated Financial Agent to initiate an electronic funds withdrawal (direct debit) entry to the financial institution account indicated in the tax preparation software for payment of the organization's federal taxes owed on this return, and the financial institution to debit the entry to this account. To revoke a payment, I must contact the U.S. Treasury Financial Agent at 1-888-353-4537 no later than 2 business days prior to the payment (settlement) date. I also authorize the financial institutions involved in the processing of the electronic payment of taxes to receive confidential information necessary to answer inquiries and resolve issues related to the payment. I have selected a personal identification number (PIN) as my signature for the organization's electronic return and, if applicable, the organization's consent to electronic funds withdrawal.

Officer's PIN: check one box only

[X] I authorize WITHUM SMITH + BROWN PC (**ERO firm name**) to enter my PIN 2 6 2 5 5 (**Enter five numbers, but do not enter all zeros**) as my signature on the organization's tax year 2013 electronically filed return. If I have indicated within this return that a copy of the return is being filed with a state agency(ies) regulating charities as part of the IRS Fed/State program, I also authorize the aforementioned ERO to enter my PIN on the return's disclosure consent screen.

[ ] As an officer of the organization, I will enter my PIN as my signature on the organization's tax year 2013 electronically filed return. If I have indicated within this return that a copy of the return is being filed with a state agency(ies) regulating charities as part of the IRS Fed/State program, I will enter my PIN on the return's disclosure consent screen.

Officer's signature ▶ Date ▶ 05/15/2014

Part III Certification and Authentication

ERO's EFIN/PIN. Enter your six-digit electronic filing identification number (EFIN) followed by your five-digit self-selected PIN. 2 2 0 0 6 2 0 8 5 4 0

do not enter all zeros

I certify that the above numeric entry is my PIN, which is my signature on the 2013 electronically filed return for the organization indicated above. I confirm that I am submitting this return in accordance with the requirements of **Pub. 4163,** Modernized e-File (MeF) Information for Authorized IRS *e-file* Providers for Business Returns.

ERO's signature ▶ Date ▶ 05/15/2014

ERO Must Retain This Form - See Instructions
Do Not Submit This Form To the IRS Unless Requested To Do So

Form **990** Department of the Treasury Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990 and its instructions is at *www.irs.gov/form990.*

OMB No. 1545-0047 **2013** **Open to Public Inspection**

A For the 2013 calendar year, or tax year beginning , 2013, and ending , 20

B Check if applicable: ☐ Address change ☐ Name change ☐ Initial return ☐ Terminated ☐ Amended return ☐ Application pending

C Name of organization: THE ELECTROCHEMICAL SOCIETY, INC.

Doing Business As

Number and street (or P.O. box if mail is not delivered to street address): 65 S MAIN ST | Room/suite

City or town, state or province, country, and ZIP or foreign postal code: PENNINGTON, NJ 08534-2839

D Employer identification number 13-1771269

E Telephone number (609) 737-1902

G Gross receipts $ 9,358,535.

F Name and address of principal officer: ROQUE CALVO 65 S MAIN ST PENNINGTON, NJ 08534-2839

H(a) Is this a group return for subordinates? ☐ Yes ☒ No

H(b) Are all subordinates included? ☐ Yes ☐ No If "No," attach a list. (see instructions)

H(c) Group exemption number ▶

I Tax-exempt status: ☒ 501(c)(3) ☐ 501(c) ( ) ◀ (insert no.) ☐ 4947(a)(1) or ☐ 527

J Website: ▶ WWW.ELECTROCHEM.ORG

K Form of organization: ☒ Corporation ☐ Trust ☐ Association ☐ Other ▶

L Year of formation: 1902 **M** State of legal domicile: NJ

Part I Summary

Activities & Governance

1 Briefly describe the organization's mission or most significant activities: ADVANCE THEORY & PRACTICE OF ELECTROCHEM& SOLID STATE SCIENCE, ETC. AND TO ENCOURAGE RESEARCH,DISCUSSION, CRITICAL ASSESSMENT, & DISSEMINATION OF KNOWLEDGE IN THESE FIELDS.

2 Check this box ▶ ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets.

3	Number of voting members of the governing body (Part VI, line 1a)	3	23.
4	Number of independent voting members of the governing body (Part VI, line 1b)	4	23.
5	Total number of individuals employed in calendar year 2013 (Part V, line 2a)	5	29.
6	Total number of volunteers (estimate if necessary)	6	500.
7a	Total unrelated business revenue from Part VIII, column (C), line 12	7a	117,428.
b	Net unrelated business taxable income from Form 990-T, line 34	7b	-24,158.

			Prior Year	Current Year
Revenue	8	Contributions and grants (Part VIII, line 1h)	23,696.	81,432.
	9	Program service revenue (Part VIII, line 2g)	6,059,314.	5,326,171.
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	268,591.	1,019,234.
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	96,708.	3,235.
	12	Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	6,448,309.	6,430,072.
Expenses	13	Grants and similar amounts paid (Part IX, column (A), lines 1-3)	58,185.	43,051.
	14	Benefits paid to or for members (Part IX, column (A), line 4)	0	0
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	2,299,573.	2,100,893.
	16a	Professional fundraising fees (Part IX, column (A), line 11e)	0	0
	b	Total fundraising expenses (Part IX, column (D), line 25) ▶ 27,549.		
	17	Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	3,566,861.	3,608,105.
	18	Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	5,924,619.	5,752,049.
	19	Revenue less expenses. Subtract line 18 from line 12	523,690.	678,023.

			Beginning of Current Year	End of Year
Net Assets or Fund Balances	20	Total assets (Part X, line 16)	15,671,229.	16,913,851.
	21	Total liabilities (Part X, line 26)	2,468,456.	2,738,804.
	22	Net assets or fund balances. Subtract line 21 from line 20	13,202,773.	14,175,047.

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here ▶ Signature of officer | Date

▶ Type or print name and title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
				P00116563

Firm's name ▶ WITHUM SMITH + BROWN PC | Firm's EIN ▶ 22-2027092

Firm's address ▶ 5 VAUGHN DR PRINCETON, NJ 08540-6313 | Phone no. 609-520-1188

May the IRS discuss this return with the preparer shown above? (see instructions) ☒ Yes ☐ No

For Paperwork Reduction Act Notice, see the separate instructions. Form **990** (2013)

Form **8868** (Rev. January 2014)

Department of the Treasury Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return.
▶ Information about Form 8868 and its instructions is at *www.irs.gov/form8868*.

OMB No. 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box . . . ▶ [X]
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing *(e-file)*. You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit *www.irs.gov/efile* and click on *e-file for Charities & Nonprofits.*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only . . . ▶ [ ]

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Enter filer's identifying number, see instructions

Type or print

File by the due date for filing your return. See instructions.

Name of exempt organization or other filer, see instructions.
THE ELECTROCHEMICAL SOCIETY, INC.

Employer identification number (EIN) or
13-1771269

Number, street, and room or suite no. If a P.O. box, see instructions.
65 S MAIN ST

Social security number (SSN)

City, town or post office, state, and ZIP code. For a foreign address, see instructions.
PENNINGTON, NJ 08534-2839

Enter the Return code for the return that this application is for (file a separate application for each return) . . . 0 1

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ PAUL GROTE

Telephone No. ▶ 609 737-1902 FAX No. ▶ 609 737-2743

- If the organization does not have an office or place of business in the United States, check this box . . . ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ . If this is for the whole group, check this box . . . ▶ [ ] . If it is for part of the group, check this box . . . ▶ [ ] and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15, 20 14, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

▶ [X] calendar year 20 13 or

▶ [ ] tax year beginning ______, 20___, and ending ______, 20___.

2 If the tax year entered in line 1 is for less than 12 months, check reason: [ ] Initial return [ ] Final return [ ] Change in accounting period

3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	**3a**	$ 0
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	**3b**	$ 0
c	**Balance due.** Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	**3c**	$ 0

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

For Privacy Act and Paperwork Reduction Act Notice, see instructions.

Part III **Statement of Program Service Accomplishments**

Check if Schedule O contains a response or note to any line in this Part III . . . . [X]

1 Briefly describe the organization's mission:

ATTACHMENT 1

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? . . . . [ ] **Yes** [X] **No**

If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? . . . . [ ] **Yes** [X] **No**

If "Yes," describe these changes on Schedule O.

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code:) (Expenses $ 2,127,074. including grants of $) (Revenue $ 2,660,730.)

PUBLISH 4 PEER REVIEW JOURNALS, SYMPOSIUM VOLUMES, MEETING ABSTRACTS, MEMBER MAGAZINES AND MONOGRAPH VOLUMES. ALL PUBLICATIONS WITH THE EXCEPTION OF MONOGRAPH VOLUMES ARE AVAILABLE IN DIGITAL FORM AND AVAILABLE IN THE ECS DIGITAL LIBRARY. CIRCULATE MONTHLY JOURNALS AND QUARTERLY MAGAZINE TO SUBSCRIBERS AND MEMBERS, PUBLISH HARDBOUND AND SOFTBOUND VOLUMES FOR SALE.

4b (Code:) (Expenses $ 183,396. including grants of $) (Revenue $ 529,990.)

MEMBERSHIP: COLLECT ANNUAL DUES FROM FOREIGN AND DOMESTIC MEMBERS. ECS MEMBERSHIP IN 2013 TOTALLED 6,385: 4,549 ACTIVE, 1,646 STUDENT & 190 INSTITUTIONAL REPRESENTATIVES.

4c (Code:) (Expenses $ 1,719,343. including grants of $) (Revenue $ 2,072,274.)

SOCIETY MEETINGS: SPONSOR BI-ANNUAL LARGE-SCALE CONVENTIONS ATTENDANCE FROM MEMBERS, NONMEMBERS AND EXHIBITORS AS WELL PERIODIC SATELLITE MEETINGS. ATTENDANCE AT FALL MEETING WAS 3,130. ATTENDANCE AT SPRING MEETING WAS 1,481.

4d Other program services (Describe in Schedule O.) ATTACHMENT 2

(Expenses $ 771,499. including grants of $ 43,051.) (Revenue $ 78,137.)

4e Total program service expenses ▶ 4,801,312.

Part IV Checklist of Required Schedules

			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? *If "Yes," complete Schedule A*	1	X	
2	Is the organization required to complete *Schedule B, Schedule of Contributors*(see instructions)?	2	X	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? *If "Yes," complete Schedule C, Part I*	3		X
4	**Section 501(c)(3) organizations.** Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? *If "Yes," complete Schedule C, Part II*	4	X	
5	Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? *If "Yes," complete Schedule C, Part III*	5		X
6	Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? *If "Yes," complete Schedule D, Part I*	6		X
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? *If "Yes," complete Schedule D, Part II*	7		X
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? *If "Yes," complete Schedule D, Part III*	8		X
9	Did the organization report an amount in Part X, line 21, for escrow or custodial account liability; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? *If "Yes," complete Schedule D, Part IV*	9	X	
10	Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? *If "Yes," complete Schedule D, Part V*	10	X	
11	If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.			
a	Did the organization report an amount for land, buildings, and equipment in Part X, line 10? *If "Yes," complete Schedule D, Part VI*	11a	X	
b	Did the organization report an amount for investments-other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VII*	11b		X
c	Did the organization report an amount for investments-program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VIII*	11c		X
d	Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part IX*	11d		X
e	Did the organization report an amount for other liabilities in Part X, line 25? *If "Yes," complete Schedule D, Part X*	11e	X	
f	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? *If "Yes," complete Schedule D, Part X*	11f	X	
12a	Did the organization obtain separate, independent audited financial statements for the tax year? *If "Yes," complete Schedule D, Parts XI and XII*	12a		X
b	Was the organization included in consolidated, independent audited financial statements for the tax year? *If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional*	12b	X	
13	Is the organization a school described in section 170(b)(1)(A)(ii)? *If "Yes," complete Schedule E*	13		X
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a	X	
b	Did the organization have aggregate revenues or expenses of more than $10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at $100,000 or more? *If "Yes," complete Schedule F, Parts I and IV*	14b	X	
15	Did the organization report on Part IX, column (A), line 3, more than $5,000 of grants or other assistance to or for any foreign organization? *If "Yes," complete Schedule F, Parts II and IV*	15		X
16	Did the organization report on Part IX, column (A), line 3, more than $5,000 of aggregate grants or other assistance to or for foreign individuals? *If "Yes," complete Schedule F, Parts III and IV*	16	X	
17	Did the organization report a total of more than $15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? *If "Yes," complete Schedule G, Part I (see instructions)*	17		X
18	Did the organization report more than $15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? *If "Yes," complete Schedule G, Part II*	18		X
19	Did the organization report more than $15,000 of gross income from gaming activities on Part VIII, line 9a? *If "Yes," complete Schedule G, Part III*	19		X
20a	Did the organization operate one or more hospital facilities? *If "Yes," complete Schedule H*	20a		X
b	If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b		

Part IV Checklist of Required Schedules *(continued)*

			Yes	No
21	Did the organization report more than $5,000 of grants or other assistance to any domestic organization or government on Part IX, column (A), line 1? *If "Yes," complete Schedule I, Parts I and II*	21		X
22	Did the organization report more than $5,000 of grants or other assistance to individuals in the United States on Part IX, column (A), line 2? *If "Yes," complete Schedule I, Parts I and III*	22	X	
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? *If "Yes," complete Schedule J*	23	X	
24 a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than $100,000 as of the last day of the year, that was issued after December 31, 2002? *If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a*	24a		X
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
25 a	**Section 501(c)(3) and 501(c)(4) organizations.** Did the organization engage in an excess benefit transaction with a disqualified person during the year? *If "Yes," complete Schedule L, Part I*	25a		X
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? *If "Yes," complete Schedule L, Part I*	25b		X
26	Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payable to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? If so, complete Schedule L, Part II	26		X
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? *If "Yes," complete Schedule L, Part III*	27		X
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):			
a	A current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28a		X
b	A family member of a current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28b		X
c	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? *If "Yes," complete Schedule L, Part IV*	28c		X
29	Did the organization receive more than $25,000 in non-cash contributions? *If "Yes," complete Schedule M*	29		X
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? *If "Yes," complete Schedule M*	30		X
31	Did the organization liquidate, terminate, or dissolve and cease operations? *If "Yes," complete Schedule N, Part I*	31		X
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? *If "Yes," complete Schedule N, Part II*	32		X
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? *If "Yes," complete Schedule R, Part I*	33	X	
34	Was the organization related to any tax-exempt or taxable entity? *If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1*	34	X	
35 a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a		X
b	If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? *If "Yes," complete Schedule R, Part V, line 2*	35b		
36	**Section 501(c)(3) organizations.** Did the organization make any transfers to an exempt non-charitable related organization? *If "Yes," complete Schedule R, Part V, line 2*	36		X
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? *If "Yes," complete Schedule R, Part VI*	37		X
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? **Note.** All Form 990 filers are required to complete Schedule O	38	X	

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V . . . . . ☐

				Yes	No
1a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable	1a	22		
b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable	1b	0		
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?		1c	X	
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	2a	29		
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns?		2b	X	
	Note. If the sum of lines 1a and 2a is greater than 250, you may be required to *e-file* (see instructions)				
3a	Did the organization have unrelated business gross income of $1,000 or more during the year?		3a	X	
b	If "Yes," has it filed a Form 990-T for this year? *If "No" to line 3b, provide an explanation in Schedule O*		3b	X	
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?		4a	X	
b	If "Yes," enter the name of the foreign country: ▶ JAPAN				
	See instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.				
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?		5a		X
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?		5b		X
c	If "Yes" to line 5a or 5b, did the organization file Form 8886-T?		5c		
6a	Does the organization have annual gross receipts that are normally greater than $100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?		6a		X
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?		6b		
7	**Organizations that may receive deductible contributions under section 170(c).**				
a	Did the organization receive a payment in excess of $75 made partly as a contribution and partly for goods and services provided to the payor?		7a		X
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?		7b		
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?		7c		X
d	If "Yes," indicate the number of Forms 8282 filed during the year	7d			
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		7e		X
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		7f		X
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?		7g		
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?		7h		
8	**Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations.** Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?		8		
9	**Sponsoring organizations maintaining donor advised funds.**				
a	Did the organization make any taxable distributions under section 4966?		9a		
b	Did the organization make a distribution to a donor, donor advisor, or related person?		9b		
10	**Section 501(c)(7) organizations.** Enter:				
a	Initiation fees and capital contributions included on Part VIII, line 12	10a			
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b			
11	**Section 501(c)(12) organizations.** Enter:				
a	Gross income from members or shareholders	11a			
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)	11b			
12a	**Section 4947(a)(1) non-exempt charitable trusts.** Is the organization filing Form 990 in lieu of Form 1041?		12a		
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b			
13	**Section 501(c)(29) qualified nonprofit health insurance issuers.**				
a	Is the organization licensed to issue qualified health plans in more than one state?		13a		
	Note. See the instructions for additional information the organization must report on Schedule O.				
b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	13b			
c	Enter the amount of reserves on hand	13c			
14a	Did the organization receive any payments for indoor tanning services during the tax year?		14a		X
b	If "Yes," has it filed a Form 720 to report these payments? *If "No," provide an explanation in Schedule O*		14b		

Part VI **Governance, Management, and Disclosure** *For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.*

Check if Schedule O contains a response or note to any line in this Part VI . . . . . . [X]

Section A. Governing Body and Management

				Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year	1a	23		
	If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.				
b	Enter the number of voting members included in line 1a, above, who are independent	1b	23		
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2			X
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person? . .	3			X
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?	4			X
5	Did the organization become aware during the year of a significant diversion of the organization's assets?	5			X
6	Did the organization have members or stockholders?	6		X	
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?	7a		X	
b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?	7b			X
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:				
a	The governing body?	8a		X	
b	Each committee with authority to act on behalf of the governing body?	8b		X	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? *If "Yes," provide the names and addresses in Schedule O*	9			X

Section B. Policies *(This Section B requests information about policies not required by the Internal Revenue Code.)*

			Yes	No
10a	Did the organization have local chapters, branches, or affiliates?	10a	X	
b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes? . . .	10b	X	
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form? .	11a	X	
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990.			
12a	Did the organization have a written conflict of interest policy? *If "No," go to line 13*	12a	X	
b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	X	
c	Did the organization regularly and consistently monitor and enforce compliance with the policy? *If "Yes," describe in Schedule O how this was done*	12c	X	
13	Did the organization have a written whistleblower policy?	13	X	
14	Did the organization have a written document retention and destruction policy?	14	X	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?			
a	The organization's CEO, Executive Director, or top management official	15a	X	
b	Other officers or key employees of the organization	15b	X	
	If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a		X
b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	16b		

Section C. Disclosure

17 List the states with which a copy of this Form 990 is required to be filed ▶ NJ,

18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.

[X] Own website [ ] Another's website [X] Upon request [ ] Other *(explain in Schedule O)*

19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization: ▶ PAUL GROTE 65 S MAIN ST PENNINGTON, NJ 08534-2839 609-737-1902

Part VII **Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

Check if Schedule O contains a response or note to any line in this Part VII . . . . [X]

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than $100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than $100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than $10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

[ ] Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position: Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
(1) ROQUE J CALVO EXEC DIRECTOR (1991-PRESENT)	37.50	X		X				249,839.	0	43,687.
(2) TETSUYA OSAKA PRESIDENT	1.00	X		X				0	0	0
(3) LLOYD GEORGE NONPROFIT FIN. PROF. THRU 2013	1.00	X						0	0	0
(4) JEAN ST-PIERRE BOARD MEMBER THRU 2013	1.00	X						0	0	0
(5) CHRISTINA BOCK TREASURER	1.00	X		X				0	0	0
(6) SHELLEY MINTEER BOARD MEMBER THRU 2013	1.00	X						0	0	0
(7) PABLO CHANG BOARD MEMBER THRU 2013	1.00	X						0	0	0
(8) JEFFREY FERGUS BOARD MEMBER THRU 2013	1.00	X						0	0	0
(9) HARIKLIA DELIGIANNI SECRETARY	1.00	X		X				0	0	0
(10) PAUL KOHL SR VICE PRESIDENT	1.00	X		X				0	0	0
(11) DANIEL SCHERSON 2ND VICE PRESIDENT	1.00	X		X				0	0	0
(12) GERARDINE BOTTE BOARD MEMBER	1.00	X						0	0	0
(13) JAMES BURGESS BOARD MEMBER	1.00	X						0	0	0
(14) MICHAEL CARTER BOARD MEMBER	1.00	X						0	0	0

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees *(continued)*

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position: Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
15) JOHN COLLINS BOARD MEMBER THRU 2013	1.00	X						0	0	0
16) SHINJI FUJIMOTO BOARD MEMBER	1.00	X						0	0	0
17) OANA LEONTE BOARD MEMBER	1.00	X						0	0	0
18) BOR YANN LIAW BOARD MEMBER	1.00	X						0	0	0
19) R. WEISMAN BOARD MEMBER	1.00	X						0	0	0
20) GIOVANNI ZANGARI BOARD MEMBER	1.00	X						0	0	0
21) KRISHNAN RAJESHWAR 3RD VICE PRESIDENT	1.00	X		X				0	0	0
22) ANDREW HOFF BOARD MEMBER	1.00	X						0	0	0
23) ROBERT MANTZ BOARD MEMBER	1.00	X						0	0	0
24) ANANT SETLUR BOARD MEMBER	1.00	X						0	0	0
25) ERIC WACHSMAN BOARD MEMBER	1.00	X						0	0	0
1b Sub-total ▶								249,839.	0	43,687.
c Total from continuation sheets to Part VII, Section A ▶								242,701.	0	69,370.
d Total (add lines 1b and 1c) ▶								492,540.	0	113,057.

2 Total number of individuals (including but not limited to those listed above) who received more than $100,000 of reportable compensation from the organization ▶ 2

		Yes	No
3 Did the organization list any **former** officer, director, or trustee, key employee, or highest compensated employee on line 1a? *If "Yes," complete Schedule J for such individual*	3		X
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? *If "Yes," complete Schedule J for such individual*	4	X	
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? *If "Yes," complete Schedule J for such person*	5		X

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
ATTACHMENT 3		

2 Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 in compensation from the organization ▶ 7

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees *(continued)*

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position: Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
26) ADAM WEBER BOARD MEMBER	1.00	X						0	0	0
27) XIAO-DONG ZHOU BOARD MEMBER	1.00	X						0	0	0
28) STUART SWIRSON NONPROFIT FINANCIAL PROF.	1.00	X						0	0	0
29) FERNANDO GARZON PAST PRESIDENT	1.00	X						0	0	0
30) ESTHER TAKEUCHI PAST PRESIDENT THRU 2013	1.00	X						0	0	0
31) PAUL GROTE DIRECTOR OF FINANCE	37.50			X				98,538.	0	30,827.
32) MARY YESS DEPUTY EXEC DIR	37.50					X		144,163.	0	38,543.

	(D)	(E)	(F)
1b Sub-total . . . . ▶			
c Total from continuation sheets to Part VII, Section A . . . ▶			
d Total (add lines 1b and 1c) . . . ▶			

2 Total number of individuals (including but not limited to those listed above) who received more than $100,000 of reportable compensation from the organization ▶ 2

		Yes	No
3 Did the organization list any **former** officer, director, or trustee, key employee, or highest compensated employee on line 1a? *If "Yes," complete Schedule J for such individual* . . .	3		X
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? *If "Yes," complete Schedule J for such individual* . . .	4	X	
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? *If "Yes," complete Schedule J for such person* . . .	5		X

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation

2 Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 in compensation from the organization ▶

Part VIII Statement of Revenue

Check if Schedule O contains a response or note to any line in this Part VIII X

				(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514
Contributions, Gifts, Grants and Other Similar Amounts							
1a	Federated campaigns	1a					
b	Membership dues	1b					
c	Fundraising events	1c					
d	Related organizations	1d					
e	Government grants (contributions)	1e					
f	All other contributions, gifts, grants, and similar amounts not included above	1f	81,432.				
g	Noncash contributions included in lines 1a-1f: $						
h	**Total.** Add lines 1a-1f		▶	81,432.			
Program Service Revenue			**Business Code**				
2a	MEMBERSHIP DUES		900099	529,990.	529,990.		
b	SOCIETY MEETINGS AND ACTIVITIES		900099	2,072,274.	2,072,274.		
c	SUBSCRIPTIONS		519100	2,660,730.	2,543,302.	117,428.	
d	CONSTITUENT PROGRAMS		900099	63,177.	63,177.		
e							
f	All other program service revenue						
g	**Total.** Add lines 2a-2f		▶	5,326,171.			
Other Revenue							
3	Investment income (including dividends, interest, and other similar amounts) ATTACHMENT 4		▶	336,362.			336,362.
4	Income from investment of tax-exempt bond proceeds		▶	0			
5	Royalties		▶	0			
		(i) Real	(ii) Personal				
6a	Gross rents	468,764.					
b	Less: rental expenses	480,489.					
c	Rental income or (loss)	-11,725.					
d	Net rental income or (loss)		▶	-11,725.			-11,725.
		(i) Securities	(ii) Other				
7a	Gross amount from sales of assets other than inventory	3,130,846.					
b	Less: cost or other basis and sales expenses	2,447,974.					
c	Gain or (loss)	682,872.					
d	Net gain or (loss)		▶	682,872.			682,872.
8a	Gross income from fundraising events (not including $ ______ of contributions reported on line 1c). See Part IV, line 18	a					
b	Less: direct expenses	b					
c	Net income or (loss) from fundraising events		▶	0			
9a	Gross income from gaming activities. See Part IV, line 19	a					
b	Less: direct expenses	b					
c	Net income or (loss) from gaming activities		▶	0			
10a	Gross sales of inventory, less returns and allowances	a					
b	Less: cost of goods sold	b					
c	Net income or (loss) from sales of inventory		▶	0			
	Miscellaneous Revenue		**Business Code**				
11a	MISCELLANEOUS		900099	14,960.	14,960.		
b							
c							
d	All other revenue						
e	**Total.** Add lines 11a-11d		▶	14,960.			
12	**Total revenue.** See instructions		▶	6,430,072.	5,223,703.	117,428.	1,007,509.

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX . . . . ☐

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the United States. See Part IV, line 21	0			
2 Grants and other assistance to individuals in the United States. See Part IV, line 22	36,051.	36,051.		
3 Grants and other assistance to governments, organizations, and individuals outside the United States. See Part IV, lines 15 and 16	7,000.	7,000.		
4 Benefits paid to or for members	0			
5 Compensation of current officers, directors, trustees, and key employees	605,597.	408,597.	197,000.	
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	0			
7 Other salaries and wages	1,078,068.	727,372.	350,696.	
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	70,674.	47,684.	22,990.	
9 Other employee benefits	212,420.	143,320.	69,100.	
10 Payroll taxes	134,134.	90,500.	43,634.	
11 Fees for services (non-employees):				
a Management	0			
b Legal	1,552.		1,552.	
c Accounting	32,124.		32,124.	
d Lobbying	0			
e Professional fundraising services. See Part IV, line 17	0			
f Investment management fees	0			
g Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O.)	72,082.	72,082.		
12 Advertising and promotion	4,613.	4,613.		
13 Office expenses	343,591.	262,246.	81,345.	
14 Information technology	248,307.	167,533.	80,774.	
15 Royalties	0			
16 Occupancy	33,661.	26,120.	7,541.	
17 Travel	190,187.	156,556.	33,631.	
18 Payments of travel or entertainment expenses for any federal, state, or local public officials	0			
19 Conferences, conventions, and meetings	1,001,880.	1,001,880.		
20 Interest	0			
21 Payments to affiliates	0			
22 Depreciation, depletion, and amortization	250,755.	250,755.		
23 Insurance	12,503.	9,702.	2,801.	
24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a MEMBERSHIP DIRECT EXPENSES	79,282.	79,282.		
b PUBLICATIONS	1,059,237.	1,059,237.		
c AWARDS EXPENSES	57,468.	57,468.		
d CONSTITUENT SERVICES EXPENSE	193,314.	193,314.		
e All other expenses	27,549.			27,549.
25 Total functional expenses. Add lines 1 through 24e	5,752,049.	4,801,312.	923,188.	27,549.
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here ▶ ☐ if following SOP 98-2 (ASC 958-720)	0			

Part X Balance Sheet

Check if Schedule O contains a response or note to any line in this Part X . . . . . [X]

				(A) Beginning of year		(B) End of year
Assets	1	Cash - non-interest-bearing		1,153,409.	1	1,029,785.
	2	Savings and temporary cash investments		617,345.	2	1,049,005.
	3	Pledges and grants receivable, net		17,575.	3	17,575.
	4	Accounts receivable, net		148,985.	4	42,616.
	5	Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L		0	5	0
	6	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions). Complete Part II of Schedule L		0	6	0
	7	Notes and loans receivable, net		0	7	0
	8	Inventories for sale or use		0	8	0
	9	Prepaid expenses and deferred charges		81,132.	9	221,313.
	10a	Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	10a 5,007,611.			
	b	Less: accumulated depreciation	10b 603,058.	4,489,657.	10c	4,404,553.
	11	Investments - publicly traded securities ATCH 5		7,503,166.	11	8,838,076.
	12	Investments - other securities. See Part IV, line 11		567,593.	12	513,714.
	13	Investments - program-related. See Part IV, line 11		0	13	0
	14	Intangible assets		243,873.	14	0
	15	Other assets. See Part IV, line 11		848,494.	15	797,214.
	16	**Total assets.** Add lines 1 through 15 (must equal line 34)		15,671,229.	16	16,913,851.
Liabilities	17	Accounts payable and accrued expenses		314,030.	17	357,462.
	18	Grants payable		0	18	0
	19	Deferred revenue		1,290,501.	19	1,600,829.
	20	Tax-exempt bond liabilities		0	20	0
	21	Escrow or custodial account liability. Complete Part IV of Schedule D		717,910.	21	630,284.
	22	Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L		0	22	0
	23	Secured mortgages and notes payable to unrelated third parties		0	23	0
	24	Unsecured notes and loans payable to unrelated third parties		0	24	0
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D		146,015.	25	150,229.
	26	**Total liabilities.** Add lines 17 through 25		2,468,456.	26	2,738,804.
Net Assets or Fund Balances		**Organizations that follow SFAS 117 (ASC 958), check here ▶ [X] and complete lines 27 through 29, and lines 33 and 34.**				
	27	Unrestricted net assets		11,950,633.	27	12,875,468.
	28	Temporarily restricted net assets		410,627.	28	424,196.
	29	Permanently restricted net assets		841,513.	29	875,383.
		Organizations that do not follow SFAS 117 (ASC 958), check here ▶ [ ] and complete lines 30 through 34.				
	30	Capital stock or trust principal, or current funds			30	
	31	Paid-in or capital surplus, or land, building, or equipment fund			31	
	32	Retained earnings, endowment, accumulated income, or other funds			32	
	33	Total net assets or fund balances		13,202,773.	33	14,175,047.
	34	Total liabilities and net assets/fund balances		15,671,229.	34	16,913,851.

Part XI Reconciliation of Net Assets

Check if Schedule O contains a response or note to any line in this Part XI . . . . . ☐

1	Total revenue (must equal Part VIII, column (A), line 12)	1	6,430,072.
2	Total expenses (must equal Part IX, column (A), line 25)	2	5,752,049.
3	Revenue less expenses. Subtract line 2 from line 1	3	678,023.
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	13,202,773.
5	Net unrealized gains (losses) on investments	5	294,251.
6	Donated services and use of facilities	6	0
7	Investment expenses	7	0
8	Prior period adjustments	8	0
9	Other changes in net assets or fund balances (explain in Schedule O)	9	0
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	14,175,047.

Part XII Financial Statements and Reporting

Check if Schedule O contains a response or note to any line in this Part XII . . . . . ☐

			Yes	No
1	Accounting method used to prepare the Form 990: ☐ Cash ☒ Accrual ☐ Other ____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.			
2a	Were the organization's financial statements compiled or reviewed by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both: ☐ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis	2a		X
b	Were the organization's financial statements audited by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both: ☐ Separate basis ☒ Consolidated basis ☐ Both consolidated and separate basis	2b	X	
c	If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.	2c	X	
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	3a		X
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits.	3b		

SCHEDULE A
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ **Attach to Form 990 or Form 990-EZ.**
▶ **Information about Schedule A (Form 990 or 990-EZ) and its instructions is at *www.irs.gov/form990*.**

OMB No. 1545-0047
2013
Open to Public Inspection

Name of the organization	Employer identification number
THE ELECTROCHEMICAL SOCIETY, INC.	13-1771269

Part I **Reason for Public Charity Status** (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.)

1 [ ] A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**

2 [ ] A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E.)

3 [ ] A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**

4 [ ] A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state: ______

5 [ ] An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II.)

6 [ ] A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**

7 [ ] An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II.)

8 [ ] A community trust described in **section 170(b)(1)(A)(vi).** (Complete Part II.)

9 [X] An organization that normally receives: (1) more than 33 1/3 % of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3 % of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2).** (Complete Part III.)

10 [ ] An organization organized and operated exclusively to test for public safety. See **section 509(a)(4).**

11 [ ] An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h.

a [ ] Type I **b** [ ] Type II **c** [ ] Type III-Functionally integrated **d** [ ] Type III-Non-functionally integrated

e [ ] By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2).

f If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box . . . . . [ ]

g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

		Yes	No
(i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization?	**11g(i)**		
(ii) A family member of a person described in (i) above?	**11g(ii)**		
(iii) A 35% controlled entity of a person described in (i) or (ii) above?	**11g(iii)**		

h Provide the following information about the supported organization(s).

(i) Name of supported organization	**(ii)** EIN	**(iii)** Type of organization (described on lines 1-9 above or IRC section **(see instructions)**)	**(iv)** Is the organization in col. **(i)** listed in your governing document?		**(v)** Did you notify the organization in col. **(i)** of your support?		**(vi)** Is the organization in col. **(i)** organized in the U.S.?		**(vii)** Amount of monetary support
			Yes	No	Yes	No	Yes	No	
(A)									
(B)									
(C)									
(D)									
(E)									
Total									

Part II **Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)** (Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 **Total.** Add lines 1 through 3						
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 **Public support.** Subtract line 5 from line 4.						

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
7 Amounts from line 4						
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
11 **Total support.** Add lines 7 through 10						

12 Gross receipts from related activities, etc. (see instructions) . . . 12

13 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** . . . ▶ ☐

Section C. Computation of Public Support Percentage

14 Public support percentage for 2013 (line 6, column (f) divided by line 11, column (f)) . . . 14 %

15 Public support percentage from 2012 Schedule A, Part II, line 14 . . . 15 %

16a **33 1/3% support test - 2013.** If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization . . . ▶ ☐

b **33 1/3% support test - 2012.** If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization . . . ▶ ☐

17a **10%-facts-and-circumstances test - 2013.** If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization . . . ▶ ☐

b **10%-facts-and-circumstances test - 2012.** If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization . . . ▶ ☐

18 **Private foundation.** If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions . . . ▶ ☐

Part III **Support Schedule for Organizations Described in Section 509(a)(2)**
(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")	798,885.	761,688.	897,382.	735,974.	611,422.	3,805,351.
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose	2,241,386.	5,070,105.	5,180,180.	5,347,036.	4,796,181.	22,634,888.
3 Gross receipts from activities that are not an unrelated trade or business under section 513	2,918,367.					2,918,367.
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						0
5 The value of services or facilities furnished by a governmental unit to the organization without charge						0
6 **Total.** Add lines 1 through 5	5,958,638.	5,831,793.	6,077,562.	6,083,010.	5,407,603.	29,358,606.
7a Amounts included on lines 1, 2, and 3 received from disqualified persons			143,528.			143,528.
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of $5,000 or 1% of the amount on line 13 for the year						0
c Add lines 7a and 7b			143,528.			143,528.
8 **Public support** (Subtract line 7c from line 6.)						29,215,078.

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
9 Amounts from line 6	5,958,638.	5,831,793.	6,077,562.	6,083,010.	5,407,603.	29,358,606.
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	840,416.	885,153.	784,434.	781,112.	1,487,998.	4,779,113.
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						0
c Add lines 10a and 10b	840,416.	885,153.	784,434.	781,112.	1,487,998.	4,779,113.
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						0
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.) ATCH 1	3,944.	147,588.	26,515.	15,564.	14,960.	208,571.
13 **Total support.** (Add lines 9, 10c, 11, and 12.)	6,802,998.	6,864,534.	6,888,511.	6,879,686.	6,910,561.	34,346,290.

14 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ▶ ☐

Section C. Computation of Public Support Percentage

15	Public support percentage for 2013 (line 8, column (f) divided by line 13, column (f))	15	85.06 %
16	Public support percentage from 2012 Schedule A, Part III, line 15	16	86.02 %

Section D. Computation of Investment Income Percentage

17	Investment income percentage for **2013** (line 10c, column (f) divided by line 13, column (f))	17	13.91 %
18	Investment income percentage from **2012** Schedule A, Part III, line 17	18	12.97 %

19a **33 1/3 % support tests - 2013.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3 %, and line 17 is not more than 33 1/3 %, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☒

b **33 1/3 % support tests - 2012.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3 %, and line 18 is not more than 33 1/3 %, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

20 **Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ▶ ☐

Part IV Supplemental Information. Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information. (See instructions).

ATTACHMENT 1

SCHEDULE A, PART III - OTHER INCOME

DESCRIPTION	2009	2010	2011	2012	2013	TOTAL
MISCELLANEOUS INCOME	3,944.	147,588.	26,515.	15,564.	14,960.	208,571.
TOTALS	3,944.	147,588.	26,515.	15,564.	14,960.	208,571.

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**
▶ **Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs.gov/form990*.**

OMB No. 1545-0047

2013

Name of the organization
THE ELECTROCHEMICAL SOCIETY, INC.

Employer identification number
13-1771269

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	[X] 501(c)(3) (enter number) organization
	[ ] 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	[ ] 527 political organization
Form 990-PF	[ ] 501(c)(3) exempt private foundation
	[ ] 4947(a)(1) nonexempt charitable trust treated as a private foundation
	[ ] 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

[X] For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

[ ] For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

[ ] For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

[ ] For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of $5,000 or more during the year . . . . ▶ $_______________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization THE ELECTROCHEMICAL SOCIETY, INC.

Employer identification number 13-1771269

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	MISCELLANEOUS CONTRIBUTIONS <$5,000 EACH 65 S MAIN ST PENNINGTON, NJ 08534	$ 64,432.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
2	FREDERICK J STRIETER 7814 FALLMEADOW LANE DALLAS, TX 75248	$ 12,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
3	ASAHI KASEI CORPORATION 2-1 SAMEJIMA 416-8501 FUJI SHIZUOKA JAPAN	$ 5,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)

Name of organization THE ELECTROCHEMICAL SOCIETY, INC.

Employer identification number 13-1771269

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization THE ELECTROCHEMICAL SOCIETY, INC.

Employer identification number 13-1771269

Part III *Exclusively* **religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year.** Complete columns **(a)** through **(e)** and the following line entry.

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **$1,000 or less** for the year. (Enter this information once. See instructions.) ▶ $

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

SCHEDULE C (Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

▶ **Complete if the organization is described below.** ▶ **Attach to Form 990 or Form 990-EZ.**
▶ **See separate instructions.** ▶ **Information about Schedule C (Form 990 or 990-EZ) and its instructions is at *www.irs.gov/form990*.**

OMB No. 1545-0047

2013

Open to Public Inspection

If the organization answered "Yes," to Form 990, Part IV, line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

If the organization answered "Yes," to Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

If the organization answered "Yes," to Form 990, Part IV, line 5 (Proxy Tax) or Form 990-EZ, Part V, line 35c (Proxy Tax), then

- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

Name of organization	Employer identification number
THE ELECTROCHEMICAL SOCIETY, INC.	13-1771269

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1 Provide a description of the organization's direct and indirect political campaign activities in Part IV.

2 Political expenditures . . . . . ▶ $

3 Volunteer hours . . . . .

Part I-B Complete if the organization is exempt under section 501(c)(3).

1 Enter the amount of any excise tax incurred by the organization under section 4955 . . . ▶ $

2 Enter the amount of any excise tax incurred by organization managers under section 4955 . . ▶ $

3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? . . . . ☐ Yes ☐ No

4a Was a correction made? . . . . ☐ Yes ☐ No

b If "Yes," describe in Part IV.

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

1 Enter the amount directly expended by the filing organization for section 527 exempt function activities . . . . ▶ $

2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities . . . . ▶ $

3 Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b . . . . ▶ $

4 Did the filing organization file **Form 1120-POL** for this year? . . . . ☐ Yes ☐ No

5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.

	(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds. If none, enter -0-.	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-.
(1)					
(2)					
(3)					
(4)					
(5)					
(6)					

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Part II-A **Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).**

A Check ▶ ☐ if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures).

B Check ▶ ☐ if the filing organization checked box A and "limited control" provisions apply.

	Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)	**(a)** Filing organization's totals	**(b)** Affiliated group totals
1a	Total lobbying expenditures to influence public opinion (grass roots lobbying)		
b	Total lobbying expenditures to influence a legislative body (direct lobbying)		
c	Total lobbying expenditures (add lines 1a and 1b)		
d	Other exempt purpose expenditures		
e	Total exempt purpose expenditures (add lines 1c and 1d)		
f	Lobbying nontaxable amount. Enter the amount from the following table in both columns.		

If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:
Not over $500,000	20% of the amount on line 1e.
Over $500,000 but not over $1,000,000	$100,000 plus 15% of the excess over $500,000.
Over $1,000,000 but not over $1,500,000	$175,000 plus 10% of the excess over $1,000,000.
Over $1,500,000 but not over $17,000,000	$225,000 plus 5% of the excess over $1,500,000.
Over $17,000,000	$1,000,000.

		(a)	(b)
g	Grassroots nontaxable amount (enter 25% of line 1f)		
h	Subtract line 1g from line 1a. If zero or less, enter -0-		
i	Subtract line 1f from line 1c. If zero or less, enter -0-		

j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year? ☐ **Yes** ☐ **No**

4-Year Averaging Period Under Section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

Lobbying Expenditures During 4-Year Averaging Period

Calendar year (or fiscal year beginning in)	**(a)** 2010	**(b)** 2011	**(c)** 2012	**(d)** 2013	**(e)** Total
2a Lobbying nontaxable amount					
b Lobbying ceiling amount (150% of line 2a, column (e))					
c Total lobbying expenditures					
d Grassroots nontaxable amount					
e Grassroots ceiling amount (150% of line 2d, column (e))					
f Grassroots lobbying expenditures					

Part II-B **Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).**

For each "Yes," response to lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity.	(a) Yes	(a) No	(b) Amount
1 During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:			
a Volunteers?	X		
b Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?		X	
c Media advertisements?		X	
d Mailings to members, legislators, or the public?		X	
e Publications, or published or broadcast statements?		X	
f Grants to other organizations for lobbying purposes?		X	
g Direct contact with legislators, their staffs, government officials, or a legislative body?	X		
h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?		X	
i Other activities?		X	
j Total. Add lines 1c through 1i			
2 a Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?		X	
b If "Yes," enter the amount of any tax incurred under section 4912			
c If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A **Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).**

		Yes	No
1 Were substantially all (90% or more) dues received nondeductible by members?	1		
2 Did the organization make only in-house lobbying expenditures of $2,000 or less?	2		
3 Did the organization agree to carry over lobbying and political expenditures from the prior year?	3		

Part III-B **Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No," OR (b) Part III-A, line 3, is answered "Yes."**

1 Dues, assessments and similar amounts from members	1	
2 Section 162(e) nondeductible lobbying and political expenditures **(do not include amounts of political expenses for which the section 527(f) tax was paid).**		
a Current year	2a	
b Carryover from last year	2b	
c Total	2c	
3 Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
4 If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5 Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV **Supplemental Information**

Provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Part II-A, line 2; and Part II-B, line 1. Also, complete this part for any additional information.

PART II-B, LINE 1A, 1G

ALL ACTIVITY IS VOLUNTEER BASED WITH NO ASSOCIATED EXPENSE.

Part IV **Supplemental Information** *(continued)*

SCHEDULE D
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

▶ Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.
▶ Attach to Form 990.
▶ Information about Schedule D (Form 990) and its instructions is at *www.irs.gov/form990.*

OMB No. 1545-0047
2013
Open to Public Inspection

Name of the organization
THE ELECTROCHEMICAL SOCIETY, INC.

Employer identification number
13-1771269

Part I **Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.** Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

		(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year		
2	Aggregate contributions to (during year)		
3	Aggregate grants from (during year)		
4	Aggregate value at end of year		

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☐ Yes ☐ No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? ☐ Yes ☐ No

Part II **Conservation Easements.** Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).
☐ Preservation of land for public use (e.g., recreation or education)
☐ Protection of natural habitat
☐ Preservation of open space
☐ Preservation of an historically important land area
☐ Preservation of a certified historic structure

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

			Held at the End of the Tax Year
a	Total number of conservation easements	2a	
b	Total acreage restricted by conservation easements	2b	
c	Number of conservation easements on a certified historic structure included in (a)	2c	
d	Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register	2d	

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ ________________

4 Number of states where property subject to conservation easement is located ▶ ________________

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶ ________________

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ $ ________________

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

Part III **Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.** Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items.

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i) Revenues included in Form 990, Part VIII, line 1 ▶ $ ____________

(ii) Assets included in Form 990, Part X ▶ $ ____________

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items:

a Revenues included in Form 990, Part VIII, line 1 ▶ $ ____________

b Assets included in Form 990, Part X ▶ $

Part III **Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets** *(continued)*

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):

a [ ] Public exhibition
b [ ] Scholarly research
c [ ] Preservation for future generations
d [ ] Loan or exchange programs
e [ ] Other

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? [ ] Yes [ ] No

Part IV **Escrow and Custodial Arrangements.** Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? [ ] Yes [X] No

b If "Yes," explain the arrangement in Part XIII and complete the following table:

		Amount
c Beginning balance	1c	
d Additions during the year	1d	
e Distributions during the year	1e	
f Ending balance	1f	

2a Did the organization include an amount on Form 990, Part X, line 21? [X] Yes [ ] No

b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII [ ]

Part V **Endowment Funds.** Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance	7,217,373.	7,171,757.	6,462,554.	6,019,723.	5,872,894.
b Contributions	336,420.	19,643.	631,819.	512,135.	61,095.
c Net investment earnings, gains, and losses	255,082.	188,297.	144,246.	37,637.	165,008.
d Grants or scholarships	13,813.	62,287.	44,459.	106,800.	79,274.
e Other expenditures for facilities and programs	41,574.	100,037.	22,403.	141.	
f Administrative expenses					
g End of year balance	7,753,488.	7,217,373.	7,171,757.	6,462,554.	6,019,723.

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

a Board designated or quasi-endowment ▶ 83.5000 %

b Permanent endowment ▶ 11.3000 %

c Temporarily restricted endowment ▶ 5.2000 %

The percentages in lines 2a, 2b, and 2c should equal 100%.

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

		Yes	No
(i) unrelated organizations	3a(i)		X
(ii) related organizations	3a(ii)		X
b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?	3b		

4 Describe in Part XIII the intended uses of the organization's endowment funds.

Part VI **Land, Buildings, and Equipment.**
Complete if the organization answered "Yes" to Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land	1,252,819.	350,608.		1,603,427.
b Buildings	2,703,443.	700,741.	603,058.	2,801,126.
c Leasehold improvements				
d Equipment				
e Other				
Total. Add lines 1a through 1e. *(Column (d) must equal Form 990, Part X, column (B), line 10(c).)* ▶				4,404,553.

Part VII Investments - Other Securities.
Complete if the organization answered "Yes" to Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other		
(A)		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
Total. *(Column (b) must equal Form 990, Part X, col. (B) line 12.)* ▶		

Part VIII Investments - Program Related.
Complete if the organization answered "Yes" to Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
Total. *(Column (b) must equal Form 990, Part X, col. (B) line 13.)* ▶		

Part IX Other Assets.
Complete if the organization answered "Yes" to Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. *(Column (b) must equal Form 990, Part X, col. (B) line 15.)* ▶	

Part X Other Liabilities.
Complete if the organization answered "Yes" to Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	
(2) SECURITY DEPOSITS	30,900.
(3) DEFERRED COMPENSATION	119,329.
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. *(Column (b) must equal Form 990, Part X, col. (B) line 25.)* ▶	150,229.

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII [X]

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return. Complete if the organization answered "Yes" to Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements			1	7,204,812.
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:				
a	Net unrealized gains on investments	2a	294,251.		
b	Donated services and use of facilities	2b			
c	Recoveries of prior year grants	2c			
d	Other (Describe in Part XIII.)	2d			
e	Add lines **2a** through **2d**			2e	294,251.
3	Subtract line **2e** from line **1**			3	6,910,561.
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIII.)	4b	-480,489.		
c	Add lines **4a** and **4b**			4c	-480,489.
5	Total revenue. Add lines **3** and **4c.** *(This must equal Form 990, Part I, line 12.)*			5	6,430,072.

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return. Complete if the organization answered "Yes" to Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements			1	6,232,538.
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:				
a	Donated services and use of facilities	2a			
b	Prior year adjustments	2b			
c	Other losses	2c			
d	Other (Describe in Part XIII.)	2d	480,489.		
e	Add lines **2a** through **2d**			2e	480,489.
3	Subtract line **2e** from line **1**			3	5,752,049.
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIII.)	4b			
c	Add lines **4a** and **4b**			4c	
5	Total expenses. Add lines **3** and **4c.** *(This must equal Form 990, Part I, line 18.)*			5	5,752,049.

Part XIII Supplemental Information.

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

SEE PAGE 5

Part XIII Supplemental Information *(continued)*

PART XI, LINE 4B

RENTAL EXPENSES $480,489

PART XII, LINE 2D

RENTAL EXPENSES $480,489

PART X

TEXT OF FOOTNOTE TO ORGANIZATION'S FINANCIAL STATEMENTS THAT REPORTS THE ORGANIZATION'S LIABILITY FOR UNCERTAIN TAX POSITIONS UNDER FIN 48 - THE SOCIETY FOLLOWS THE ACCOUNTING PRONOUNCEMENT THAT PROVIDES GUIDANCE ON UNCERTAIN TAX POSITIONS. THE SOCIETY HAD NO UNRECOGNIZED TAX BENEFITS AT DECEMBER 31, 2013 AND 2012. IN ADDITION, THE SOCIETY HAS NO INCOME TAX RELATED PENALTIES OR INTEREST FOR THE PERIODS REPORTED ON THESE FINANCIAL STATEMENTS.

PART IV, LINE 2B

BOTH CUSTODIAL ASSETS AND LIABILITIES OF $630,284 ARE INCLUDED IN THE BALANCE SHEET. ASSETS ARE INCLUDED WITH INVESTMENTS.

PART V, LINE 4

THE SOCIETY'S ENDOWMENT FUNDS CONSIST OF SEVERAL FUNDS ESTABLISHED TO FUND AWARDS, AS WELL AS AN EDUCATIONAL ENDOWMENT FUND, PUBLICATIONS ENDOWMENT FUND AND AN ECS ENDOWMENT FUND. THE ENDOWMENT FUNDS INCLUDE BOTH DONOR-RESTRICTED FUNDS AND FUNDS DESIGNATED BY THE BOARD OF DIRECTORS TO FUNCTION AS ENDOWMENTS. THE SOCIETY HAS ADOPTED INVESTMENT POLICIES FOR ENDOWMENT ASSETS THAT ATTEMPT TO PROVIDE A PREDICTABLE

Part XIII **Supplemental Information** *(continued)*

STREAM OF FUNDING TO PROGRAMS SUPPORTED BY ITS ENDOWMENT WHILE SEEKING TO

MAINTAIN THE PURCHASING POWER OF THE ENDOWMENT ASSETS.

SCHEDULE F (Form 990)

Department of the Treasury
Internal Revenue Service

Statement of Activities Outside the United States

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 14b, 15, or 16.
▶ Attach to Form 990. ▶ See separate instructions.
▶ Information about Schedule F (Form 990) and its instructions is at *www.irs.gov/form990.*

OMB No. 1545-0047

2013

Open to Public Inspection

Name of the organization: THE ELECTROCHEMICAL SOCIETY, INC.

Employer identification number: 13-1771269

Part I **General Information on Activities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 14b.

1 **For grantmakers.** Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . [X] Yes [ ] No

2 **For grantmakers.** Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.

3 Activities per Region. (The following Part I, line 3 table can be duplicated if additional space is needed.)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in region	(d) Activities conducted in region (by type) (e.g., fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for and investments in region
(1) EAST ASIA AND THE PACIFIC			GRANTMAKING		1,000.
(2) EUROPE			GRANTMAKING		4,500.
(3) NORTH AMERICA			GRANTMAKING		1,500.
(4)					
(5)					
(6)					
(7)					
(8)					
(9)					
(10)					
(11)					
(12)					
(13)					
(14)					
(15)					
(16)					
(17)					
3a Sub-total					7,000.
b Total from continuation sheets to Part I					
c **Totals** (add lines 3a and 3b)					7,000.

Part II **Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than $5,000. Part II can be duplicated if additional space is needed.

1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(1)									
(2)									
(3)									
(4)									
(5)									
(6)									
(7)									
(8)									
(9)									
(10)									
(11)									
(12)									
(13)									
(14)									
(15)									
(16)									

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter . . . . ▶

3 Enter total number of other organizations or entities . . . . ▶

Part III **Grants and Other Assistance to Individuals Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 16. Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
(1) H.H. DOW MEMORIAL	EAST ASIA/PACIFIC	1.	1,000.	CHECK			FMV
(2) DAVID C. GRAHME	NORTH AMERICA	1.	1,500.	CHECK			FMV
(3) SRINIVASAN YOUNG INVESTIGATOR AWARD	EUROPE/ICELAND/GREENLAND	1.	1,000.	CHECK			FMV
(4) RICHARD SMALLEY RESEARCH AWARD	EUROPE/ICELAND/GREENLAND	1.	1,000.	CHECK			FMV
(5) CARL WAGNER MEMORIAL AWARD	EUROPE/ICELAND/GREENLAND	1.	1,000.	CHECK			FMV
(6) H.H. UHLIG CORROSION AWARD	EUROPE/ICELAND/GREENLAND	1.	1,500.	CHECK			FMV
(7)							
(8)							
(9)							
(10)							
(11)							
(12)							
(13)							
(14)							
(15)							
(16)							
(17)							
(18)							

Part IV Foreign Forms

1	Was the organization a U.S. transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)*	☐ Yes	☒ No
2	Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to file Form 3520, Annual Return to Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A)*	☐ Yes	☒ No
3	Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons With Respect To Certain Foreign Corporations. (see Instructions for Form 5471)*	☐ Yes	☒ No
4	Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund. (see Instructions for Form 8621)*	☐ Yes	☒ No
5	Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons With Respect To Certain Foreign Partnerships. (see Instructions for Form 8865)*	☐ Yes	☒ No
6	Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to file Form 5713, International Boycott Report (see Instructions for Form 5713)*	☐ Yes	☒ No

Part V Supplemental Information

Complete this part to provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

PART I, LINE 2

THE ORGANIZATION GRANTS AWARDS AND FELLOWSHIPS TO RECIPIENTS BASED UPON WORK THAT HAS ALREADY BEEN PERFORMED PRIMARILY TO FURTHER THE STUDY IN THEIR FIELD. AWARD RECIPIENTS AND FELLOWS MUST BE NOMINATED USING A NOMINATION FORM. LETTERS OF ENDORSEMENT ARE REQUIRED. AWARD RECIPIENTS AND FELLOWS ARE CHOSEN BY THE HONORS AND AWARDS COMMITTEE AND APPROVED BY THE BOARD OF DIRECTORS BASED UPON MERIT.

PART 1, LINE 3

THE ORGANIZATION USES THE ACCRUAL METHOD OF ACCOUNTING.

SCHEDULE I
(Form 990)

Department of the Treasury
Internal Revenue Service

Grants and Other Assistance to Organizations, Governments, and Individuals in the United States

Complete if the organization answered "Yes" to Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.
▶ Information about Schedule I (Form 990) and its instructions is at *www.irs.gov/form990*.

OMB No. 1545-0047
2013
Open to Public Inspection

Name of the organization: THE ELECTROCHEMICAL SOCIETY, INC.

Employer identification number 13-1771269

Part I General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . . [X] Yes [ ] No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Governments and Organizations in the United States.

Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than $5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
(1)							
(2)							
(3)							
(4)							
(5)							
(6)							
(7)							
(8)							
(9)							
(10)							
(11)							
(12)							

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table . . . . . ▶

3 Enter total number of other organizations listed in the line 1 table . . . . . ▶

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Part III Grants and Other Assistance to Individuals in the United States.

Complete if the organization answered "Yes" on Form 990, Part IV, line 22. Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
1 NORMAN HACKERMAN YOUNG AUTHORS AWARD	4.	4,000.		FMV	
2 DANIEL CUBICCIOTTI AWARD	3.	3,000.		FMV	
3 SRINIVASSAN YOUNG INVESTIGATOR AWARD	1.	1,500.		FMV	
4 H.H. DOW MEMORIAL AWARD	2.	2,000.		FMV	
5 HTM OUTSTANDING ACHIEVEMENT AWARD	1.	1,000.		FMV	
6 MORRIS COHEN AWARD	2.	2,000.		FMV	
7 THOMAS D. CALLINAN AWARD	1.	1,500.		FMV	

Part IV Supplemental Information.

Complete this part to provide the information required in Part I, line 2, Part III, column (b), and any other additional information.

Part III **Grants and Other Assistance to Individuals in the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 22. Part III can be duplicated if additional space is needed.

	(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
1	DAVID C. GRAHAME AWARD	1.	1,500.		FMV	
2	GORDON MOORE AWARD	1.	7,500.		FMV	
3	HEINZ GERISCHER AWARD	1.	4,101.		FMV	
4	OLIN PALLADIUM AWARD	1.	7,500.		FMV	
5	CARL WAGNER MEMORIAL AWARD	1.	450.		FMV	
6						
7						

Part IV **Supplemental Information.** Complete this part to provide the information required in Part I, line 2, Part III, column (b), and any other additional information.

PART I, LINE 2

THE ORGANIZATION GRANTS AWARDS AND FELLOWSHIPS TO RECIPIENTS BASED UPON WORK THAT HAS ALREADY BEEN PERFORMED PRIMARILY TO FURTHER THE STUDY IN THEIR FIELD. AWARD RECIPIENTS AND FELLOWS MUST BE NOMINATED USING A NOMINATION FORM. LETTERS OF ENDORSEMENT ARE REQUIRED. AWARD RECIPIENTS AND FELLOWS ARE CHOSEN BY THE HONORS AND AWARDS COMMITTEE AND APPROVED BY THE BOARD OF DIRECTORS BASED UPON MERIT.

SCHEDULE J (Form 990)

Department of the Treasury
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 23.

▶ Attach to Form 990. ▶ See separate instructions.

▶ Information about Schedule J (Form 990) and its instructions is at *www.irs.gov/form990*.

OMB No. 1545-0047

2013

Open to Public Inspection

Name of the organization: THE ELECTROCHEMICAL SOCIETY, INC.

Employer identification number: 13-1771269

Part I Questions Regarding Compensation

			Yes	No
1a	Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items. ☐ First-class or charter travel ☐ Housing allowance or residence for personal use ☐ Travel for companions ☐ Payments for business use of personal residence ☐ Tax indemnification and gross-up payments ☐ Health or social club dues or initiation fees ☐ Discretionary spending account ☐ Personal services (e.g., maid, chauffeur, chef)			
b	If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain	1b		
2	Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, and officers, including the CEO/Executive Director, regarding the items checked in line 1a?	2		
3	Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III. ☐ Compensation committee ☐ Written employment contract ☐ Independent compensation consultant ☒ Compensation survey or study ☐ Form 990 of other organizations ☒ Approval by the board or compensation committee			
4	During the year, did any person listed in Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:			
a	Receive a severance payment or change-of-control payment?	4a		X
b	Participate in, or receive payment from, a supplemental nonqualified retirement plan?	4b		X
c	Participate in, or receive payment from, an equity-based compensation arrangement?	4c		X
	If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.			
	Only section 501(c)(3) and 501(c)(4) organizations must complete lines 5-9.			
5	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:			
a	The organization?	5a		X
b	Any related organization?	5b		X
	If "Yes" to line 5a or 5b, describe in Part III.			
6	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:			
a	The organization?	6a		X
b	Any related organization?	6b		X
	If "Yes" to line 6a or 6b, describe in Part III.			
7	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III	7	X	
8	Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III	8		X
9	If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?	9		

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation (i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation	(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported as deferred in prior Form 990
1 ROQUE J CALVO EXEC DIRECTOR (1991-PRESENT)	(i)	227,582.	22,257.	0	34,414.	9,273.	293,526.	
	(ii)	0	0	0			0	
2 MARY YESS DEPUTY EXEC DIR	(i)	141,670.	2,493.	0	11,334.	27,209.	182,706.	
	(ii)	0	0	0				
3	(i)							
	(ii)							
4	(i)							
	(ii)							
5	(i)							
	(ii)							
6	(i)							
	(ii)							
7	(i)							
	(ii)							
8	(i)							
	(ii)							
9	(i)							
	(ii)							
10	(i)							
	(ii)							
11	(i)							
	(ii)							
12	(i)							
	(ii)							
13	(i)							
	(ii)							
14	(i)							
	(ii)							
15	(i)							
	(ii)							
16	(i)							
	(ii)							

Part III Supplemental Information

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

FORM 990, SCHEDULE J-2

PLEASE NOTE THAT BOARD MEMBER TERMS RUN FROM OCTOBER TO SEPTEMBER OF EACH YEAR. "CURRENT" DENOTES A BOARD MEMBER SERVING FROM OCTOBER 2013 THRU SEPTEMBER 2014, "PAST" DENOTES A BOARD MEMBER SERVING FROM JANUARY THRU SEPTEMBER 2013.

PART I, LINE 7

SEE SCHEDULE J, PART II, COLUMN B(II) FOR BONUS AND INCENTIVE COMPENSATION INFORMATION.

SCHEDULE O
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.
▶Attach to Form 990 or 990-EZ.

OMB No. 1545-0047
2013
Open to Public Inspection

Name of the organization	Employer identification number
THE ELECTROCHEMICAL SOCIETY, INC.	13-1771269

FORM 990, PART VI, SECTION B, LINE 11

A DRAFT COPY OF THE FORM 990 WILL BE PROVIDED TO ALL MEMBERS OF THE GOVERNING BODY FOR REVIEW AND COMMENT TO THE AUDIT COMMITTEE. THE AUDIT COMMITTEE WILL REVIEW THE RETURN AND RECOMMEND THAT THE EXECUTIVE COMMITTEE APPROVE THE 990 ON BEHALF OF THE BOARD.

FORM 990, PART VI, SECTION B, LINE 12C

MEMBERS OF THE BOARD OF DIRECTORS ARE REQUIRED TO DISCLOSE CONFLICTS OF INTEREST ANNUALLY. CONFLICTS ARE REVIEWED AND EVALUATED BY THE EXECUTIVE COMMITTEE OF THE BOARD OF DIRECTORS.

FORM 990, PART VI, SECTION C, LINE 19

THE ORGANIZATION MAKES ITS GOVERNING DOCUMENTS, CONFLICT OF INTEREST POLICY, AND FINANCIAL STATEMENTS AVAILABLE TO THE PUBLIC UPON REQUEST AND VIA THE ORGANIZATION'S WEBSITE, D&B AND THE ECS QUARTERLY INTERFACE MAGAZINE.

FORM 990, PART VI, SECTION B, LINES 15A AND B

FORMAL EMPLOYEE APPRAISALS ARE CONDUCTED ANNUALLY IN DECEMBER FOR BOTH FULL AND PART-TIME EMPLOYEES. THE RESPONSIBILITIES OF EACH STAFF POSITION ARE CAPTURED IN JOB DESCRIPTIONS WHICH ARE REVIEWED AND UPDATED ANNUALLY. SALARY ADJUSTMENTS ARE DETERMINED AT THE TIME OF THE APPRAISAL AND ARE BASED UPON PERFORMANCE AND COST OF LIVING ADJUSTMENTS. ADJUSTMENTS ARE NOT RELEASED UNTIL JANUARY 1, THE EFFECTIVE DATE OF THE

Name of the organization: THE ELECTROCHEMICAL SOCIETY, INC.

Employer identification number: 13-1771269

SALARY ADJUSTMENT. THE COMPENSATION PARAMETERS FOR EACH POSITION ARE DESCRIBED IN A SALARY RANGE, WHICH IS UPDATED ONCE PER YEAR IN JULY BASED ON CHANGES IN RESPONSIBILITIES AND COMPARABLE MARKET INFORMATION. SALARY AND BENEFIT AMOUNTS ARE APPROVED IN THE ANNUAL BUDGET BY THE ECS BOARD OF DIRECTORS. THE EXECUTIVE DIRECTOR IS APPRAISED ANNUALLY IN OCTOBER BY THE ECS BOARD BASED UPON COMPENSATION AND PERFORMANCE REQUIREMENTS AS OUTLINED IN THE EMPLOYMENT CONTRACT.

FORM 990, PART III, LINE 4D

AWARDS - PRESENT AWARDS FOR OUTSTANDING ACHIEVEMENTS IN THE FIELD OF ELECTROCHEMISTRY, INCLUDING AWARDS AND TRAVEL GRANTS TO ATTEND MEETINGS.

CONSTITUENT PROGRAMS - PROVIDE EDUCATIONAL COURSES DURING THE BI-ANNUAL MEETINGS ON TOPICS RELATED TO THE SCIENCE OF ELECTROCHEMISTRY. FUND ECS STUDENT CHAPTERS AT UNIVERSITIES THROUGHOUT THE UNITED STATES AND IN SEVERAL FOREIGN COUNTRIES. OPERATE THE REDCAT WEBSITE, WHICH PROVIDES A COMMUNITY FOR RESEARCHERS TO REVIEW PUBLISHED RESEARCH, SHARE IDEAS AND DEVELOP NEW RESEARCH WITH OTHERS IN THE COMMUNITY.

FORM 990 PART X1 LINE 5

OTHER CHANGES IN NET ASSETS OR FUND BALANCE: $294,251 UNREALIZED GAIN ON MARKETABLE SECURITIES

ATTACHMENT 1

FORM 990, PART III, LINE 1 - ORGANIZATION'S MISSION

THE MISSION OF THE SOCIETY IS TO ADVANCE THEORY AND PRACTICE AT THE FOREFRONT OF ELECTROCHEMISTRY, SOLID-STATE SCIENCE, AND ALLIED SUBJECTS. TO ENCOURAGE RESEARCH, DISCUSSION, CRITICAL ASSESSMENT, AND

Name of the organization: THE ELECTROCHEMICAL SOCIETY, INC.

Employer identification number: 13-1771269

ATTACHMENT 1 (CONT'D)

FORM 990, PART III, LINE 1 - ORGANIZATION'S MISSION

DISSEMINATION OF KNOWLEDGE IN THESE FIELDS, THE SOCIETY HOLDS MEETINGS, PUBLISHES SCIENTIFIC PAPERS, FOSTERS TRAINING AND EDUCATION OF SCIENTISTS AND ENGINEERS, AND COOPERATES WITH OTHER ORGANIZATIONS TO PROMOTE SCIENCE AND TECHNOLOGY IN THE PUBLIC INTEREST.

ATTACHMENT 2

FORM 990, PART III, LINE 4D - OTHER PROGRAM SERVICES

DESCRIPTION	GRANTS	EXPENSES	REVENUE
AWARDS, PRIZES AND FELLOWSHIPS	43,051.	135,908.	
CONSTITUENT PROGRAMS		635,591.	63,177.
MISCELLANEOUS			14,960.
TOTALS	43,051.	771,499.	78,137.

ATTACHMENT 3

990, PART VII- COMPENSATION OF THE FIVE HIGHEST PAID IND. CONTRACTORS

NAME AND ADDRESS	DESCRIPTION OF SERVICES	COMPENSATION
REDEYE INC 1675 WHITEHORSE MERCERVILLE RD SUITE 104 HAMILTON, NJ 08619	COMPUTER SERVICES	211,243.
HILTON SAN FRANCISCO 333 O'FARRELL ST SAN FRANCISCO, CA 94102	MEETING VENUE	328,517.
APTARA, INC. BOX #13963 COLLECTIONS, CENTER DRIVE CHICAGO, IL 60693	PUBLISHING SERVICES	170,771.
THE SHERIDAN PRESS P.O. BOX 842377 BOSTON, MA 02284-2377	JOURNAL PRINTING	154,930.
HIGH WIRE 425 BROADWAY STREET	E-PUBLISHING	127,020.

Name of the organization: THE ELECTROCHEMICAL SOCIETY, INC.

Employer identification number: 13-1771269

ATTACHMENT 3 (CONT'D)

990, PART VII- COMPENSATION OF THE FIVE HIGHEST PAID IND. CONTRACTORS

NAME AND ADDRESS	DESCRIPTION OF SERVICES	COMPENSATION
REDWOOD CITY, CA 94063		

ATTACHMENT 4

FORM 990, PART VIII - INVESTMENT INCOME

DESCRIPTION	(A) TOTAL REVENUE	(B) RELATED OR EXEMPT REVENUE	(C) UNRELATED BUSINESS REV.	(D) EXCLUDED REVENUE
INTEREST AND DIVIDEND INCOME	336,362.			336,362.
TOTALS	336,362.			336,362.

ATTACHMENT 5

FORM 990, PART X - INVESTMENTS - PUBLICLY TRADED SECURITIES

DESCRIPTION	ENDING BOOK VALUE	COST OR FMV
CORPORATE US BONDS	2,597,785.	FMV
STOCKS/MUTUAL FUNDS	5,848,474.	FMV
BONDS & MUTUAL FUNDS	391,817.	FMV
TOTALS	8,838,076.	

SCHEDULE R (Form 990)

Department of the Treasury
Internal Revenue Service

Related Organizations and Unrelated Partnerships

▶Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.

▶ Attach to Form 990. ▶ See separate instructions.

▶ Information about Schedule R (Form 990) and its instructions is at *www.irs.gov/form990*.

OMB No. 1545-0047

2013

Open to Public Inspection

Name of the organization: THE ELECTROCHEMICAL SOCIETY, INC.

Employer identification number: 13-1771269

Part I **Identification of Disregarded Entities** Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
(1) ECS HOLDINGS, LLC SOUTH MAIN STREET PENNINGTON, NJ 08534	REAL ESTATE	NJ	88,638.	4,593,392.	ECS
(2)					
(3)					
(4)					
(5)					
(6)					

Part II **Identification of Related Tax-Exempt Organizations** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity? Yes	No
(1)							
(2)							
(3)							
(4)							
(5)							
(6)							
(7)							

Part III **Identification of Related Organizations Taxable as a Partnership** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations? Yes	(h) No	(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner? Yes	(j) No	(k) Percentage ownership
(1) LITHIUM BATTERY FUND LIMITED 4 65 S. MAIN STREET, BUILDING D	IMLB MEETINGS	NJ	ECS	RELATED	0	0		X	0		X	
(2)												
(3)												
(4)												
(5)												
(6)												
(7)												

Part IV **Identification of Related Organizations Taxable as a Corporation or Trust** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512(b)(13) controlled entity? Yes	(i) No
(1)									
(2)									
(3)									
(4)									
(5)									
(6)									
(7)									

Part V Transactions With Related Organizations Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

Note. Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

			Yes	No
1	During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?			
a	Receipt of **(i)** interest **(ii)** annuities **(iii)** royalties or **(iv)** rent from a controlled entity	1a		X
b	Gift, grant, or capital contribution to related organization(s)	1b		X
c	Gift, grant, or capital contribution from related organization(s)	1c		X
d	Loans or loan guarantees to or for related organization(s)	1d		X
e	Loans or loan guarantees by related organization(s)	1e		X
f	Dividends from related organization(s)	1f		X
g	Sale of assets to related organization(s)	1g		X
h	Purchase of assets from related organization(s)	1h		X
i	Exchange of assets with related organization(s)	1i		X
j	Lease of facilities, equipment, or other assets to related organization(s)	1j		X
k	Lease of facilities, equipment, or other assets from related organization(s)	1k		X
l	Performance of services or membership or fundraising solicitations for related organization(s)	1l		X
m	Performance of services or membership or fundraising solicitations by related organization(s)	1m		X
n	Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)	1n		X
o	Sharing of paid employees with related organization(s)	1o		X
p	Reimbursement paid to related organization(s) for expenses	1p		X
q	Reimbursement paid by related organization(s) for expenses	1q		X
r	Other transfer of cash or property to related organization(s)	1r		X
s	Other transfer of cash or property from related organization(s)	1s		X

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

	(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1)				
(2)				
(3)				
(4)				
(5)				
(6)				

Part VI **Unrelated Organizations Taxable as a Partnership** Complete if the organization answered "Yes" on Form 990, Part IV, line 37.

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

(a) Name, address, and EIN of entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Predominant income (related, unrelated, excluded from tax under section 512-514)	(e) Are all partners section 501(c)(3) organizations?		(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
				Yes	No			Yes	No		Yes	No	
(1)													
(2)													
(3)													
(4)													
(5)													
(6)													
(7)													
(8)													
(9)													
(10)													
(11)													
(12)													
(13)													
(14)													
(15)													
(16)													

Part VII Supplemental Information

Complete this part to provide additional information for responses to questions on Schedule R (see instructions).

FORM 990 - SCHEDULE R - PART I - COLUMN D

TOTAL INCOME OF ECS HOLDINGS, LLC INCLUDES: GROSS RENTAL INCOME OF

$468,764 LESS: RENTAL EXPENSES (480,489) ($11,725)

RENT AND ROYALTY INCOME

Taxpayer's Name	Identifying Number
THE ELECTROCHEMICAL SOCIETY, INC.	13-1771269

DESCRIPTION OF PROPERTY
ECS HOLDINGS, LLC

☐ Yes ☐ No Did you actively participate in the operation of the activity during the tax year?

TYPE OF PROPERTY: REAL RENTAL INCOME			
OTHER INCOME: RENTAL INCOME		468,764.	
TOTAL GROSS INCOME			468,764.
OTHER EXPENSES: SEE ATTACHMENT			
DEPRECIATION (SHOWN BELOW)			
LESS: Beneficiary's Portion			
AMORTIZATION			
LESS: Beneficiary's Portion			
DEPLETION			
LESS: Beneficiary's Portion			
TOTAL EXPENSES			480,489.
TOTAL RENT OR ROYALTY INCOME (LOSS)			-11,725.
Less Amount to			
Rent or Royalty			
Depreciation			
Depletion			
Investment Interest Expense			
Other Expenses			
Net Income (Loss) to Others			
Net Rent or Royalty Income (Loss)			-11,725.
Deductible Rental Loss (if Applicable)			

SCHEDULE FOR DEPRECIATION CLAIMED

(a) Description of property	(b) Cost or unadjusted basis	(c) Date acquired	(d) ACRS des.	(e) Bus. %	(f) Basis for depreciation	(g) Depreciation in prior years	(h) Method	(i) Life or rate	(j) Depreciation for this year
Totals									

SUPPLEMENT TO RENT AND ROYALTY SCHEDULE

OTHER INCOME

RENTAL INCOME	468,764.
	468,764.

OTHER DEDUCTIONS

CLEANING	15,134.
COMMISSIONS	1,326.
INSURANCE	15,331.
LEGAL AND OTHER PROFESSIONAL FEES	5,728.
MANAGEMENT FEES	76,841.
REPAIRS	137,294.
TAXES	74,190.
UTILITIES	59,410.
TELEPHONE	4,335.
POSTAGE	629.
BANK CHARGE	5,022.
SECURITY SERVICE	144.
DEPRECIATION	85,105.
	480,489.

RENT AND ROYALTY SUMMARY

PROPERTY	TOTAL INCOME	DEPLETION/ DEPRECIATION	OTHER EXPENSES	ALLOWABLE NET INCOME
ECS HOLDINGS, LLC	468,764.		480,489.	-11,725.
TOTALS	468,764.		480,489.	-11,725.

WithumSmith+Brown, PC
Certified Public Accountants and Consultants
New Jersey, New York, Pennsylvania, Maryland, Florida, and Colorado

INSTRUCTIONS FOR FILING
THE ELECTROCHEMICAL SOCIETY, INC.
FORM 990T - EXEMPT ORGANIZATION BUSINESS RETURN
FOR THE PERIOD ENDED DECEMBER 31, 2013

****

SIGNATURE...
THE ORIGINAL RETURN SHOULD BE SIGNED (USING FULL NAME AND TITLE) AND DATED ON PAGE 2 BY AN AUTHORIZED OFFICER OF THE ORGANIZATION.

FILING...
THE SIGNED RETURN SHOULD BE FILED ON OR BEFORE NOVEMBER 17, 2014 WITH...

DEPARTMENT OF THE TREASURY
INTERNAL REVENUE SERVICE CENTER
OGDEN, UT 84201-0027

PAYMENT OF TAX...
NO PAYMENT OF TAX IS REQUIRED.

****

5 Vaughn Drive | Princeton, NJ 08540-6313 USA • 609 520 1188 . fax 609 520 9882 • www.withum.com

Form **990-T** | **Exempt Organization Business Income Tax Return** (and proxy tax under section 6033(e)) | OMB No. 1545-0687 | **2013**

Department of the Treasury
Internal Revenue Service

For calendar year 2013 or other tax year beginning _______, 2013, and ending , 20 .
▶ See separate instructions.
▶ Information about Form 990-T and its instructions is available at *www.irs.gov/form990t.*
▶ Do not enter SSN numbers on this form as it may be made public if your organization is a 501(c)(3).

Open to Public Inspection for 501(c)(3) Organizations Only

A [ ] Check box if address changed

Name of organization ([ ] Check box if name changed and see instructions.)
THE ELECTROCHEMICAL SOCIETY, INC.

D Employer identification number (Employees' trust, see instructions.)
13-1771269

B Exempt under section
[X] 501(C)(3)
[ ] 408(e) [ ] 220(e)
[ ] 408A [ ] 530(a)
[ ] 529(a)

Print or Type

Number, street, and room or suite no. If a P.O. box, see instructions.
65 S MAIN ST

City or town, state or province, country, and ZIP or foreign postal code
PENNINGTON, NJ 08534-2839

E Unrelated business activity codes (See instructions.)
511120

C Book value of all assets at end of year
16,913,851.

F Group exemption number (See instructions.) ▶

G Check organization type ▶ [X] 501(c) corporation [ ] 501(c) trust [ ] 401(a) trust [ ] Other trust

H Describe the organization's primary unrelated business activity. ▶ ADVERTISING IN MAGAZINE PUBLICATIONS

I During the tax year, was the corporation a subsidiary in an affiliated group or a parent-subsidiary controlled group? . . . . ▶ [ ] Yes [X] No
If "Yes," enter the name and identifying number of the parent corporation. ▶

J The books are in care of ▶ PAUL GROTE Telephone number ▶ 609-737-1902

Part I Unrelated Trade or Business Income

			(A) Income	(B) Expenses	(C) Net
1a	Gross receipts or sales				
b	Less returns and allowances ____ c Balance ▶	1c			
2	Cost of goods sold (Schedule A, line 7)	2			
3	Gross profit. Subtract line 2 from line 1c	3			
4a	Capital gain net income (attach Form 8949 and Schedule D)	4a			
b	Net gain (loss) (Form 4797, Part II, line 17) (attach Form 4797)	4b			
c	Capital loss deduction for trusts	4c			
5	Income (loss) from partnerships and S corporations (attach statement)	5			
6	Rent income (Schedule C)	6			
7	Unrelated debt-financed income (Schedule E)	7			
8	Interest, annuities, royalties, and rents from controlled organizations (Schedule F)	8			
9	Investment income of a section 501(c)(7), (9), or (17) organization (Schedule G)	9			
10	Exploited exempt activity income (Schedule I)	10			
11	Advertising income (Schedule J)	11	117,428.	141,586.	-24,158.
12	Other income (See instructions; attach schedule.)	12			
13	**Total.** Combine lines 3 through 12	13	117,428.	141,586.	-24,158.

Part II Deductions Not Taken Elsewhere (See instructions for limitations on deductions.) (Except for contributions, deductions must be directly connected with the unrelated business income.)

14	Compensation of officers, directors, and trustees (Schedule K)	14	
15	Salaries and wages	15	
16	Repairs and maintenance	16	
17	Bad debts	17	
18	Interest (attach schedule)	18	
19	Taxes and licenses	19	
20	Charitable contributions (See instructions for limitation rules.)	20	
21	Depreciation (attach Form 4562) ... 21		
22	Less depreciation claimed on Schedule A and elsewhere on return ... 22a	22b	
23	Depletion	23	
24	Contributions to deferred compensation plans	24	
25	Employee benefit programs	25	
26	Excess exempt expenses (Schedule I)	26	
27	Excess readership costs (Schedule J)	27	
28	Other deductions (attach schedule)	28	
29	**Total deductions.** Add lines 14 through 28	29	
30	Unrelated business taxable income before net operating loss deduction. Subtract line 29 from line 13	30	-24,158.
31	Net operating loss deduction (limited to the amount on line 30)	31	
32	Unrelated business taxable income before specific deduction. Subtract line 31 from line 30	32	-24,158.
33	Specific deduction (Generally $1,000, but see line 33 instructions for exceptions.)	33	1,000.
34	**Unrelated business taxable income.** Subtract line 33 from line 32. If line 33 is greater than line 32, enter the smaller of zero or line 32	34	-24,158.

Form **8868**
(Rev. January 2014)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return.
▶ Information about Form 8868 and its instructions is at *www.irs.gov/form8868*.

OMB No. 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box . . . . ▶ ☐
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing *(e-file)*. You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit *www.irs.gov/efile* and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only . . . . ▶ X

All other corporations (including 1120-C filers), partnerships, REMCs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Enter filer's identifying number, see instructions

Type or print

File by the due date for filing your return. See instructions.

Name of exempt organization or other filer, see instructions.
THE ELECTROCHEMICAL SOCIETY, INC.

Employer identification number (EIN) or
13-1771269

Number, street, and room or suite no. If a P.O. box, see instructions.
65 S MAIN ST

Social security number (SSN)

City, town or post office, state, and ZIP code. For a foreign address, see instructions.
PENNINGTON, NJ 08534-2839

Enter the Return code for the return that this application is for (file a separate application for each return) 0 7

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ PAUL GROTE

Telephone No. ▶ 609 737-1902 FAX No. ▶ 609 737-2743

- If the organization does not have an office or place of business in the United States, check this box . . . . ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ . If this is for the whole group, check this box . . . . . . ▶ ☐ . If it is for part of the group, check this box . . . . ▶ ☐ and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 11/17 , 20 14 , to file the exempt organization return for the organization named above. The extension is for the organization's return for:
▶ X calendar year 20 13 or
▶ ☐ tax year beginning ______, 20__, and ending ______, 20__.

2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	**3a**	$ 0
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	**3b**	$ 0
c	**Balance due.** Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	**3c**	$ 0

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

Part III Tax Computation

35	**Organizations Taxable as Corporations.** See instructions for tax computation. Controlled group members (sections 1561 and 1563) check here ▶ ☐ **See instructions** and:		
a	Enter your share of the $50,000, $25,000, and $9,925,000 taxable income brackets (in that order): (1) $ (2) $ (3) $		
b	Enter organization's share of: (1) Additional 5% tax (not more than $11,750) $		
	(2) Additional 3% tax (not more than $100,000) $		
c	Income tax on the amount on line 34 ▶	35c	
36	**Trusts Taxable at Trust Rates.** See instructions for tax computation. Income tax on the amount on line 34 from: ☐ Tax rate schedule or ☐ Schedule D (Form 1041) ▶	36	
37	**Proxy tax.** See instructions ▶	37	
38	Alternative minimum tax	38	
39	**Total.** Add lines 37 and 38 to line 35c or 36, whichever applies	39	

Part IV Tax and Payments

40 a	Foreign tax credit (corporations attach Form 1118; trusts attach Form 1116)	40a			
b	Other credits (see instructions)	40b			
c	General business credit. Attach Form 3800 (see instructions)	40c			
d	Credit for prior year minimum tax (attach Form 8801 or 8827)	40d			
e	**Total credits.** Add lines 40a through 40d			40e	
41	Subtract line 40e from line 39			41	
42	Other taxes. Check if from: ☐ Form 4255 ☐ Form 8611 ☐ Form 8697 ☐ Form 8866 ☐ Other (attach schedule)			42	
43	**Total tax.** Add lines 41 and 42			43	0
44 a	Payments: A 2012 overpayment credited to 2013	44a			
b	2013 estimated tax payments	44b			
c	Tax deposited with Form 8868	44c			
d	Foreign organizations: Tax paid or withheld at source (see instructions)	44d			
e	Backup withholding (see instructions)	44e			
f	Credit for small employer health insurance premiums (Attach Form 8941)	44f			
g	Other credits and payments: ☐ Form 2439 ☐ Form 4136 ☐ Other Total ▶	44g			
45	**Total payments.** Add lines 44a through 44g			45	
46	Estimated tax penalty (see instructions). Check if Form 2220 is attached ▶ ☐			46	
47	**Tax due.** If line 45 is less than the total of lines 43 and 46, enter amount owed ▶			47	
48	**Overpayment.** If line 45 is larger than the total of lines 43 and 46, enter amount overpaid ▶			48	
49	Enter the amount of line 48 you want: **Credited to 2014 estimated tax** ▶ **Refunded** ▶			49	

Part V Statements Regarding Certain Activities and Other Information (see instructions)

		Yes	No
1	At any time during the 2013 calendar year, did the organization have an interest in or a signature or other authority over a financial account (bank, securities, or other) in a foreign country? If YES, the organization may have to file Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts. If YES, enter the name of the foreign country here ▶ JAPAN	X	
2	During the tax year, did the organization receive a distribution from, or was it the grantor of, or transferor to, a foreign trust? If YES, see instructions for other forms the organization may have to file.		X
3	Enter the amount of tax-exempt interest received or accrued during the tax year ▶ $		

Schedule A - Cost of Goods Sold. Enter method of inventory valuation ▶

1	Inventory at beginning of year	1		6	Inventory at end of year	6	
2	Purchases	2		7	**Cost of goods sold.** Subtract line 6 from line 5. Enter here and in Part I, line 2	7	
3	Cost of labor	3					
4 a	Additional section 263A costs (attach schedule)	4a		8	Do the rules of section 263A (with respect to property produced or acquired for resale) apply to the organization?	Yes	No: X
b	Other costs (attach schedule)	4b					
5	**Total.** Add lines 1 through 4b	5					

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer | Date | Title

May the IRS discuss this return with the preparer shown below (see instructions)? X Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN P00116563
Firm's name ▶ WITHUM SMITH + BROWN PC			Firm's EIN ▶ 22-2027092	
Firm's address ▶ 5 VAUGHN DR PRINCETON, NJ 08540-6313			Phone no. 609-520-1188	

Schedule C - Rent Income (From Real Property and Personal Property Leased With Real Property) (see instructions)

1. Description of property
(1)
(2)
(3)
(4)

2. Rent received or accrued		
(a) From personal property (if the percentage of rent for personal property is more than 10% but not more than 50%)	(b) From real and personal property (if the percentage of rent for personal property exceeds 50% or if the rent is based on profit or income)	3(a) Deductions directly connected with the income in columns 2(a) and 2(b) (attach schedule)
(1)		
(2)		
(3)		
(4)		
Total	Total	
(c) Total income. Add totals of columns 2(a) and 2(b). Enter here and on page 1, Part I, line 6, column (A) . . . . . ▶		(b) Total deductions. Enter here and on page 1, Part I, line 6, column (B) ▶

Schedule E - Unrelated Debt-Financed Income (see instructions)

1. Description of debt-financed property	2. Gross income from or allocable to debt-financed property	3. Deductions directly connected with or allocable to debt-financed property (a) Straight line depreciation (attach schedule)	(b) Other deductions (attach schedule)
(1)			
(2)			
(3)			
(4)			

4. Amount of average acquisition debt on or allocable to debt-financed property (attach schedule)	5. Average adjusted basis of or allocable to debt-financed property (attach schedule)	6. Column 4 divided by column 5	7. Gross income reportable (column 2 x column 6)	8. Allocable deductions (column 6 x total of columns 3(a) and 3(b))
(1)		%		
(2)		%		
(3)		%		
(4)		%		
Totals . . . . ▶			Enter here and on page 1, Part I, line 7, column (A).	Enter here and on page 1, Part I, line 7, column (B).
Total dividends-received deductions included in column 8 . . . . ▶				

Schedule F - Interest, Annuities, Royalties, and Rents From Controlled Organizations (see instructions)

1. Name of controlled organization	2. Employer identification number	Exempt Controlled Organizations: 3. Net unrelated income (loss) (see instructions)	4. Total of specified payments made	5. Part of column 4 that is included in the controlling organization's gross income	6. Deductions directly connected with income in column 5
(1)					
(2)					
(3)					
(4)					

Nonexempt Controlled Organizations

7. Taxable Income	8. Net unrelated income (loss) (see instructions)	9. Total of specified payments made	10. Part of column 9 that is included in the controlling organization's gross income	11. Deductions directly connected with income in column 10
(1)				
(2)				
(3)				
(4)				
Totals . . . . ▶			Add columns 5 and 10. Enter here and on page 1, Part I, line 8, column (A).	Add columns 6 and 11. Enter here and on page 1, Part I, line 8, column (B).

Schedule G - Investment Income of a Section 501(c)(7), (9), or (17) Organization (see instructions)

1. Description of income	2. Amount of income	3. Deductions directly connected (attach schedule)	4. Set-asides (attach schedule)	5. Total deductions and set-asides (col. 3 plus col. 4)
(1)				
(2)				
(3)				
(4)				
Totals ▶	Enter here and on page 1, Part I, line 9, column (A).			Enter here and on page 1, Part I, line 9, column (B).

Schedule I - Exploited Exempt Activity Income, Other Than Advertising Income (see instructions)

1. Description of exploited activity	2. Gross unrelated business income from trade or business	3. Expenses directly connected with production of unrelated business income	4. Net income (loss) from unrelated trade or business (column 2 minus column 3). If a gain, compute cols. 5 through 7.	5. Gross income from activity that is not unrelated business income	6. Expenses attributable to column 5	7. Excess exempt expenses (column 6 minus column 5, but not more than column 4).
(1)						
(2)						
(3)						
(4)						
Totals ▶	Enter here and on page 1, Part I, line 10, col. (A).	Enter here and on page 1, Part I, line 10, col. (B).				Enter here and on page 1, Part II, line 26.

Schedule J - Advertising Income (see instructions)

Part I Income From Periodicals Reported on a Consolidated Basis

1. Name of periodical	2. Gross advertising income	3. Direct advertising costs	4. Advertising gain or (loss) (col. 2 minus col. 3). If a gain, compute cols. 5 through 7.	5. Circulation income	6. Readership costs	7. Excess readership costs (column 6 minus column 5, but not more than column 4).
(1) ATCH 1						
(2)						
(3)						
(4)						
Totals (carry to Part II, line (5)) ▶	117,428.	141,586.	-24,158.			

Part II Income From Periodicals Reported on a Separate Basis (For each periodical listed in Part II, fill in columns 2 through 7 on a line-by-line basis.)

1. Name of periodical	2. Gross advertising income	3. Direct advertising costs	4. Advertising gain or (loss) (col. 2 minus col. 3). If a gain, compute cols. 5 through 7.	5. Circulation income	6. Readership costs	7. Excess readership costs (column 6 minus column 5, but not more than column 4).
(1)						
(2)						
(3)						
(4)						
Totals from Part I	117,428.	141,586.				
Totals, Part II (lines 1-5) ▶	Enter here and on page 1, Part I, line 11, col. (A). 117,428.	Enter here and on page 1, Part I line 11, col. (B). 141,586.				Enter here and on page 1, Part II, line 27.

Schedule K - Compensation of Officers, Directors, and Trustees (see instructions)

1. Name	2. Title	3. Percent of time devoted to business	4. Compensation attributable to unrelated business
(1) ATCH 2		%	
(2)		%	
(3)		%	
(4)		%	
Total. Enter here and on page 1, Part II, line 14 ▶			

SCHED J - PART I, ADVERTISING INCOME REPORTED ON A CONSOLIDATED BASIS

ATTACHMENT 1

1. NAME OF PERIODICAL	2. GROSS ADVERTISING INCOME	3. DIRECT ADVERTISING COSTS	4. ADVERTISING GAIN OR LOSS	5. CIRCULATION INCOME	6. READERSHIP COSTS	7. EXCESS READERSHIP COSTS
INTERFACE	117,428.	141,586.				
COLUMN TOTALS	117,428.	141,586.	-24,158.			

ATTACHMENT 2

SCHD. K, FORM 990-T, COMPENSATION OF OFFICERS, DIRECTORS, & TRUSTEES

NAME AND ADDRESS	TITLE	BUSINESS PERCENT	COMPENSATION
ROQUE J CALVO 65 S MAIN ST PENNINGTON, NJ 08534-2839	EXEC DIRECTOR (1991-PRESENT)	0	0
MARY YESS 65 S MAIN ST PENNINGTON, NJ 08534-2839	DEPUTY EXEC DIR	0	0
PAUL GROTE 65 S MAIN ST PENNINGTON, NJ 08534-2839	DIRECTOR OF FINANCE	0	0
ESTHER TAKEUCHI 65 S MAIN ST PENNINGTON, NJ 08534-2839	BOARD MEMBER (PAST PRESIDENT)	0	0
TETSUYA OSAKA 65 S MAIN ST PENNINGTON, NJ 08534-2839	PRESIDENT	0	0
LLOYD GEORGE 65 S MAIN ST PENNINGTON, NJ 08534-2839	NONPROFIT FIN. PROF. THRU 2013	0	0
FERNANDO GARZON 65 S MAIN ST PENNINGTON, NJ 08534-2839	PAST PRESIDENT	0	0
JEAN ST-PIERRE 65 S MAIN ST PENNINGTON, NJ 08534-2839	BOARD MEMBER THRU 2013	0	0
CHRISTINA BOCK 65 S MAIN STREET PENINGTON, NJ 08534	TREASURER	0	0
SHELLEY MINTEER 65 S MAIN STREET PENNINGTON, NJ 08534	BOARD MEMBER THRU 2013	0	0

SCHD. K, FORM 990-T, COMPENSATION OF OFFICERS, DIRECTORS, & TRUSTEES

NAME AND ADDRESS	TITLE	BUSINESS PERCENT	COMPENSATION
PABLO CHANG 65 S MAIN STREET PENNINGTON, NJ 08534	BOARD MEMBER THRU 2013	0	0
JEFFREY FERGUS 65 S MAIN STREET PENNINGTON, NJ 08534	BOARD MEMBER THRU 2013	0	0
HARIKLIA DELIGIANNI 65 S MAIN STREET PENNINGTON, NJ 08534	SECRETARY	0	0
PAUL KOHL 65 SOUTH MAIN STREET PENNINGTON, NJ 08534	SR VICE PRESIDENT	0	0
DANIEL SCHERSON 65 S MAIN ST PENNINGTON, NJ 08534-2839	2ND VICE PRESIDENT	0	0
GERARDINE BOTTE 65 S MAIN ST PENNINGTON, NJ 08534-2839	BOARD MEMBER	0	0
JAMES BURGESS 65 S MAIN ST PENNINGTON, NJ 08534-2839	BOARD MEMBER	0	0
MICHAEL CARTER 65 S MAIN ST PENNINGTON, NJ 08534-2839	BOARD MEMBER	0	0
JOHN COLLINS 65 S MAIN ST PENNINGTON, NJ 08534-2839	BOARD MEMBER THRU 2013	0	0
SHINJI FUJIMOTO 65 S MAIN ST PENNINGTON, NJ 08534-2839	BOARD MEMBER	0	0

SCHD. K, FORM 990-T, COMPENSATION OF OFFICERS, DIRECTORS, & TRUSTEES

NAME AND ADDRESS	TITLE	BUSINESS PERCENT	COMPENSATION
OANA LEONTE 65 S MAIN ST PENNINGTON, NJ 08534-2839	BOARD MEMBER	0	0
BOR YANN LIAW 65 S MAIN ST PENNINGTON, NJ 08534-2839	BOARD MEMBER	0	0
R. WEISMAN 65 S MAIN ST PENNINGTON, NJ 08534-2839	BOARD MEMBER	0	0
GIOVANNI ZANGARI 65 S MAIN ST PENNINGTON, NJ 08534-2839	BOARD MEMBER	0	0
KRISHNAN RAJESHWAR 65 S MAIN ST PENNINGTON, NJ 08534-2839	3RD VICE PRESIDENT	0	0
ANDREW HOFF 65 S MAIN ST PENNINGTON, NJ 08534-2839	BOARD MEMBER	0	0
ROBERT MANTZ 65 S MAIN ST PENNINGTON, NJ 08534-2839	BOARD MEMBER	0	0
ANANT SETLUR 65 S MAIN ST PENNINGTON, NJ 08534-2839	BOARD MEMBER	0	0
ERIC WACHSMAN 65 S MAIN ST PENNINGTON, NJ 08534-2839	BOARD MEMBER	0	0
ADAM WEBER 65 S MAIN ST PENNINGTON, NJ 08534-2839	BOARD MEMBER	0	0

SCHD. K, FORM 990-T, COMPENSATION OF OFFICERS, DIRECTORS, & TRUSTEES

NAME AND ADDRESS	TITLE	BUSINESS PERCENT	COMPENSATION
XIAO-DONG ZHOU 65 S MAIN ST PENNINGTON, NJ 08534-2839	BOARD MEMBER	0	0
STUART SWIRSON 65 S MAIN ST PENNINGTON, NJ 08534-2839	NONPROFIT FINANCIAL PROF.	0	0
FERNANDO GARZON 65 S MAIN ST PENNINGTON, NJ 08534-2839	PAST PRESIDENT	0	0
ESTHER TAKEUCHI 65 S MAIN ST PENNINGTON, NJ 08534-2839	PAST PRESIDENT THRU 2013	0	0
TOTAL COMPENSATION			0

WithumSmith+Brown, PC
Certified Public Accountants and Consultants
New Jersey, New York, Pennsylvania, Maryland, Florida, and Colorado

INSTRUCTIONS FOR FILING
THE ELECTROCHEMICAL SOCIETY, INC.
NJ CRI-300R
NEW JERSEY CRI-300R - LONG FORM RENEWAL STATEMENT
FOR THE PERIOD ENDED DECEMBER 31, 2013

****

SIGNATURE...
THE ORIGINAL RETURN SHOULD BE DATED AND SIGNED BY TWO AUTHORIZED OFFICERS OF THE ORGANIZATION, INCLUDING THE CHIEF FISCAL OFFICER.

FILING...
THE SIGNED RETURN SHOULD BE FILED ON OR BEFORE AUGUST 15, 2014 WITH...

NJ DIV. OF CONSUMER AFFAIRS
OFFICE OF CONSUMER PROTECTION
CHARITIES REGISTRATION SECTION
P.O. BOX 45021
NEWARK, NEW JERSEY 07101

New Jersey Office of the Attorney General
Division of Consumer Affairs
Office of Consumer Protection
Charities Registration Section
124 Halsey Street, 7th Floor, P.O. Box 45021
Newark, NJ 07101
(973) 504-6215

Form CRI-300R
Long-Form Renewal Registration/Verification Statement
(Revised April 2008)

All questions must be answered.

Pursuant to the New Jersey Charitable Registration and Investigation Act (also known as "the C.R.I. Act" (N.J.S.A. 45:17A-18 et seq.), and prior to operating or commencing solicitation activity in the State, a charitable organization unless exempted from registration requirements (or qualified to file a Short-Form Registration Statement, CRI-200) shall file a Long-Form Initial Registration Statement, CRI-150-I. Charities submitting their annual long-form renewal registration must use Form CRI-300R. Please see the checklist at the end of this form for a discussion of fees, financial statements, documents to be attached, and other requirements for registration.

1. This statement contains the facts and financial information for the fiscal year ending: 12 / 31 / 2013 (month / day / year)

2. Federal ID Number (EIN) 13-1771269   2a. N.J. Charities Registration Number: CH- 2204200

3. **Full legal name of the registering organization:** THE ELECTROCHEMICAL SOCIETY, INC.
In care of: (if necessary, otherwise leave this line blank) ______

4. **Mailing Address:** 65 S MAIN ST (Street Address) PENNINGTON, (City) NJ (State) 08534-2839 (ZIP Code) ☐ **Change of Address**

NOTE: If "in care of," a postal, private or rural delivery mail box number is used, the street address of the charity must be given below.

5. The principal street address of the registering organization ______ (Street Address, City, State, ZIP Code)
☒ **Same as Mailing Address**

6. Does the organization have any offices in New Jersey in addition to the one listed above? ☐ Yes ☒ No
If "Yes," attach a list giving the street address and telephone number of each office in New Jersey.

6a. If the street address listed above is not where the organization's official records are kept, or if the organization does not maintain an office in New Jersey, indicate the name, full address, phone and fax number of the person having custody of the organization's records, and to whom correspondence should be addressed.

Contact person	Street Address	City	State	ZIP Code
PAUL GROTE	65 S MAIN ST	PENNINGTON	NJ	08534-2839

Telephone number (include area code)	Fax number (include area code)
609-737-1902	609-737-2743

7. Organization's contact information:

Telephone number (include area code)	Fax number (include area code)
609-737-1902	609-737-2743

E-mail address	Web site
ROQUE.CALVO@ELECTROCHEM.ORG	WWW.ELECTROCHEM.ORG

8. Type of organization (check one):

☒ Nonprofit corporation ☐ Foundation ☐ Individual ☐ Association ☐ Society
☐ Partnership ☐ Trust ☐ Other (Specify) ______

9. Where and when was the organization legally established? Date: 04/01/1902 State: NEW YORK
As required by the C.R.I. Act (N.J.S.A. 45:17A-24c(1)), attach to this registration a copy of the organization's bylaws and instrument of organization (that is, the organization's charter, articles of incorporation or organization, agreement of association, instrument of trust, or constitution) only if the document has been issued or amended during the fiscal year being reported.

10. Does the organization solicit funds under any name or names other than as indicated on line 3 of this form? [X] Yes [ ] No
If "Yes," indicate all of the other names used: ECS, THE ELECTROCHEMICAL SOCIETY

11. Does the organization intend to solicit contributions from the general public? [X] Yes [ ] No

12. Is the organization authorized by any other state or jurisdiction to solicit contributions? [ ] Yes [X] No
If "Yes," please provide a list of those states or jurisdictions, below or on a separate sheet of paper.

13. Does the organization have affiliates which share the contributions or other revenue it raised in New Jersey? [ ] Yes [X] No
If "Yes," provide a separate listing of those affiliates indicating the name, street address and telephone number for each one.

14. What is the charitable purpose or purposes for which the organization was formed? If necessary, attach a separate statement to this registration.

ATTACHMENT 1

14a. What are the specific programs and charitable purposes for which contributions are used? For each program, state whether it already exists or is planned. Only major program categories need be listed. If necessary, attach a separate statement to this registration.
STUDENT & EDUCATIONAL ENDOWMENT, AWARD & FELLOWSHIP ENDOWMENTS,
DIGITAL LIBRARY

15. Does the organization use an independent paid fund-raiser or fund-raising counsel? [ ] Yes [X] No
If "Yes," please attach to this registration a list of paid fund-raiser(s) or fund-raising counsel(s), including their full address, telephone number, fax number, registration number in New Jersey, and a contact person's name.

15a. Does the independent paid fund-raiser or fund-raising counsel have custody, control or access to the organization's funds? [ ] Yes [X] No
If "Yes," please describe the situation.

16. Has the organization permitted a charitable sales promotion to be conducted on its behalf by a commercial co-venturer during the fiscal year-end being reported? [ ] Yes [X] No
If "Yes," please explain:

17. Has the Internal Revenue Service (I.R.S.) determined that the organization is tax exempt under code 501(c)(3)? [X] Yes [ ] No
   a. If "No," has an application been filed which is still pending? If so, please attach a copy of the I.R.S. 1023 form filed. [ ] Yes [ ] No
   b. Has a tax exemption been granted under another I.R.S. code? [ ] Yes [X] No
   If "Yes," advise which one:
   c. Has an I.R.S. tax exemption been refused, changed or revoked? [ ] Yes [X] No
   If an exemption has been refused, changed or revoked, attach to this registration a copy of the I.R.S. determination letter of notification and provide a detailed explanation of the circumstances on a separate sheet of paper.

18. Has the organization ever had its authority to conduct charitable activities denied, suspended, or revoked in any jurisdiction or has the organization ever entered into any voluntary agreement of discontinuance with any governmental entity? [ ] Yes [X] No
If "Yes," attach to this registration a copy of the denial, suspension, revocation or voluntary agreement of discontinuance. If the document does not explain the reasons for the denial, suspension or revocation, attach to this registration an explanation on a separate sheet of paper.

19. Has the organization voluntarily entered into an assurance of voluntary compliance or similar order or agreement (including, but not limited to, a settlement of an administrative investigation or proceeding, with or without an admission of liability) with any jurisdiction, state or federal agency or officer? [ ] Yes [X] No
If "Yes," please attach to this registration the relevant document.

20. Has the organization or any of its present officers, directors, executive personnel or trustees ever been found to have engaged in unlawful practices in the solicitation of contributions or administration of charitable assets or been enjoined from soliciting contributions, or are such proceedings pending in this or any other jurisdiction? [ ] Yes [X] No
If "Yes," attach to this registration photocopies of any and all written documentation (such as a court order, administrative order, judgment, formal notice, written assurance or other document) which show the final disposition of the matter.

21. Has the organization or any of its present officers, directors, trustees or principal salaried executive staff employees ever been convicted of any criminal offense committed in connection with the performance of activities regulated under this act or any criminal or civil offense involving untruthfulness or dishonesty or any criminal offense relating adversely to the registrant's fitness to perform activities regulated by this Act? A plea of guilty, non vult, nolo contendere or any similar disposition of alleged criminal activity shall be deemed a conviction. [ ] Yes [X] No

22. Has the organization or any of its officers, directors, trustees or principal salaried executive staff employees been adjudged liable in any administrative or civil action involving theft, fraud, or deceptive business practices? For purposes of this question a judgment of liability in an administrative or civil action shall include, but is not limited to, any finding or admission that the individual engaged in an unlawful practice in relation to the solicitation of contributions or the administration of charitable assets. [ ] Yes [X] No
If "Yes," identify the individual(s) below and attach to this registration a copy of any order, judgment or other documents indicating the final disposition of the matter.

23. Provide the following information for each officer, director, trustee and the five most-highly compensated executive staff employees:

Name	Business address	Telephone number (include area code)	Title	Salary
ATTACHMENT 2				

CRI-300R Long-Form Registration Renewal Financial Statement

Note: ***If the financial value of a line item = 0, place a zero in the space provided.***
Please report all figures as GROSS, not NET.

Full legal name and street address of the organization

Full legal name: THE ELECTROCHEMICAL SOCIETY, INC.

Fiscal year-end being reported: 12 / 31 / 2013 (month / day / year) Federal ID Number (EIN) 13-1771269

Mailing address:
65 S MAIN ST PENNINGTON, NJ 08534-2839
Mailing Address | P.O. Box Number or Suite | City | State | ZIP code

Street address of the registering organization: ______
Street Address | City | State | ZIP Code

New Jersey Charities Registration number: CH 2204200 -00 Telephone number: 609-737-1902 *(include area code)*

Attach to this registration the most recent Internal Revenue Service Form 990 and Schedule A (990), if the organization has filed those forms. Attach a copy if the organization's annual financial report included an audited financial statement, or if the organization received gross revenue in excess of $250,000. **Note:** If the organization received gross revenue of less than $250,000, the financial reports must be certified by the organization's president or other authorized officer of the organization's board.

[X] In lieu of completing the CRI-300R Financial Statement pages, attached please find a copy of the I.R.S. 990 filing for the fiscal year-end indicated above.

A. Receipts

Line A1a. Direct Public Support received from the following sources:

(1)	Direct mail	______
(2)	Telephone solicitation	______
(3)	Commercial co-venture	______
(4)	Gross receipts from fund-raising events	______
(5)	Canisters, counter cards, door to door etc	______
(6)	Corporations and other businesses	______
(7)	Foundations and trusts	______
(8)	Donated land, buildings, property, equipment and materials	______
(9)	Legacies and bequests	______
(10)	Membership dues solely resulting from solicitations	______
(11)	Other support (specify)	______

Line A1b. Total Direct Public Support (add lines A1a(1) through A1a(11) ______

Line A1c. Indirect Public Support received from the following sources:

(1)	Federated fund-raising organization	______
(2)	From an affiliated organization	______
(3)	From another fund-raising organization	______

Line A1d. Total Indirect Public Support (add lines A1c(1) thru A1c(3)) ______

Line A1e. Total Gross Contributions (add lines A1b and A1d) ______

Line A2. Government grants including purchase of service contracts (specify agency)

a. . . . . ________

b. . . . . ________

c. . . . . ________

d. . . . . ________

Line A2e. Total Government Grants (add lines 2a thru 2d) . . . . ________

Line A3. Other Support

a. Bona fide membership . . . . ________

b. Program service revenue . . . . ________

c. Professional services rendered by volunteers . . . . ________

d. Miscellaneous income (specify) . . . . ________

Line A3e. Total Other Support(add the total of lines A3a thru A3d) . . . . ________

Line A4. Total Gross Revenue (add lines A1e, A2e, and A3e) . . . . ________

B. Expenses

Line B1. Program expenses . . . . ________

Line B2. Management and general expenses . . . . ________

Line B3. Fund-raising expenses . . . . ________

Line B4. Payments to state/national affiliates (if applicable) . . . . ________

LIne B5. **Total Expenses** (add the totals of line B1 thru B4) . . . . ________

C. Excess or Deficit

For the fiscal year-end (subtract line B5 from line A4) . . . . ________

D. Fund Balance

Line D1. Net assets or fund balances at beginning of the year . . . . ________

Line D2. Other changes in net assets or fund balances (attach explanation). . . . . ________

Line D3. Net assets or fund balances at end of year (Combine line C, D1 and D2) . . ________

Please Note: The amount of Gross Contributions (line A1e on this form) determines the registration fee which must be paid and the form which should be used. July 2006 revisions to the Charities Registration Act now require all charities to pay a registration fee, including charities whose Gross Contributions are less than $10,000. Further information for charity registrants may be found on our Web site: http://www.njconsumeraffairs.gov/ocp/charities.htm.

Long-Form Renewal Registration Statement
Form CRI-300RC
Confidential Information

Organization's Name: THE ELECTROCHEMICAL SOCIETY, INC.

N.J. Charities Registration Number: CH-2204200 -00   Federal ID Number (EIN) 13-1771269

Fiscal Year-End being reported: 12 / 31 / 2013 (month / day / year)

24. Are any of the organization's officers, directors, trustees or the five most-highly compensated employees related by blood, marriage or adoption to:

a. each other? ☐ Yes ☒ No

b. any officers, agents or employees of any fund-raising counsel or independent paid fund-raiser under contract to the organization? ☐ Yes ☒ No

c. any chief executive, employee, any other employee of the organization with a direct financial interest in the transaction, or any partner, proprietor, director, officer, trustee, or to any shareholder of the organization with more than two (2) percent interest in any supplier or vendor providing goods or services to the organization? ☐ Yes ☒ No

d. If you answered "Yes," to questions 24a, b, or c, please provide a statement explaining these relationships.

25. Do any of the organization's officers, directors, trustees or the five most-highly compensated employees have a financial interest in any activities engaged in by a fund-raising counsel or independent paid fund-raiser under contract to the organization, or any supplier or vendor providing goods or services to the organization? ☐ Yes ☒ No

If "Yes," please detail these relationships below or on a separate sheet of paper, and provide the name, business address and telephone number of all interested parties.

We understand that this registration is being issued at the discretion of the Division of Consumer Affairs and agree that employees of the Division may inspect the records in the possession of this organization in order to ascertain compliance with the statute and all pertinent regulations. We also understand that we may be required to provide additional information if requested.

We hereby certify that the above information and the attached financial schedule(s) and statement(s) are true. We are aware that if any of the above statements are willfully false, we are subject to punishment.

Signature ____________ Name ____________ Title ____________ Date ____________

Signature ____________ Name ____________ Title ____________ Date ____________

This form must be signed by two (2) authorized officers of the organization, including the chief financial officer.

Note: Form CRI-300RC must be filed with Form CRI-300R.

FORM CRI-300R - CHARITABLE PURPOSES OR PURPOSES

TO ADVANCE THE THEORY & PRACTICE OF ELECTROCHEMISTRY, SOLID STATE SCIENCE, & ALLIED SUBJECTS; TO ENCOURAGE RESEARCH & DISSEMINATION KNOWLEDGE IN THESE FIELDS; & TO ASSURE THE AVAILABILITY OF ADEQUATE TRAINING & EDUCATION OF FUNDAMENTAL & APPLIED SCIENTISTS & ENGINEERS IN THESE FIELDS.

CRI-300R OFFICERS, DIRECTORS, TRUSTEES, FIVE HIGHEST PAID EMPLOYEES

ATTACHMENT 2

NAME AND ADDRESS	TITLE	TELEPHONE	COMPENSATION
ROQUE J CALVO 65 S MAIN ST PENNINGTON, NJ 08534-2839	EXEC DIRECTOR (1991-		227,582.
MARY YESS 65 S MAIN ST PENNINGTON, NJ 08534-2839	DEPUTY EXEC DIR		141,670.
PAUL GROTE 65 S MAIN STREET PENNINGTON, NJ 08534-2839	DIRECTOR OF FINANCE		96,045.
ESTHER TAKEUCHI 65 S MAIN ST PENNINGTON, NJ 08534-2839	BOARD MEMBER (PAST P		
TETSUYA OSAKA 65 S MAIN ST PENNINGTON, NJ 08534-2839	PRESIDENT		
LLOYD GEORGE 65 S MAIN ST PENNINGTON, NJ 08534-2839	NONPROFIT FIN. PROF.		
FERNANDO GARZON 65 S MAIN ST PENNINGTON, NJ 08534-2839	PAST PRESIDENT		
JEAN ST-PIERRE 65 S MAIN ST	BOARD MEMBER THRU 20		

CRI-300R OFFICERS, DIRECTORS, TRUSTEES, FIVE HIGHEST PAID EMPLOYEES

ATTACHMENT 2 (CONT'D)

NAME AND ADDRESS	TITLE	TELEPHONE	COMPENSATION
PENNINGTON, NJ 08534-2839			
CHRISTINA BOCK 65 S MAIN STREET PENINGTON, NJ 08534	TREASURER		
SHELLEY MINTEER 65 S MAIN STREET PENNINGTON, NJ 08534	BOARD MEMBER THRU 20		
PABLO CHANG 65 S MAIN STREET PENNINGTON, NJ 08534	BOARD MEMBER THRU 20		
JEFFREY FERGUS 65 S MAIN STREET PENNINGTON, NJ 08534	BOARD MEMBER THRU 20		
HARIKLIA DELIGIANNI 65 S MAIN STREET PENNINGTON, NJ 08534	SECRETARY		
PAUL KOHL 65 SOUTH MAIN STREET PENNINGTON, NJ 08534	SR VICE PRESIDENT		
DANIEL SCHERSON 65 S MAIN ST PENNINGTON, NJ 08534-2839	2ND VICE PRESIDENT		

CRI-300R OFFICERS, DIRECTORS, TRUSTEES, FIVE HIGHEST PAID EMPLOYEES

ATTACHMENT 2 (CONT'D)

NAME AND ADDRESS	TITLE	TELEPHONE	COMPENSATION
GERARDINE BOTTE 65 S MAIN ST PENNINGTON, NJ 08534-2839	BOARD MEMBER		
JAMES BURGESS 65 S MAIN ST PENNINGTON, NJ 08534-2839	BOARD MEMBER		
MICHAEL CARTER 65 S MAIN ST PENNINGTON, NJ 08534-2839	BOARD MEMBER		
JOHN COLLINS 65 S MAIN ST PENNINGTON, NJ 08534-2839	BOARD MEMBER THRU 20		
SHINJI FUJIMOTO 65 S MAIN ST PENNINGTON, NJ 08534-2839	BOARD MEMBER		
OANA LEONTE 65 S MAIN ST PENNINGTON, NJ 08534-2839	BOARD MEMBER		
BOR YANN LIAW 65 S MAIN ST PENNINGTON, NJ 08534-2839	BOARD MEMBER		
R. WEISMAN 65 S MAIN ST	BOARD MEMBER		

CRI-300R OFFICERS, DIRECTORS, TRUSTEES, FIVE HIGHEST PAID EMPLOYEES

ATTACHMENT 2 (CONT'D)

NAME AND ADDRESS	TITLE	TELEPHONE	COMPENSATION
PENNINGTON, NJ 08534-2839			
GIOVANNI ZANGARI 65 S MAIN ST PENNINGTON, NJ 08534-2839	BOARD MEMBER		
KRISHNAN RAJESHWAR 65 S MAIN ST PENNINGTON, NJ 08534-2839	3RD VICE PRESIDENT		
ANDREW HOFF 65 S MAIN ST PENNINGTON, NJ 08534-2839	BOARD MEMBER		
ROBERT MANTZ 65 S MAIN ST PENNINGTON, NJ 08534-2839	BOARD MEMBER		
ANANT SETLUR 65 S MAIN ST PENNINGTON, NJ 08534-2839	BOARD MEMBER		
ERIC WACHSMAN 65 S MAIN ST PENNINGTON, NJ 08534-2839	BOARD MEMBER		
ADAM WEBER 65 S MAIN ST PENNINGTON, NJ 08534-2839	BOARD MEMBER		

CRI-300R OFFICERS, DIRECTORS, TRUSTEES, FIVE HIGHEST PAID EMPLOYEES

ATTACHMENT 2 (CONT'D)

NAME AND ADDRESS	TITLE	TELEPHONE	COMPENSATION
XIAO-DONG ZHOU 65 S MAIN ST PENNINGTON, NJ 08534-2839	BOARD MEMBER		
STUART SWIRSON 65 S MAIN ST PENNINGTON, NJ 08534-2839	NONPROFIT FINANCIAL		
FERNANDO GARZON 65 S MAIN ST PENNINGTON, NJ 08534-2839	PAST PRESIDENT		
ESTHER TAKEUCHI 65 S MAIN ST PENNINGTON, NJ 08534-2839	PAST PRESIDENT THRU		

Form **990** | Department of the Treasury Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990 and its instructions is at *www.irs.gov/form990.*

OMB No. 1545-0047 | **2013** | **Open to Public Inspection**

A For the 2013 calendar year, or tax year beginning , 2013, and ending , 20

B Check if applicable: Address change [ ] Name change [ ] Initial return [ ] Terminated [ ] Amended return [ ] Application pending [ ]

C Name of organization: THE ELECTROCHEMICAL SOCIETY, INC.

Doing Business As

Number and street (or P.O. box if mail is not delivered to street address): 65 S MAIN ST | Room/suite

City or town, state or province, country, and ZIP or foreign postal code: PENNINGTON, NJ 08534-2839

D Employer identification number: 13-1771269

E Telephone number: (609) 737-1902

G Gross receipts $ 9,358,535.

F Name and address of principal officer: ROQUE CALVO
65 S MAIN ST PENNINGTON, NJ 08534-2839

H(a) Is this a group return for subordinates? [ ] Yes [X] No

H(b) Are all subordinates included? [ ] Yes [ ] No
If "No," attach a list. (see instructions)

I Tax-exempt status: [X] 501(c)(3) [ ] 501(c) ( ) ◀ (insert no.) [ ] 4947(a)(1) or [ ] 527

J Website: ▶ WWW.ELECTROCHEM.ORG

H(c) Group exemption number ▶

K Form of organization: [X] Corporation [ ] Trust [ ] Association [ ] Other ▶

L Year of formation: 1902 **M** State of legal domicile: NJ

Part I Summary

Activities & Governance

1 Briefly describe the organization's mission or most significant activities: ADVANCE THEORY & PRACTICE OF ELECTROCHEM& SOLID STATE SCIENCE, ETC. AND TO ENCOURAGE RESEARCH,DISCUSSION, CRITICAL ASSESSMENT, & DISSEMINATION OF KNOWLEDGE IN THESE FIELDS.

2 Check this box ▶ [ ] if the organization discontinued its operations or disposed of more than 25% of its net assets.

3	Number of voting members of the governing body (Part VI, line 1a)	3	23.
4	Number of independent voting members of the governing body (Part VI, line 1b)	4	23.
5	Total number of individuals employed in calendar year 2013 (Part V, line 2a)	5	29.
6	Total number of volunteers (estimate if necessary)	6	500.
7a	Total unrelated business revenue from Part VIII, column (C), line 12	7a	117,428.
b	Net unrelated business taxable income from Form 990-T, line 34	7b	-24,158.

			Prior Year	Current Year
Revenue	8	Contributions and grants (Part VIII, line 1h)	23,696.	81,432.
	9	Program service revenue (Part VIII, line 2g)	6,059,314.	5,326,171.
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	268,591.	1,019,234.
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	96,708.	3,235.
	12	Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	6,448,309.	6,430,072.
Expenses	13	Grants and similar amounts paid (Part IX, column (A), lines 1-3)	58,185.	43,051.
	14	Benefits paid to or for members (Part IX, column (A), line 4)	0	0
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	2,299,573.	2,100,893.
	16a	Professional fundraising fees (Part IX, column (A), line 11e)	0	0
	b	Total fundraising expenses (Part IX, column (D), line 25) ▶ 27,549.		
	17	Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	3,566,861.	3,608,105.
	18	Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	5,924,619.	5,752,049.
	19	Revenue less expenses. Subtract line 18 from line 12	523,690.	678,023.
			Beginning of Current Year	**End of Year**
Net Assets or Fund Balances	20	Total assets (Part X, line 16)	15,671,229.	16,913,851.
	21	Total liabilities (Part X, line 26)	2,468,456.	2,738,804.
	22	Net assets or fund balances. Subtract line 21 from line 20	13,202,773.	14,175,047.

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here

▶ Signature of officer | Date

▶ Type or print name and title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check [ ] if self-employed	PTIN P00116563

Firm's name ▶ WITHUM SMITH + BROWN PC | Firm's EIN ▶ 22-2027092

Firm's address ▶ 5 VAUGHN DR PRINCETON, NJ 08540-6313 | Phone no. 609-520-1188

May the IRS discuss this return with the preparer shown above? (see instructions) [X] Yes [ ] No

Part III **Statement of Program Service Accomplishments**

Check if Schedule O contains a response or note to any line in this Part III . . . . . [X]

1 Briefly describe the organization's mission:

ATTACHMENT 1

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? . . . . . [ ] Yes [X] No

If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? . . . . . [ ] Yes [X] No

If "Yes," describe these changes on Schedule O.

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code:) (Expenses $ 2,127,074. including grants of $) (Revenue $ 2,660,730.)

PUBLISH 4 PEER REVIEW JOURNALS, SYMPOSIUM VOLUMES, MEETING ABSTRACTS, MEMBER MAGAZINES AND MONOGRAPH VOLUMES. ALL PUBLICATIONS WITH THE EXCEPTION OF MONOGRAPH VOLUMES ARE AVAILABLE IN DIGITAL FORM AND AVAILABLE IN THE ECS DIGITAL LIBRARY. CIRCULATE MONTHLY JOURNALS AND QUARTERLY MAGAZINE TO SUBSCRIBERS AND MEMBERS, PUBLISH HARDBOUND AND SOFTBOUND VOLUMES FOR SALE.

4b (Code:) (Expenses $ 183,396. including grants of $) (Revenue $ 529,990.)

MEMBERSHIP: COLLECT ANNUAL DUES FROM FOREIGN AND DOMESTIC MEMBERS. ECS MEMBERSHIP IN 2013 TOTALLED 6,385: 4,549 ACTIVE, 1,646 STUDENT & 190 INSTITUTIONAL REPRESENTATIVES.

4c (Code:) (Expenses $ 1,719,343. including grants of $) (Revenue $ 2,072,274.)

SOCIETY MEETINGS: SPONSOR BI-ANNUAL LARGE-SCALE CONVENTIONS ATTENDANCE FROM MEMBERS, NONMEMBERS AND EXHIBITORS AS WELL PERIODIC SATELLITE MEETINGS. ATTENDANCE AT FALL MEETING WAS 3,130. ATTENDANCE AT SPRING MEETING WAS 1,481.

4d Other program services (Describe in Schedule O.) ATTACHMENT 2

(Expenses $ 771,499. including grants of $ 43,051.) (Revenue $ 78,137.)

4e Total program service expenses ▶ 4,801,312.

Part IV Checklist of Required Schedules

			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? *If "Yes," complete Schedule A*	1	X	
2	Is the organization required to complete *Schedule B, Schedule of Contributors*(see instructions)?	2	X	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? *If "Yes," complete Schedule C, Part I*	3		X
4	**Section 501(c)(3) organizations.** Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? *If "Yes," complete Schedule C, Part II*	4	X	
5	Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? *If "Yes," complete Schedule C, Part III*	5		X
6	Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? *If "Yes," complete Schedule D, Part I*	6		X
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? *If "Yes," complete Schedule D, Part II*	7		X
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? *If "Yes," complete Schedule D, Part III*	8		X
9	Did the organization report an amount in Part X, line 21, for escrow or custodial account liability; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? *If "Yes," complete Schedule D, Part IV*	9	X	
10	Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? *If "Yes," complete Schedule D, Part V*	10	X	
11	If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.			
a	Did the organization report an amount for land, buildings, and equipment in Part X, line 10? *If "Yes," complete Schedule D, Part VI*	11a	X	
b	Did the organization report an amount for investments-other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VII*	11b		X
c	Did the organization report an amount for investments-program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VIII*	11c		X
d	Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part IX*	11d		X
e	Did the organization report an amount for other liabilities in Part X, line 25? *If "Yes," complete Schedule D, Part X*	11e	X	
f	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? *If "Yes," complete Schedule D, Part X*	11f	X	
12a	Did the organization obtain separate, independent audited financial statements for the tax year? *If "Yes," complete Schedule D, Parts XI and XII*	12a		X
b	Was the organization included in consolidated, independent audited financial statements for the tax year? *If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional*	12b	X	
13	Is the organization a school described in section 170(b)(1)(A)(ii)? *If "Yes," complete Schedule E*	13		X
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a	X	
b	Did the organization have aggregate revenues or expenses of more than $10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at $100,000 or more? *If "Yes," complete Schedule F, Parts I and IV*	14b	X	
15	Did the organization report on Part IX, column (A), line 3, more than $5,000 of grants or other assistance to or for any foreign organization? *If "Yes," complete Schedule F, Parts II and IV*	15		X
16	Did the organization report on Part IX, column (A), line 3, more than $5,000 of aggregate grants or other assistance to or for foreign individuals? *If "Yes," complete Schedule F, Parts III and IV*	16	X	
17	Did the organization report a total of more than $15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? *If "Yes," complete Schedule G, Part I (see instructions)*	17		X
18	Did the organization report more than $15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? *If "Yes," complete Schedule G, Part II*	18		X
19	Did the organization report more than $15,000 of gross income from gaming activities on Part VIII, line 9a? *If "Yes," complete Schedule G, Part III*	19		X
20a	Did the organization operate one or more hospital facilities? *If "Yes," complete Schedule H*	20a		X
b	If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b		

Part IV Checklist of Required Schedules *(continued)*

			Yes	No
21	Did the organization report more than $5,000 of grants or other assistance to any domestic organization or government on Part IX, column (A), line 1? *If "Yes," complete Schedule I, Parts I and II*	21		X
22	Did the organization report more than $5,000 of grants or other assistance to individuals in the United States on Part IX, column (A), line 2? *If "Yes," complete Schedule I, Parts I and III*	22	X	
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? *If "Yes," complete Schedule J*	23	X	
24 a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than $100,000 as of the last day of the year, that was issued after December 31, 2002? *If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a*	24a		X
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
25 a	**Section 501(c)(3) and 501(c)(4) organizations.** Did the organization engage in an excess benefit transaction with a disqualified person during the year? *If "Yes," complete Schedule L, Part I*	25a		X
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? *If "Yes," complete Schedule L, Part I*	25b		X
26	Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payable to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? If so, complete Schedule L, Part II	26		X
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? *If "Yes," complete Schedule L, Part III*	27		X
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):			
a	A current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28a		X
b	A family member of a current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28b		X
c	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? *If "Yes," complete Schedule L, Part IV*	28c		X
29	Did the organization receive more than $25,000 in non-cash contributions? *If "Yes," complete Schedule M*	29		X
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? *If "Yes," complete Schedule M*	30		X
31	Did the organization liquidate, terminate, or dissolve and cease operations? *If "Yes," complete Schedule N, Part I*	31		X
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? *If "Yes," complete Schedule N, Part II*	32		X
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? *If "Yes," complete Schedule R, Part I*	33	X	
34	Was the organization related to any tax-exempt or taxable entity? *If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1*	34	X	
35 a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a		X
b	If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? *If "Yes," complete Schedule R, Part V, line 2*	35b		
36	**Section 501(c)(3) organizations.** Did the organization make any transfers to an exempt non-charitable related organization? *If "Yes," complete Schedule R, Part V, line 2*	36		X
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? *If "Yes," complete Schedule R, Part VI*	37		X
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? **Note.** All Form 990 filers are required to complete Schedule O	38	X	

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V . . . . ☐

				Yes	No
1a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable	1a	22		
b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable	1b	0		
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?		1c	X	
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	2a	29		
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns?		2b	X	
	Note. If the sum of lines 1a and 2a is greater than 250, you may be required to *e-file* (see instructions)				
3a	Did the organization have unrelated business gross income of $1,000 or more during the year?		3a	X	
b	If "Yes," has it filed a Form 990-T for this year? *If "No" to line 3b, provide an explanation in Schedule O*		3b	X	
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?		4a	X	
b	If "Yes," enter the name of the foreign country: ▶ JAPAN				
	See instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.				
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?		5a		X
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?		5b		X
c	If "Yes" to line 5a or 5b, did the organization file Form 8886-T?		5c		
6a	Does the organization have annual gross receipts that are normally greater than $100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?		6a		X
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?		6b		
7	**Organizations that may receive deductible contributions under section 170(c).**				
a	Did the organization receive a payment in excess of $75 made partly as a contribution and partly for goods and services provided to the payor?		7a		X
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?		7b		
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?		7c		X
d	If "Yes," indicate the number of Forms 8282 filed during the year	7d			
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		7e		X
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		7f		X
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?		7g		
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?		7h		
8	**Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations.** Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?		8		
9	**Sponsoring organizations maintaining donor advised funds.**				
a	Did the organization make any taxable distributions under section 4966?		9a		
b	Did the organization make a distribution to a donor, donor advisor, or related person?		9b		
10	**Section 501(c)(7) organizations.** Enter:				
a	Initiation fees and capital contributions included on Part VIII, line 12	10a			
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b			
11	**Section 501(c)(12) organizations.** Enter:				
a	Gross income from members or shareholders	11a			
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)	11b			
12a	**Section 4947(a)(1) non-exempt charitable trusts.** Is the organization filing Form 990 in lieu of Form 1041?		12a		
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b			
13	**Section 501(c)(29) qualified nonprofit health insurance issuers.**				
a	Is the organization licensed to issue qualified health plans in more than one state?		13a		
	Note. See the instructions for additional information the organization must report on Schedule O.				
b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	13b			
c	Enter the amount of reserves on hand	13c			
14a	Did the organization receive any payments for indoor tanning services during the tax year?		14a		X
b	If "Yes," has it filed a Form 720 to report these payments? *If "No," provide an explanation in Schedule O*		14b		

Part VI **Governance, Management, and Disclosure** *For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.*

Check if Schedule O contains a response or note to any line in this Part VI . . . . . . [X]

Section A. Governing Body and Management

			Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year . . . . . **1a** 23 If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.			
b	Enter the number of voting members included in line 1a, above, who are independent **1b** 23			
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2		X
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person?	3		X
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?	4		X
5	Did the organization become aware during the year of a significant diversion of the organization's assets?	5		X
6	Did the organization have members or stockholders?	6	X	
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?	7a	X	
b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?	7b		X
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:			
a	The governing body?	8a	X	
b	Each committee with authority to act on behalf of the governing body?	8b	X	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? *If "Yes," provide the names and addresses in Schedule O*	9		X

Section B. Policies *(This Section B requests information about policies not required by the Internal Revenue Code.)*

			Yes	No
10a	Did the organization have local chapters, branches, or affiliates?	10a	X	
b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	10b	X	
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	11a	X	
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990.			
12a	Did the organization have a written conflict of interest policy? *If "No," go to line 13*	12a	X	
b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	X	
c	Did the organization regularly and consistently monitor and enforce compliance with the policy? *If "Yes," describe in Schedule O how this was done*	12c	X	
13	Did the organization have a written whistleblower policy?	13	X	
14	Did the organization have a written document retention and destruction policy?	14	X	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?			
a	The organization's CEO, Executive Director, or top management official	15a	X	
b	Other officers or key employees of the organization If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).	15b	X	
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a		X
b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	16b		

Section C. Disclosure

17 List the states with which a copy of this Form 990 is required to be filed ▶ NJ,

18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.

[X] Own website [ ] Another's website [X] Upon request [ ] Other *(explain in Schedule O)*

19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization: ▶ PAUL GROTE 65 S MAIN ST PENNINGTON, NJ 08534-2839 609-737-1902

Part VII **Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

Check if Schedule O contains a response or note to any line in this Part VII . . . . [X]

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than $100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than $100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than $10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position: Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
(1) ROQUE J CALVO EXEC DIRECTOR (1991-PRESENT)	37.50	X		X				249,839.	0	43,687.
(2) TETSUYA OSAKA PRESIDENT	1.00	X		X				0	0	0
(3) LLOYD GEORGE NONPROFIT FIN. PROF. THRU 2013	1.00	X						0	0	0
(4) JEAN ST-PIERRE BOARD MEMBER THRU 2013	1.00	X						0	0	0
(5) CHRISTINA BOCK TREASURER	1.00	X		X				0	0	0
(6) SHELLEY MINTEER BOARD MEMBER THRU 2013	1.00	X						0	0	0
(7) PABLO CHANG BOARD MEMBER THRU 2013	1.00	X						0	0	0
(8) JEFFREY FERGUS BOARD MEMBER THRU 2013	1.00	X						0	0	0
(9) HARIKLIA DELIGIANNI SECRETARY	1.00	X		X				0	0	0
(10) PAUL KOHL SR VICE PRESIDENT	1.00	X		X				0	0	0
(11) DANIEL SCHERSON 2ND VICE PRESIDENT	1.00	X		X				0	0	0
(12) GERARDINE BOTTE BOARD MEMBER	1.00	X						0	0	0
(13) JAMES BURGESS BOARD MEMBER	1.00	X						0	0	0
(14) MICHAEL CARTER BOARD MEMBER	1.00	X						0	0	0

Part VII **Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** *(continued)*

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position: Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
15) JOHN COLLINS BOARD MEMBER THRU 2013	1.00	X						0	0	0
16) SHINJI FUJIMOTO BOARD MEMBER	1.00	X						0	0	0
17) OANA LEONTE BOARD MEMBER	1.00	X						0	0	0
18) BOR YANN LIAW BOARD MEMBER	1.00	X						0	0	0
19) R. WEISMAN BOARD MEMBER	1.00	X						0	0	0
20) GIOVANNI ZANGARI BOARD MEMBER	1.00	X						0	0	0
21) KRISHNAN RAJESHWAR 3RD VICE PRESIDENT	1.00	X		X				0	0	0
22) ANDREW HOFF BOARD MEMBER	1.00	X						0	0	0
23) ROBERT MANTZ BOARD MEMBER	1.00	X						0	0	0
24) ANANT SETLUR BOARD MEMBER	1.00	X						0	0	0
25) ERIC WACHSMAN BOARD MEMBER	1.00	X						0	0	0
1b Sub-total . . . . ▶								249,839.	0	43,687.
c Total from continuation sheets to Part VII, Section A . . . ▶								242,701.	0	69,370.
d Total (add lines 1b and 1c) . . . ▶								492,540.	0	113,057.

2 Total number of individuals (including but not limited to those listed above) who received more than $100,000 of reportable compensation from the organization ▶ 2

		Yes	No
3 Did the organization list any **former** officer, director, or trustee, key employee, or highest compensated employee on line 1a? *If "Yes," complete Schedule J for such individual*	3		X
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? *If "Yes," complete Schedule J for such individual*	4	X	
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? *If "Yes," complete Schedule J for such person*	5		X

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
ATTACHMENT 3		

2 Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 in compensation from the organization ▶ 7

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees *(continued)*

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position: Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
26) ADAM WEBER BOARD MEMBER	1.00	X						0	0	0
27) XIAO-DONG ZHOU BOARD MEMBER	1.00	X						0	0	0
28) STUART SWIRSON NONPROFIT FINANCIAL PROF.	1.00	X						0	0	0
29) FERNANDO GARZON PAST PRESIDENT	1.00	X						0	0	0
30) ESTHER TAKEUCHI PAST PRESIDENT THRU 2013	1.00	X						0	0	0
31) PAUL GROTE DIRECTOR OF FINANCE	37.50			X				98,538.	0	30,827.
32) MARY YESS DEPUTY EXEC DIR	37.50					X		144,163.	0	38,543.

	(D)	(E)	(F)
1b Sub-total ▶			
c Total from continuation sheets to Part VII, Section A ▶			
d Total (add lines 1b and 1c) ▶			

2 Total number of individuals (including but not limited to those listed above) who received more than $100,000 of reportable compensation from the organization ▶ 2

		Yes	No
3 Did the organization list any **former** officer, director, or trustee, key employee, or highest compensated employee on line 1a? *If "Yes," complete Schedule J for such individual*	3		X
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? *If "Yes," complete Schedule J for such individual*	4	X	
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? *If "Yes," complete Schedule J for such person*	5		X

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation

2 Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 in compensation from the organization ▶

Part VIII **Statement of Revenue**

Check if Schedule O contains a response or note to any line in this Part VIII . . . . [X]

				(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514
Contributions, Gifts, Grants and Other Similar Amounts	1a Federated campaigns	1a					
	b Membership dues	1b					
	c Fundraising events	1c					
	d Related organizations	1d					
	e Government grants (contributions)	1e					
	f All other contributions, gifts, grants, and similar amounts not included above	1f	81,432.				
	g Noncash contributions included in lines 1a-1f: $						
	h **Total.** Add lines 1a-1f ▶			81,432.			
Program Service Revenue		Business Code					
	2a MEMBERSHIP DUES	900099		529,990.	529,990.		
	b SOCIETY MEETINGS AND ACTIVITIES	900099		2,072,274.	2,072,274.		
	c SUBSCRIPTIONS	519100		2,660,730.	2,543,302.	117,428.	
	d CONSTITUENT PROGRAMS	900099		63,177.	63,177.		
	e						
	f All other program service revenue						
	g **Total.** Add lines 2a-2f ▶			5,326,171.			
Other Revenue	3 Investment income (including dividends, interest, and other similar amounts) ATTACHMENT 4 ▶			336,362.			336,362.
	4 Income from investment of tax-exempt bond proceeds ▶			0			
	5 Royalties ▶			0			
		(i) Real	(ii) Personal				
	6a Gross rents	468,764.					
	b Less: rental expenses	480,489.					
	c Rental income or (loss)	-11,725.					
	d Net rental income or (loss) ▶			-11,725.			-11,725.
		(i) Securities	(ii) Other				
	7a Gross amount from sales of assets other than inventory	3,130,846.					
	b Less: cost or other basis and sales expenses	2,447,974.					
	c Gain or (loss)	682,872.					
	d Net gain or (loss) ▶			682,872.			682,872.
	8a Gross income from fundraising events (not including $ ____ of contributions reported on line 1c). See Part IV, line 18 . . . a						
	b Less: direct expenses . . . b						
	c Net income or (loss) from fundraising events ▶			0			
	9a Gross income from gaming activities. See Part IV, line 19 . . . a						
	b Less: direct expenses . . . b						
	c Net income or (loss) from gaming activities ▶			0			
	10a Gross sales of inventory, less returns and allowances . . . a						
	b Less: cost of goods sold . . . b						
	c Net income or (loss) from sales of inventory ▶			0			
	Miscellaneous Revenue	Business Code					
	11a MISCELLANEOUS	900099		14,960.	14,960.		
	b						
	c						
	d All other revenue						
	e **Total.** Add lines 11a-11d ▶			14,960.			
	12 **Total revenue.** See instructions ▶			6,430,072.	5,223,703.	117,428.	1,007,509.

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX . . . . ☐

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the United States. See Part IV, line 21	0			
2 Grants and other assistance to individuals in the United States. See Part IV, line 22	36,051.	36,051.		
3 Grants and other assistance to governments, organizations, and individuals outside the United States. See Part IV, lines 15 and 16	7,000.	7,000.		
4 Benefits paid to or for members	0			
5 Compensation of current officers, directors, trustees, and key employees	605,597.	408,597.	197,000.	
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	0			
7 Other salaries and wages	1,078,068.	727,372.	350,696.	
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	70,674.	47,684.	22,990.	
9 Other employee benefits	212,420.	143,320.	69,100.	
10 Payroll taxes	134,134.	90,500.	43,634.	
11 Fees for services (non-employees):				
a Management	0			
b Legal	1,552.		1,552.	
c Accounting	32,124.		32,124.	
d Lobbying	0			
e Professional fundraising services. See Part IV, line 17	0			
f Investment management fees	0			
g Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O.)	72,082.	72,082.		
12 Advertising and promotion	4,613.	4,613.		
13 Office expenses	343,591.	262,246.	81,345.	
14 Information technology	248,307.	167,533.	80,774.	
15 Royalties	0			
16 Occupancy	33,661.	26,120.	7,541.	
17 Travel	190,187.	156,556.	33,631.	
18 Payments of travel or entertainment expenses for any federal, state, or local public officials	0			
19 Conferences, conventions, and meetings	1,001,880.	1,001,880.		
20 Interest	0			
21 Payments to affiliates	0			
22 Depreciation, depletion, and amortization	250,755.	250,755.		
23 Insurance	12,503.	9,702.	2,801.	
24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a MEMBERSHIP DIRECT EXPENSES	79,282.	79,282.		
b PUBLICATIONS	1,059,237.	1,059,237.		
c AWARDS EXPENSES	57,468.	57,468.		
d CONSTITUENT SERVICES EXPENSE	193,314.	193,314.		
e All other expenses	27,549.			27,549.
25 **Total functional expenses.** Add lines 1 through 24e	5,752,049.	4,801,312.	923,188.	27,549.
26 **Joint costs.** Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here ▶ ☐ if following SOP 98-2 (ASC 958-720)	0			

Part X Balance Sheet

Check if Schedule O contains a response or note to any line in this Part X . . . . . [X]

				(A) Beginning of year		(B) End of year
Assets	1	Cash - non-interest-bearing		1,153,409.	1	1,029,785.
	2	Savings and temporary cash investments		617,345.	2	1,049,005.
	3	Pledges and grants receivable, net		17,575.	3	17,575.
	4	Accounts receivable, net		148,985.	4	42,616.
	5	Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L		0	5	0
	6	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions). Complete Part II of Schedule L		0	6	0
	7	Notes and loans receivable, net		0	7	0
	8	Inventories for sale or use		0	8	0
	9	Prepaid expenses and deferred charges		81,132.	9	221,313.
	10a	Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	10a 5,007,611.			
	b	Less: accumulated depreciation	10b 603,058.	4,489,657.	10c	4,404,553.
	11	Investments - publicly traded securities ATCH 5		7,503,166.	11	8,838,076.
	12	Investments - other securities. See Part IV, line 11		567,593.	12	513,714.
	13	Investments - program-related. See Part IV, line 11		0	13	0
	14	Intangible assets		243,873.	14	0
	15	Other assets. See Part IV, line 11		848,494.	15	797,214.
	16	**Total assets.** Add lines 1 through 15 (must equal line 34)		15,671,229.	16	16,913,851.
Liabilities	17	Accounts payable and accrued expenses		314,030.	17	357,462.
	18	Grants payable		0	18	0
	19	Deferred revenue		1,290,501.	19	1,600,829.
	20	Tax-exempt bond liabilities		0	20	0
	21	Escrow or custodial account liability. Complete Part IV of Schedule D		717,910.	21	630,284.
	22	Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L		0	22	0
	23	Secured mortgages and notes payable to unrelated third parties		0	23	0
	24	Unsecured notes and loans payable to unrelated third parties		0	24	0
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D		146,015.	25	150,229.
	26	**Total liabilities.** Add lines 17 through 25		2,468,456.	26	2,738,804.
Net Assets or Fund Balances		**Organizations that follow SFAS 117 (ASC 958), check here ▶ [X] and complete lines 27 through 29, and lines 33 and 34.**				
	27	Unrestricted net assets		11,950,633.	27	12,875,468.
	28	Temporarily restricted net assets		410,627.	28	424,196.
	29	Permanently restricted net assets		841,513.	29	875,383.
		Organizations that do not follow SFAS 117 (ASC 958), check here ▶ [ ] and complete lines 30 through 34.				
	30	Capital stock or trust principal, or current funds			30	
	31	Paid-in or capital surplus, or land, building, or equipment fund			31	
	32	Retained earnings, endowment, accumulated income, or other funds			32	
	33	Total net assets or fund balances		13,202,773.	33	14,175,047.
	34	Total liabilities and net assets/fund balances		15,671,229.	34	16,913,851.

Part XI Reconciliation of Net Assets

Check if Schedule O contains a response or note to any line in this Part XI . . . . . ☐

1	Total revenue (must equal Part VIII, column (A), line 12)	1	6,430,072.
2	Total expenses (must equal Part IX, column (A), line 25)	2	5,752,049.
3	Revenue less expenses. Subtract line 2 from line 1	3	678,023.
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	13,202,773.
5	Net unrealized gains (losses) on investments	5	294,251.
6	Donated services and use of facilities	6	0
7	Investment expenses	7	0
8	Prior period adjustments	8	0
9	Other changes in net assets or fund balances (explain in Schedule O)	9	0
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	14,175,047.

Part XII Financial Statements and Reporting

Check if Schedule O contains a response or note to any line in this Part XII . . . . . ☐

			Yes	No
1	Accounting method used to prepare the Form 990: ☐ Cash ☒ Accrual ☐ Other ______ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.			
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?	2a		X
	If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both: ☐ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis			
b	Were the organization's financial statements audited by an independent accountant?	2b	X	
	If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both: ☐ Separate basis ☒ Consolidated basis ☐ Both consolidated and separate basis			
c	If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?	2c	X	
	If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.			
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	3a		X
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits.	3b		

SCHEDULE A (Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ **Attach to Form 990 or Form 990-EZ.**

▶ **Information about Schedule A (Form 990 or 990-EZ) and its instructions is at** ***www.irs.gov/form990.***

OMB No. 1545-0047

2013

Open to Public Inspection

Name of the organization
THE ELECTROCHEMICAL SOCIETY, INC.

Employer identification number
13-1771269

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.)

1 [ ] A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**

2 [ ] A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E.)

3 [ ] A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**

4 [ ] A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state: ______

5 [ ] An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II.)

6 [ ] A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**

7 [ ] An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II.)

8 [ ] A community trust described in **section 170(b)(1)(A)(vi).** (Complete Part II.)

9 [X] An organization that normally receives: (1) more than 33 1/3 % of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3 % of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2).** (Complete Part III.)

10 [ ] An organization organized and operated exclusively to test for public safety. See **section 509(a)(4).**

11 [ ] An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h.

a [ ] Type I **b** [ ] Type II **c** [ ] Type III-Functionally integrated **d** [ ] Type III-Non-functionally integrated

e [ ] By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2).

f If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box . . . . . [ ]

g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

		Yes	No
(i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization?	**11g(i)**		
(ii) A family member of a person described in (i) above?	**11g(ii)**		
(iii) A 35% controlled entity of a person described in (i) or (ii) above?	**11g(iii)**		

h Provide the following information about the supported organization(s).

	(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-9 above or IRC section **(see instructions)**)	(iv) Is the organization in col. (i) listed in your governing document? Yes	No	(v) Did you notify the organization in col. (i) of your support? Yes	No	(vi) Is the organization in col. (i) organized in the U.S.? Yes	No	(vii) Amount of monetary support
(A)										
(B)										
(C)										
(D)										
(E)										
Total										

Part II **Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)** (Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 **Total.** Add lines 1 through 3						
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 **Public support.** Subtract line 5 from line 4.						

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
7 Amounts from line 4						
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
11 **Total support.** Add lines 7 through 10						

12 Gross receipts from related activities, etc. (see instructions) . . . **12**

13 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** . . . ▶ ☐

Section C. Computation of Public Support Percentage

14 Public support percentage for 2013 (line 6, column (f) divided by line 11, column (f)) . . . **14** %

15 Public support percentage from 2012 Schedule A, Part II, line 14 . . . **15** %

16a **33 1/3% support test - 2013.** If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization . . . ▶ ☐

b **33 1/3% support test - 2012.** If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization . . . ▶ ☐

17a **10%-facts-and-circumstances test - 2013.** If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization . . . ▶ ☐

b **10%-facts-and-circumstances test - 2012.** If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization . . . ▶ ☐

18 **Private foundation.** If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions . . . ▶ ☐

Part III **Support Schedule for Organizations Described in Section 509(a)(2)**
(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")	798,885.	761,688.	897,382.	735,974.	611,422.	3,805,351.
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose	2,241,386.	5,070,105.	5,180,180.	5,347,036.	4,796,181.	22,634,888.
3 Gross receipts from activities that are not an unrelated trade or business under section 513	2,918,367.					2,918,367.
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						0
5 The value of services or facilities furnished by a governmental unit to the organization without charge						0
6 **Total.** Add lines 1 through 5	5,958,638.	5,831,793.	6,077,562.	6,083,010.	5,407,603.	29,358,606.
7a Amounts included on lines 1, 2, and 3 received from disqualified persons			143,528.			143,528.
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of $5,000 or 1% of the amount on line 13 for the year						0
c Add lines 7a and 7b			143,528.			143,528.
8 **Public support** (Subtract line 7c from line 6.)						29,215,078.

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
9 Amounts from line 6	5,958,638.	5,831,793.	6,077,562.	6,083,010.	5,407,603.	29,358,606.
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	840,416.	885,153.	784,434.	781,112.	1,487,998.	4,779,113.
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						0
c Add lines 10a and 10b	840,416.	885,153.	784,434.	781,112.	1,487,998.	4,779,113.
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						0
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.) ATCH 1	3,944.	147,588.	26,515.	15,564.	14,960.	208,571.
13 **Total support.** (Add lines 9, 10c, 11, and 12.)	6,802,998.	6,864,534.	6,888,511.	6,879,686.	6,910,561.	34,346,290.

14 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ▶ ☐

Section C. Computation of Public Support Percentage

15	Public support percentage for 2013 (line 8, column (f) divided by line 13, column (f))	15	85.06 %
16	Public support percentage from 2012 Schedule A, Part III, line 15	16	86.02 %

Section D. Computation of Investment Income Percentage

17	Investment income percentage for **2013** (line 10c, column (f) divided by line 13, column (f))	17	13.91 %
18	Investment income percentage from **2012** Schedule A, Part III, line 17	18	12.97 %

19a **33 1/3 % support tests - 2013.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3 %, and line 17 is not more than 33 1/3 %, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☒

b **33 1/3 % support tests - 2012.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3 %, and line 18 is not more than 33 1/3 %, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

20 **Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ▶ ☐

Part IV **Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information. (See instructions).

ATTACHMENT 1

SCHEDULE A, PART III - OTHER INCOME

DESCRIPTION	2009	2010	2011	2012	2013	TOTAL
MISCELLANEOUS INCOME	3,944.	147,588.	26,515.	15,564.	14,960.	208,571.
TOTALS	3,944.	147,588.	26,515.	15,564.	14,960.	208,571.

SCHEDULE C (Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

▶ Complete if the organization is described below. ▶ Attach to Form 990 or Form 990-EZ.
▶ See separate instructions. ▶ Information about Schedule C (Form 990 or 990-EZ) and its instructions is at *www.irs.gov/form990.*

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2013

Open to Public Inspection

If the organization answered "Yes," to Form 990, Part IV, line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

If the organization answered "Yes," to Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

If the organization answered "Yes," to Form 990, Part IV, line 5 (Proxy Tax) or Form 990-EZ, Part V, line 35c (Proxy Tax), then

- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

Name of organization	Employer identification number
THE ELECTROCHEMICAL SOCIETY, INC.	13-1771269

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1 Provide a description of the organization's direct and indirect political campaign activities in Part IV.
2 Political expenditures . . . . . ▶ $
3 Volunteer hours . . . . .

Part I-B Complete if the organization is exempt under section 501(c)(3).

1 Enter the amount of any excise tax incurred by the organization under section 4955 . . . ▶ $
2 Enter the amount of any excise tax incurred by organization managers under section 4955 . . ▶ $
3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? . . . ☐ Yes ☐ No
4a Was a correction made? . . . ☐ Yes ☐ No
b If "Yes," describe in Part IV.

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

1 Enter the amount directly expended by the filing organization for section 527 exempt function activities . . . ▶ $
2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities . . . ▶ $
3 Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b . . . ▶ $
4 Did the filing organization file **Form 1120-POL** for this year? . . . ☐ Yes ☐ No
5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.

	(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds. If none, enter -0-.	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-.
(1)					
(2)					
(3)					
(4)					
(5)					
(6)					

Part II-A **Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).**

A Check ▶ ☐ if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures).

B Check ▶ ☐ if the filing organization checked box A and "limited control" provisions apply.

	Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)	**(a)** Filing organization's totals	**(b)** Affiliated group totals
1a	Total lobbying expenditures to influence public opinion (grass roots lobbying)		
b	Total lobbying expenditures to influence a legislative body (direct lobbying)		
c	Total lobbying expenditures (add lines 1a and 1b)		
d	Other exempt purpose expenditures		
e	Total exempt purpose expenditures (add lines 1c and 1d)		
f	Lobbying nontaxable amount. Enter the amount from the following table in both columns.		

If the amount on line 1e, column (a) or (b) is:	**The lobbying nontaxable amount is:**
Not over $500,000	20% of the amount on line 1e.
Over $500,000 but not over $1,000,000	$100,000 plus 15% of the excess over $500,000.
Over $1,000,000 but not over $1,500,000	$175,000 plus 10% of the excess over $1,000,000.
Over $1,500,000 but not over $17,000,000	$225,000 plus 5% of the excess over $1,500,000.
Over $17,000,000	$1,000,000.

		(a)	(b)
g	Grassroots nontaxable amount (enter 25% of line 1f)		
h	Subtract line 1g from line 1a. If zero or less, enter -0-		
i	Subtract line 1f from line 1c. If zero or less, enter -0-		

j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year? ☐ Yes ☐ No

4-Year Averaging Period Under Section 501(h)

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

Lobbying Expenditures During 4-Year Averaging Period

Calendar year (or fiscal year beginning in)	**(a)** 2010	**(b)** 2011	**(c)** 2012	**(d)** 2013	**(e)** Total
2a Lobbying nontaxable amount					
b Lobbying ceiling amount (150% of line 2a, column (e))					
c Total lobbying expenditures					
d Grassroots nontaxable amount					
e Grassroots ceiling amount (150% of line 2d, column (e))					
f Grassroots lobbying expenditures					

Part II-B **Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).**

For each "Yes," response to lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity.	(a) Yes	(a) No	(b) Amount
1 During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:			
a Volunteers?	X		
b Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?		X	
c Media advertisements?		X	
d Mailings to members, legislators, or the public?		X	
e Publications, or published or broadcast statements?		X	
f Grants to other organizations for lobbying purposes?		X	
g Direct contact with legislators, their staffs, government officials, or a legislative body?	X		
h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?		X	
i Other activities?		X	
j Total. Add lines 1c through 1i			
2 a Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?		X	
b If "Yes," enter the amount of any tax incurred under section 4912			
c If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A **Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).**

			Yes	No
1	Were substantially all (90% or more) dues received nondeductible by members?	1		
2	Did the organization make only in-house lobbying expenditures of $2,000 or less?	2		
3	Did the organization agree to carry over lobbying and political expenditures from the prior year?	3		

Part III-B **Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No," OR (b) Part III-A, line 3, is answered "Yes."**

1	Dues, assessments and similar amounts from members	1	
2	Section 162(e) nondeductible lobbying and political expenditures **(do not include amounts of political expenses for which the section 527(f) tax was paid).**		
a	Current year	2a	
b	Carryover from last year	2b	
c	Total	2c	
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5	Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV **Supplemental Information**

Provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Part II-A, line 2; and Part II-B, line 1. Also, complete this part for any additional information.

PART II-B, LINE 1A, 1G

ALL ACTIVITY IS VOLUNTEER BASED WITH NO ASSOCIATED EXPENSE.

Part IV **Supplemental Information** *(continued)*

SCHEDULE D (Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

▶ Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.
▶ Attach to Form 990.
▶ Information about Schedule D (Form 990) and its instructions is at *www.irs.gov/form990.*

OMB No. 1545-0047
2013
Open to Public Inspection

Name of the organization: THE ELECTROCHEMICAL SOCIETY, INC.
Employer identification number: 13-1771269

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.
Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

		(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year		
2	Aggregate contributions to (during year)		
3	Aggregate grants from (during year)		
4	Aggregate value at end of year		

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☐ Yes ☐ No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? ☐ Yes ☐ No

Part II Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).
- ☐ Preservation of land for public use (e.g., recreation or education)
- ☐ Protection of natural habitat
- ☐ Preservation of open space
- ☐ Preservation of an historically important land area
- ☐ Preservation of a certified historic structure

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

			Held at the End of the Tax Year
a	Total number of conservation easements	2a	
b	Total acreage restricted by conservation easements	2b	
c	Number of conservation easements on a certified historic structure included in (a)	2c	
d	Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register	2d	

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ ________________

4 Number of states where property subject to conservation easement is located ▶ ________________

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶ ________________

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ $ ________________

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.
Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items.

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i) Revenues included in Form 990, Part VIII, line 1 ▶ $ ____________

(ii) Assets included in Form 990, Part X ▶ $ ____________

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items:

a Revenues included in Form 990, Part VIII, line 1 ▶ $ ____________

b Assets included in Form 990, Part X ▶ $

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets *(continued)*

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):

a ☐ Public exhibition
b ☐ Scholarly research
c ☐ Preservation for future generations
d ☐ Loan or exchange programs
e ☐ Other ____________

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☐ No

Part IV Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☒ No

b If "Yes," explain the arrangement in Part XIII and complete the following table:

		Amount
c Beginning balance	1c	
d Additions during the year	1d	
e Distributions during the year	1e	
f Ending balance	1f	

2a Did the organization include an amount on Form 990, Part X, line 21? ☒ Yes ☐ No

b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII ☐

Part V Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance	7,217,373.	7,171,757.	6,462,554.	6,019,723.	5,872,894.
b Contributions	336,420.	19,643.	631,819.	512,135.	61,095.
c Net investment earnings, gains, and losses	255,082.	188,297.	144,246.	37,637.	165,008.
d Grants or scholarships	13,813.	62,287.	44,459.	106,800.	79,274.
e Other expenditures for facilities and programs	41,574.	100,037.	22,403.	141.	
f Administrative expenses					
g End of year balance	7,753,488.	7,217,373.	7,171,757.	6,462,554.	6,019,723.

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

a Board designated or quasi-endowment ▶ 83.5000 %

b Permanent endowment ▶ 11.3000 %

c Temporarily restricted endowment ▶ 5.2000 %

The percentages in lines 2a, 2b, and 2c should equal 100%.

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

		Yes	No
(i) unrelated organizations	3a(i)		X
(ii) related organizations	3a(ii)		X
b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?	3b		

4 Describe in Part XIII the intended uses of the organization's endowment funds.

Part VI Land, Buildings, and Equipment.
Complete if the organization answered "Yes" to Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land	1,252,819.	350,608.		1,603,427.
b Buildings	2,703,443.	700,741.	603,058.	2,801,126.
c Leasehold improvements				
d Equipment				
e Other				
Total. Add lines 1a through 1e. *(Column (d) must equal Form 990, Part X, column (B), line 10(c).)* ▶				4,404,553.

Part VII Investments - Other Securities.
Complete if the organization answered "Yes" to Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other		
(A)		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
Total. *(Column (b) must equal Form 990, Part X, col. (B) line 12.)* ▶		

Part VIII Investments - Program Related.
Complete if the organization answered "Yes" to Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
Total. *(Column (b) must equal Form 990, Part X, col. (B) line 13.)* ▶		

Part IX Other Assets.
Complete if the organization answered "Yes" to Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. *(Column (b) must equal Form 990, Part X, col. (B) line 15.)* ▶	

Part X Other Liabilities.
Complete if the organization answered "Yes" to Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	
(2) SECURITY DEPOSITS	30,900.
(3) DEFERRED COMPENSATION	119,329.
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. *(Column (b) must equal Form 990, Part X, col. (B) line 25.)* ▶	150,229.

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII [X]

Part XI **Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.**
Complete if the organization answered "Yes" to Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements			1	7,204,812.
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:				
a	Net unrealized gains on investments	2a	294,251.		
b	Donated services and use of facilities	2b			
c	Recoveries of prior year grants	2c			
d	Other (Describe in Part XIII.)	2d			
e	Add lines **2a** through **2d**			2e	294,251.
3	Subtract line **2e** from line **1**			3	6,910,561.
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIII.)	4b	-480,489.		
c	Add lines **4a** and **4b**			4c	-480,489.
5	Total revenue. Add lines **3** and **4c.** *(This must equal Form 990, Part I, line 12.)*			5	6,430,072.

Part XII **Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.**
Complete if the organization answered "Yes" to Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements			1	6,232,538.
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:				
a	Donated services and use of facilities	2a			
b	Prior year adjustments	2b			
c	Other losses	2c			
d	Other (Describe in Part XIII.)	2d	480,489.		
e	Add lines **2a** through **2d**			2e	480,489.
3	Subtract line **2e** from line **1**			3	5,752,049.
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIII.)	4b			
c	Add lines **4a** and **4b**			4c	
5	Total expenses. Add lines **3** and **4c.** *(This must equal Form 990, Part I, line 18.)*			5	5,752,049.

Part XIII **Supplemental Information.**

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

SEE PAGE 5

Part XIII Supplemental Information *(continued)*

PART XI, LINE 4B

RENTAL EXPENSES $480,489

PART XII, LINE 2D

RENTAL EXPENSES $480,489

PART X

TEXT OF FOOTNOTE TO ORGANIZATION'S FINANCIAL STATEMENTS THAT REPORTS THE ORGANIZATION'S LIABILITY FOR UNCERTAIN TAX POSITIONS UNDER FIN 48 - THE SOCIETY FOLLOWS THE ACCOUNTING PRONOUNCEMENT THAT PROVIDES GUIDANCE ON UNCERTAIN TAX POSITIONS. THE SOCIETY HAD NO UNRECOGNIZED TAX BENEFITS AT DECEMBER 31, 2013 AND 2012. IN ADDITION, THE SOCIETY HAS NO INCOME TAX RELATED PENALTIES OR INTEREST FOR THE PERIODS REPORTED ON THESE FINANCIAL STATEMENTS.

PART IV, LINE 2B

BOTH CUSTODIAL ASSETS AND LIABILITIES OF $630,284 ARE INCLUDED IN THE BALANCE SHEET. ASSETS ARE INCLUDED WITH INVESTMENTS.

PART V, LINE 4

THE SOCIETY'S ENDOWMENT FUNDS CONSIST OF SEVERAL FUNDS ESTABLISHED TO FUND AWARDS, AS WELL AS AN EDUCATIONAL ENDOWMENT FUND, PUBLICATIONS ENDOWMENT FUND AND AN ECS ENDOWMENT FUND. THE ENDOWMENT FUNDS INCLUDE BOTH DONOR-RESTRICTED FUNDS AND FUNDS DESIGNATED BY THE BOARD OF DIRECTORS TO FUNCTION AS ENDOWMENTS. THE SOCIETY HAS ADOPTED INVESTMENT POLICIES FOR ENDOWMENT ASSETS THAT ATTEMPT TO PROVIDE A PREDICTABLE

Part XIII Supplemental Information *(continued)*

STREAM OF FUNDING TO PROGRAMS SUPPORTED BY ITS ENDOWMENT WHILE SEEKING TO MAINTAIN THE PURCHASING POWER OF THE ENDOWMENT ASSETS.

SCHEDULE F (Form 990)

Department of the Treasury
Internal Revenue Service

Statement of Activities Outside the United States

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 14b, 15, or 16.
▶ Attach to Form 990. ▶ See separate instructions.
▶ Information about Schedule F (Form 990) and its instructions is at *www.irs.gov/form990.*

OMB No. 1545-0047

2013

Open to Public Inspection

Name of the organization: THE ELECTROCHEMICAL SOCIETY, INC.

Employer identification number: 13-1771269

Part I **General Information on Activities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 14b.

1 **For grantmakers.** Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . . . [X] Yes [ ] No

2 **For grantmakers.** Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.

3 Activities per Region. (The following Part I, line 3 table can be duplicated if additional space is needed.)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in region	(d) Activities conducted in region (by type) (e.g., fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for and investments in region
(1) EAST ASIA AND THE PACIFIC			GRANTMAKING		1,000.
(2) EUROPE			GRANTMAKING		4,500.
(3) NORTH AMERICA			GRANTMAKING		1,500.
(4)					
(5)					
(6)					
(7)					
(8)					
(9)					
(10)					
(11)					
(12)					
(13)					
(14)					
(15)					
(16)					
(17)					
3a Sub-total					7,000.
b Total from continuation sheets to Part I					
c **Totals** (add lines 3a and 3b)					7,000.

Part II **Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than $5,000. Part II can be duplicated if additional space is needed.

1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(1)								
(2)								
(3)								
(4)								
(5)								
(6)								
(7)								
(8)								
(9)								
(10)								
(11)								
(12)								
(13)								
(14)								
(15)								
(16)								

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter . . . . . . ▶

3 Enter total number of other organizations or entities . . . . . . ▶

Part III **Grants and Other Assistance to Individuals Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 16. Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
(1) H.H. DOW MEMORIAL	EAST ASIA/PACIFIC	1.	1,000.	CHECK			FMV
(2) DAVID C. GRAHME	NORTH AMERICA	1.	1,500.	CHECK			FMV
(3) SRINIVASAN YOUNG INVESTIGATOR AWARD	EUROPE/ICELAND/GREENLAND	1.	1,000.	CHECK			FMV
(4) RICHARD SMALLEY RESEARCH AWARD	EUROPE/ICELAND/GREENLAND	1.	1,000.	CHECK			FMV
(5) CARL WAGNER MEMORIAL AWARD	EUROPE/ICELAND/GREENLAND	1.	1,000.	CHECK			FMV
(6) H.H. UHLIG CORROSION AWARD	EUROPE/ICELAND/GREENLAND	1.	1,500.	CHECK			FMV
(7)							
(8)							
(9)							
(10)							
(11)							
(12)							
(13)							
(14)							
(15)							
(16)							
(17)							
(18)							

Part IV Foreign Forms

1	Was the organization a U.S. transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)*	☐ Yes	☒ No
2	Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to file Form 3520, Annual Return to Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A)*	☐ Yes	☒ No
3	Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons With Respect To Certain Foreign Corporations. (see Instructions for Form 5471)*	☐ Yes	☒ No
4	Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund. (see Instructions for Form 8621)*	☐ Yes	☒ No
5	Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons With Respect To Certain Foreign Partnerships. (see Instructions for Form 8865)*	☐ Yes	☒ No
6	Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to file Form 5713, International Boycott Report (see Instructions for Form 5713)*	☐ Yes	☒ No

Part V **Supplemental Information**
Complete this part to provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

PART I, LINE 2

THE ORGANIZATION GRANTS AWARDS AND FELLOWSHIPS TO RECIPIENTS BASED UPON WORK THAT HAS ALREADY BEEN PERFORMED PRIMARILY TO FURTHER THE STUDY IN THEIR FIELD. AWARD RECIPIENTS AND FELLOWS MUST BE NOMINATED USING A NOMINATION FORM. LETTERS OF ENDORSEMENT ARE REQUIRED. AWARD RECIPIENTS AND FELLOWS ARE CHOSEN BY THE HONORS AND AWARDS COMMITTEE AND APPROVED BY THE BOARD OF DIRECTORS BASED UPON MERIT.

PART 1, LINE 3

THE ORGANIZATION USES THE ACCRUAL METHOD OF ACCOUNTING.

SCHEDULE I
(Form 990)

Department of the Treasury
Internal Revenue Service

Grants and Other Assistance to Organizations, Governments, and Individuals in the United States

Complete if the organization answered "Yes" to Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.
▶ Information about Schedule I (Form 990) and its instructions is at *www.irs.gov/form990*.

OMB No. 1545-0047
2013
Open to Public Inspection

Name of the organization: THE ELECTROCHEMICAL SOCIETY, INC.
Employer identification number: 13-1771269

Part I — General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . . . [X] **Yes** [ ] **No**

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II — Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than $5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
(1)							
(2)							
(3)							
(4)							
(5)							
(6)							
(7)							
(8)							
(9)							
(10)							
(11)							
(12)							

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table . . . . . . ▶

3 Enter total number of other organizations listed in the line 1 table . . . . . . ▶

Part III **Grants and Other Assistance to Individuals in the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 22. Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
1 NORMAN HACKERMAN YOUNG AUTHORS AWARD	4.	4,000.		FMV	
2 DANIEL CUBICCIOTTI AWARD	3.	3,000.		FMV	
3 SRINIVASSAN YOUNG INVESTIGATOR AWARD	1.	1,500.		FMV	
4 H.H. DOW MEMORIAL AWARD	2.	2,000.		FMV	
5 HTM OUTSTANDING ACHIEVEMENT AWARD	1.	1,000.		FMV	
6 MORRIS COHEN AWARD	2.	2,000.		FMV	
7 THOMAS D. CALLINAN AWARD	1.	1,500.		FMV	

Part IV **Supplemental Information.** Complete this part to provide the information required in Part I, line 2, Part III, column (b), and any other additional information.

Part III **Grants and Other Assistance to Individuals in the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 22. Part III can be duplicated if additional space is needed.

	(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
1	DAVID C. GRAHAME AWARD	1.	1,500.		FMV	
2	GORDON MOORE AWARD	1.	7,500.		FMV	
3	HEINZ GERISCHER AWARD	1.	4,101.		FMV	
4	OLIN PALLADIUM AWARD	1.	7,500.		FMV	
5	CARL WAGNER MEMORIAL AWARD	1.	450.		FMV	
6						
7						

Part IV **Supplemental Information.** Complete this part to provide the information required in Part I, line 2, Part III, column (b), and any other additional information.

PART I, LINE 2

THE ORGANIZATION GRANTS AWARDS AND FELLOWSHIPS TO RECIPIENTS BASED UPON WORK THAT HAS ALREADY BEEN PERFORMED PRIMARILY TO FURTHER THE STUDY IN THEIR FIELD. AWARD RECIPIENTS AND FELLOWS MUST BE NOMINATED USING A NOMINATION FORM. LETTERS OF ENDORSEMENT ARE REQUIRED. AWARD RECIPIENTS AND FELLOWS ARE CHOSEN BY THE HONORS AND AWARDS COMMITTEE AND APPROVED BY THE BOARD OF DIRECTORS BASED UPON MERIT.

SCHEDULE J (Form 990)

Department of the Treasury
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 23.

▶ Attach to Form 990. ▶ See separate instructions.

▶ Information about Schedule J (Form 990) and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047

2013

Open to Public Inspection

Name of the organization: THE ELECTROCHEMICAL SOCIETY, INC.

Employer identification number: 13-1771269

Part I Questions Regarding Compensation

			Yes	No
1a	Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items. ☐ First-class or charter travel ☐ Housing allowance or residence for personal use ☐ Travel for companions ☐ Payments for business use of personal residence ☐ Tax indemnification and gross-up payments ☐ Health or social club dues or initiation fees ☐ Discretionary spending account ☐ Personal services (e.g., maid, chauffeur, chef)			
b	If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain	1b		
2	Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, and officers, including the CEO/Executive Director, regarding the items checked in line 1a?	2		
3	Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III. ☐ Compensation committee ☐ Written employment contract ☐ Independent compensation consultant ☒ Compensation survey or study ☐ Form 990 of other organizations ☒ Approval by the board or compensation committee			
4	During the year, did any person listed in Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:			
a	Receive a severance payment or change-of-control payment?	4a		X
b	Participate in, or receive payment from, a supplemental nonqualified retirement plan?	4b		X
c	Participate in, or receive payment from, an equity-based compensation arrangement?	4c		X
	If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.			
	Only section 501(c)(3) and 501(c)(4) organizations must complete lines 5-9.			
5	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:			
a	The organization?	5a		X
b	Any related organization?	5b		X
	If "Yes" to line 5a or 5b, describe in Part III.			
6	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:			
a	The organization?	6a		X
b	Any related organization?	6b		X
	If "Yes" to line 6a or 6b, describe in Part III.			
7	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III	7	X	
8	Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III	8		X
9	If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?	9		

Part II **Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation (i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation	(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported as deferred in prior Form 990
1 ROQUE J CALVO, EXEC DIRECTOR (1991-PRESENT)	(i)	227,582.	22,257.	0.	34,414.	9,273.	293,526.	
	(ii)	0.	0.	0.			0.	
2 MARY YESS, DEPUTY EXEC DIR	(i)	141,670.	2,493.	0.	11,334.	27,209.	182,706.	
	(ii)	0.	0.	0.				
3	(i)							
	(ii)							
4	(i)							
	(ii)							
5	(i)							
	(ii)							
6	(i)							
	(ii)							
7	(i)							
	(ii)							
8	(i)							
	(ii)							
9	(i)							
	(ii)							
10	(i)							
	(ii)							
11	(i)							
	(ii)							
12	(i)							
	(ii)							
13	(i)							
	(ii)							
14	(i)							
	(ii)							
15	(i)							
	(ii)							
16	(i)							
	(ii)							

Part III Supplemental Information

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

FORM 990, SCHEDULE J-2

PLEASE NOTE THAT BOARD MEMBER TERMS RUN FROM OCTOBER TO SEPTEMBER OF EACH YEAR. "CURRENT" DENOTES A BOARD MEMBER SERVING FROM OCTOBER 2013 THRU SEPTEMBER 2014, "PAST" DENOTES A BOARD MEMBER SERVING FROM JANUARY THRU SEPTEMBER 2013.

PART I, LINE 7

SEE SCHEDULE J, PART II, COLUMN B(II) FOR BONUS AND INCENTIVE COMPENSATION INFORMATION.

SCHEDULE O
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.
▶Attach to Form 990 or 990-EZ.

OMB No. 1545-0047
2013
Open to Public Inspection

Name of the organization	Employer identification number
THE ELECTROCHEMICAL SOCIETY, INC.	13-1771269

FORM 990, PART VI, SECTION B, LINE 11

A DRAFT COPY OF THE FORM 990 WILL BE PROVIDED TO ALL MEMBERS OF THE GOVERNING BODY FOR REVIEW AND COMMENT TO THE AUDIT COMMITTEE. THE AUDIT COMMITTEE WILL REVIEW THE RETURN AND RECOMMEND THAT THE EXECUTIVE COMMITTEE APPROVE THE 990 ON BEHALF OF THE BOARD.

FORM 990, PART VI, SECTION B, LINE 12C

MEMBERS OF THE BOARD OF DIRECTORS ARE REQUIRED TO DISCLOSE CONFLICTS OF INTEREST ANNUALLY. CONFLICTS ARE REVIEWED AND EVALUATED BY THE EXECUTIVE COMMITTEE OF THE BOARD OF DIRECTORS.

FORM 990, PART VI, SECTION C, LINE 19

THE ORGANIZATION MAKES ITS GOVERNING DOCUMENTS, CONFLICT OF INTEREST POLICY, AND FINANCIAL STATEMENTS AVAILABLE TO THE PUBLIC UPON REQUEST AND VIA THE ORGANIZATION'S WEBSITE, D&B AND THE ECS QUARTERLY INTERFACE MAGAZINE.

FORM 990, PART VI, SECTION B, LINES 15A AND B

FORMAL EMPLOYEE APPRAISALS ARE CONDUCTED ANNUALLY IN DECEMBER FOR BOTH FULL AND PART-TIME EMPLOYEES. THE RESPONSIBILITIES OF EACH STAFF POSITION ARE CAPTURED IN JOB DESCRIPTIONS WHICH ARE REVIEWED AND UPDATED ANNUALLY. SALARY ADJUSTMENTS ARE DETERMINED AT THE TIME OF THE APPRAISAL AND ARE BASED UPON PERFORMANCE AND COST OF LIVING ADJUSTMENTS. ADJUSTMENTS ARE NOT RELEASED UNTIL JANUARY 1, THE EFFECTIVE DATE OF THE

SALARY ADJUSTMENT. THE COMPENSATION PARAMETERS FOR EACH POSITION ARE DESCRIBED IN A SALARY RANGE, WHICH IS UPDATED ONCE PER YEAR IN JULY BASED ON CHANGES IN RESPONSIBILITIES AND COMPARABLE MARKET INFORMATION. SALARY AND BENEFIT AMOUNTS ARE APPROVED IN THE ANNUAL BUDGET BY THE ECS BOARD OF DIRECTORS. THE EXECUTIVE DIRECTOR IS APPRAISED ANNUALLY IN OCTOBER BY THE ECS BOARD BASED UPON COMPENSATION AND PERFORMANCE REQUIREMENTS AS OUTLINED IN THE EMPLOYMENT CONTRACT.

FORM 990, PART III, LINE 4D

AWARDS - PRESENT AWARDS FOR OUTSTANDING ACHIEVEMENTS IN THE FIELD OF ELECTROCHEMISTRY, INCLUDING AWARDS AND TRAVEL GRANTS TO ATTEND MEETINGS.

CONSTITUENT PROGRAMS - PROVIDE EDUCATIONAL COURSES DURING THE BI-ANNUAL MEETINGS ON TOPICS RELATED TO THE SCIENCE OF ELECTROCHEMISTRY. FUND ECS STUDENT CHAPTERS AT UNIVERSITIES THROUGHOUT THE UNITED STATES AND IN SEVERAL FOREIGN COUNTRIES. OPERATE THE REDCAT WEBSITE, WHICH PROVIDES A COMMUNITY FOR RESEARCHERS TO REVIEW PUBLISHED RESEARCH, SHARE IDEAS AND DEVELOP NEW RESEARCH WITH OTHERS IN THE COMMUNITY.

FORM 990 PART X1 LINE 5

OTHER CHANGES IN NET ASSETS OR FUND BALANCE: $294,251 UNREALIZED GAIN ON MARKETABLE SECURITIES

ATTACHMENT 1

FORM 990, PART III, LINE 1 - ORGANIZATION'S MISSION

THE MISSION OF THE SOCIETY IS TO ADVANCE THEORY AND PRACTICE AT THE FOREFRONT OF ELECTROCHEMISTRY, SOLID-STATE SCIENCE, AND ALLIED SUBJECTS. TO ENCOURAGE RESEARCH, DISCUSSION, CRITICAL ASSESSMENT, AND

Name of the organization: THE ELECTROCHEMICAL SOCIETY, INC.

Employer identification number: 13-1771269

ATTACHMENT 1 (CONT'D)

FORM 990, PART III, LINE 1 - ORGANIZATION'S MISSION

DISSEMINATION OF KNOWLEDGE IN THESE FIELDS, THE SOCIETY HOLDS MEETINGS, PUBLISHES SCIENTIFIC PAPERS, FOSTERS TRAINING AND EDUCATION OF SCIENTISTS AND ENGINEERS, AND COOPERATES WITH OTHER ORGANIZATIONS TO PROMOTE SCIENCE AND TECHNOLOGY IN THE PUBLIC INTEREST.

ATTACHMENT 2

FORM 990, PART III, LINE 4D - OTHER PROGRAM SERVICES

DESCRIPTION	GRANTS	EXPENSES	REVENUE
AWARDS, PRIZES AND FELLOWSHIPS	43,051.	135,908.	
CONSTITUENT PROGRAMS		635,591.	63,177.
MISCELLANEOUS			14,960.
TOTALS	43,051.	771,499.	78,137.

ATTACHMENT 3

990, PART VII- COMPENSATION OF THE FIVE HIGHEST PAID IND. CONTRACTORS

NAME AND ADDRESS	DESCRIPTION OF SERVICES	COMPENSATION
REDEYE INC 1675 WHITEHORSE MERCERVILLE RD SUITE 104 HAMILTON, NJ 08619	COMPUTER SERVICES	211,243.
HILTON SAN FRANCISCO 333 O'FARRELL ST SAN FRANCISCO, CA 94102	MEETING VENUE	328,517.
APTARA, INC. BOX #13963 COLLECTIONS, CENTER DRIVE CHICAGO, IL 60693	PUBLISHING SERVICES	170,771.
THE SHERIDAN PRESS P.O. BOX 842377 BOSTON, MA 02284-2377	JOURNAL PRINTING	154,930.
HIGH WIRE 425 BROADWAY STREET	E-PUBLISHING	127,020.

Name of the organization: THE ELECTROCHEMICAL SOCIETY, INC.

Employer identification number: 13-1771269

ATTACHMENT 3 (CONT'D)

990, PART VII- COMPENSATION OF THE FIVE HIGHEST PAID IND. CONTRACTORS

NAME AND ADDRESS	DESCRIPTION OF SERVICES	COMPENSATION
REDWOOD CITY, CA 94063		

ATTACHMENT 4

FORM 990, PART VIII - INVESTMENT INCOME

DESCRIPTION	(A) TOTAL REVENUE	(B) RELATED OR EXEMPT REVENUE	(C) UNRELATED BUSINESS REV.	(D) EXCLUDED REVENUE
INTEREST AND DIVIDEND INCOME	336,362.			336,362.
TOTALS	336,362.			336,362.

ATTACHMENT 5

FORM 990, PART X - INVESTMENTS - PUBLICLY TRADED SECURITIES

DESCRIPTION	ENDING BOOK VALUE	COST OR FMV
CORPORATE US BONDS	2,597,785.	FMV
STOCKS/MUTUAL FUNDS	5,848,474.	FMV
BONDS & MUTUAL FUNDS	391,817.	FMV
TOTALS	8,838,076.	

SCHEDULE R (Form 990)

Department of the Treasury
Internal Revenue Service

Related Organizations and Unrelated Partnerships

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.

▶ Attach to Form 990. ▶ See separate instructions.

▶ Information about Schedule R (Form 990) and its instructions is at *www.irs.gov/form990.*

OMB No. 1545-0047

2013

Open to Public Inspection

Name of the organization: THE ELECTROCHEMICAL SOCIETY, INC.

Employer identification number: 13-1771269

Part I **Identification of Disregarded Entities** Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
(1) ECS HOLDINGS, LLC SOUTH MAIN STREET PENNINGTON, NJ 08534	REAL ESTATE	NJ	88,638.	4,593,392.	ECS
(2)					
(3)					
(4)					
(5)					
(6)					

Part II **Identification of Related Tax-Exempt Organizations** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity? Yes	No
(1)							
(2)							
(3)							
(4)							
(5)							
(6)							
(7)							

Part III **Identification of Related Organizations Taxable as a Partnership** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations? Yes	(h) No	(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner? Yes	(j) No	(k) Percentage ownership
(1) LITHIUM BATTERY FUND LIMITED 4 65 S. MAIN STREET, BUILDING D	IMLB MEETINGS	NJ	ECS	RELATED	0	0		X	0		X	
(2)												
(3)												
(4)												
(5)												
(6)												
(7)												

Part IV **Identification of Related Organizations Taxable as a Corporation or Trust** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512(b)(13) controlled entity? Yes	(i) No
(1)									
(2)									
(3)									
(4)									
(5)									
(6)									
(7)									

Part V Transactions With Related Organizations Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

Note. Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

			Yes	No
1	During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?			
a	Receipt of **(i)** interest **(ii)** annuities **(iii)** royalties or **(iv)** rent from a controlled entity	1a		X
b	Gift, grant, or capital contribution to related organization(s)	1b		X
c	Gift, grant, or capital contribution from related organization(s)	1c		X
d	Loans or loan guarantees to or for related organization(s)	1d		X
e	Loans or loan guarantees by related organization(s)	1e		X
f	Dividends from related organization(s)	1f		X
g	Sale of assets to related organization(s)	1g		X
h	Purchase of assets from related organization(s)	1h		X
i	Exchange of assets with related organization(s)	1i		X
j	Lease of facilities, equipment, or other assets to related organization(s)	1j		X
k	Lease of facilities, equipment, or other assets from related organization(s)	1k		X
l	Performance of services or membership or fundraising solicitations for related organization(s)	1l		X
m	Performance of services or membership or fundraising solicitations by related organization(s)	1m		X
n	Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)	1n		X
o	Sharing of paid employees with related organization(s)	1o		X
p	Reimbursement paid to related organization(s) for expenses	1p		X
q	Reimbursement paid by related organization(s) for expenses	1q		X
r	Other transfer of cash or property to related organization(s)	1r		X
s	Other transfer of cash or property from related organization(s)	1s		X

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1)			
(2)			
(3)			
(4)			
(5)			
(6)			

Part VI Unrelated Organizations Taxable as a Partnership Complete if the organization answered "Yes" on Form 990, Part IV, line 37.

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

(a) Name, address, and EIN of entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Predominant income (related, unrelated, excluded from tax under section 512-514)	(e) Are all partners section 501(c)(3) organizations?		(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
				Yes	No			Yes	No		Yes	No	
(1)													
(2)													
(3)													
(4)													
(5)													
(6)													
(7)													
(8)													
(9)													
(10)													
(11)													
(12)													
(13)													
(14)													
(15)													
(16)													

Part VII **Supplemental Information**

Complete this part to provide additional information for responses to questions on Schedule R (see instructions).

FORM 990 - SCHEDULE R - PART I - COLUMN D

TOTAL INCOME OF ECS HOLDINGS, LLC INCLUDES: GROSS RENTAL INCOME OF

$468,764 LESS: RENTAL EXPENSES (480,489) ($11,725)

RENT AND ROYALTY INCOME

Taxpayer's Name	Identifying Number
THE ELECTROCHEMICAL SOCIETY, INC.	13-1771269

DESCRIPTION OF PROPERTY
ECS HOLDINGS, LLC

[ ] Yes [ ] No Did you actively participate in the operation of the activity during the tax year?

TYPE OF PROPERTY:
REAL RENTAL INCOME

OTHER INCOME:		
RENTAL INCOME	468,764.	
TOTAL GROSS INCOME		468,764.
OTHER EXPENSES:		
SEE ATTACHMENT		
DEPRECIATION (SHOWN BELOW)		
LESS: Beneficiary's Portion		
AMORTIZATION		
LESS: Beneficiary's Portion		
DEPLETION		
LESS: Beneficiary's Portion		
TOTAL EXPENSES		480,489.
TOTAL RENT OR ROYALTY INCOME (LOSS)		-11,725.
Less Amount to		
Rent or Royalty		
Depreciation		
Depletion		
Investment Interest Expense		
Other Expenses		
Net Income (Loss) to Others		
Net Rent or Royalty Income (Loss)		-11,725.
Deductible Rental Loss (if Applicable)		

SCHEDULE FOR DEPRECIATION CLAIMED

(a) Description of property	(b) Cost or unadjusted basis	(c) Date acquired	(d) ACRS des.	(e) Bus. %	(f) Basis for depreciation	(g) Depreciation in prior years	(h) Method	(i) Life or rate	(j) Depreciation for this year
Totals									

JSA
3E7000 1 000
10633X F678 V 13-4.9F 011189

SUPPLEMENT TO RENT AND ROYALTY SCHEDULE

OTHER INCOME

RENTAL INCOME	468,764.
	468,764.

OTHER DEDUCTIONS

CLEANING	15,134.
COMMISSIONS	1,326.
INSURANCE	15,331.
LEGAL AND OTHER PROFESSIONAL FEES	5,728.
MANAGEMENT FEES	76,841.
REPAIRS	137,294.
TAXES	74,190.
UTILITIES	59,410.
TELEPHONE	4,335.
POSTAGE	629.
BANK CHARGE	5,022.
SECURITY SERVICE	144.
DEPRECIATION	85,105.
	480,489.

RENT AND ROYALTY SUMMARY

PROPERTY	TOTAL INCOME	DEPLETION/ DEPRECIATION	OTHER EXPENSES	ALLOWABLE NET INCOME
ECS HOLDINGS, LLC	468,764.		480,489.	-11,725.
TOTALS	468,764.		480,489.	-11,725.

THE ELECTROCHEMICAL SOCIETY, INC.
AND SUBSIDIARIES

Consolidated Financial Statements

December 31, 2013 and 2012

With Independent Auditors' Report

The Electrochemical Society, Inc. and Subsidiaries
Table of Contents
December 31, 2013 and 2012

WithumSmith+Brown, PC
Certified Public Accountants and Consultants

5 Vaughn Drive
Princeton, NJ 08540-6313
609 520 1188 . fax 609 520 9882
www.withum.com

Additional Offices in New Jersey,
New York, Pennsylvania, Maryland,
Florida, and Colorado.

Independent Auditors' Report

To the Board of Directors,
The Electrochemical Society, Inc. and Subsidiaries

We have audited the accompanying consolidated financial statements of The Electrochemical Society, Inc. and Subsidiaries (the “Society”), which comprise the consolidated statements of financial position as of December 31, 2013 and 2012, and the related consolidated statements of activities, changes in net assets, and cash flows for the years then ended, and the related notes to the consolidated financial statements.

Management’s Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these consolidated financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors’ Responsibility

Our responsibility is to express an opinion on these consolidated financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audits to obtain reasonable assurance about whether the consolidated financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditors’ judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity’s preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity’s internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the consolidated financial statements referred to above present fairly, in all material respects, the consolidated financial position of The Electrochemical Society, Inc. and Subsidiaries as of December 31, 2013 and 2012, and the results of its operations and its cash flows for the years then ended in accordance with accounting principles generally accepted in the United States of America.

Other Matters

Our audits were conducted for the purpose of forming an opinion on the consolidated financial statements as a whole. The supplementary information listed in the accompanying table of contents is presented for purposes of additional analysis and is not a required part of the consolidated financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the consolidated financial statements. The information has been subjected to the auditing procedures applied in the audit of the consolidated financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the consolidated financial statements or to the consolidated financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated in all material respects in relation to the consolidated financial statements as a whole.

Withum Smith + Brown PC

May 23, 2014

The Electrochemical Society, Inc. and Subsidiaries
Consolidated Statements of Financial Position
December 31, 2013 and 2012

	2013	2012
Assets		
Cash and cash equivalents	$ 1,762,595	$ 1,255,149
Accounts receivable, net	42,616	148,985
Unconditional promises to give, net	17,575	17,575
Prepaid expenses, deposits, and other assets	329,801	130,709
Investments	9,667,985	8,586,364
Custodial account investments	630,284	717,910
Deferred rent	58,442	81,005
Land - Office Park	1,252,819	1,252,819
Buildings - Office Park, less accumulated depreciation of $457,655 and $390,068 at December 31, 2013 and 2012, respectively	2,245,788	2,313,375
Land - ECS occupied	350,608	350,608
Buildings - ECS occupied, less accumulated depreciation of $145,403 and $127,884 at December 31, 2013 and 2012, respectively	555,338	572,857
Intangible assets, net	--	243,873
	$ 16,913,851	$ 15,671,229

	2013	2012
Liabilities and Net Assets		
Liabilities		
Accounts payable and accrued expenses	$ 357,462	$ 314,030
Deferred revenue	1,600,829	1,290,501
Custodial account liability	630,284	717,910
Security deposits	30,900	30,987
Deferred compensation	119,329	115,028
Total liabilities	2,738,804	2,468,456
Net assets		
Unrestricted		
General operating	1,170,654	1,046,360
LLC	1,648,741	1,560,103
Custodial	9,377,966	8,661,748
Designated	642,727	636,434
Sections	35,380	45,988
Total unrestricted	12,875,468	11,950,633
Temporarily restricted	424,196	410,627
Permanently restricted	875,383	841,513
Total net assets	14,175,047	13,202,773
	$ 16,913,851	$ 15,671,229

The Notes to Financial Statements are an integral part of these statements.

The Electrochemical Society, Inc. and Subsidiaries
Consolidated Statements of Activities and Changes in Net Assets
Years Ended December 31, 2013 and 2012

	2013				2012			
	Unrestricted	Temporarily restricted	Permanently restricted	Total	Unrestricted	Temporarily restricted	Permanently restricted	Total
Revenues and support								
Publications	$ 2,660,730	$ --	$ --	$ 2,660,730	$ 2,710,514	$ --	$ --	$ 2,710,514
Membership	529,990	--	--	529,990	719,147	--	--	719,147
Constituent programs	63,177	--	--	63,177	71,236	--	--	71,236
Society meetings and activities	2,072,274	--	--	2,072,274	2,558,322	--	--	2,558,322
Investment income	970,276	48,958	--	1,019,234	209,208	59,383	--	268,591
Contributions and grants	47,562	--	33,870	81,432	15,246	--	8,545	23,791
Rental income	468,764	--	--	468,764	512,521	--	--	512,521
Other revenues	14,960	--	--	14,960	15,564	--	--	15,564
Total revenues and support	6,827,733	48,958	33,870	6,910,561	6,811,758	59,383	8,545	6,879,686
Net assets released from restrictions	35,389	(35,389)	--	--	56,003	(56,003)	--	--
Revenues	6,863,122	13,569	33,870	6,910,561	6,867,761	3,380	8,545	6,879,686
Expenses								
Program services								
Publications	2,127,074	--	--	2,127,074	1,688,125	--	--	1,688,125
Membership	183,396	--	--	183,396	105,091	--	--	105,091
Constituent programs	635,591	--	--	635,591	702,046	--	--	702,046
Society meetings and activities	1,719,343	--	--	1,719,343	2,477,434	--	--	2,477,434
Awards, fellowships, and grants	135,908	--	--	135,908	86,582	--	--	86,582
Total program services	4,801,312	--	--	4,801,312	5,059,278	--	--	5,059,278
Supporting services								
General and administrative	923,188	--	--	923,188	865,341	--	--	865,341
Fundraising	27,549	--	--	27,549	--	--	--	--
Rental operations	480,489	--	--	480,489	431,377	--	--	431,377
Total supporting services	1,431,226	--	--	1,431,226	1,296,718	--	--	1,296,718
Total expenses	6,232,538	--	--	6,232,538	6,355,996	--	--	6,355,996
Increase in net assets from operations	630,584	13,569	33,870	678,023	511,765	3,380	8,545	523,690
Non-operating gain								
Net change in fair value of investments	294,251	--	--	294,251	346,418	--	--	346,418
Increase in net assets	924,835	13,569	33,870	972,274	858,183	3,380	8,545	870,108
Net assets - beginning of year	11,950,633	410,627	841,513	13,202,773	11,092,450	407,247	832,968	12,332,665
Net assets - end of year	$ 12,875,468	$ 424,196	$ 875,383	$ 14,175,047	$ 11,950,633	$ 410,627	$ 841,513	$ 13,202,773

The Notes to Financial Statements are an integral part of these statements.

The Electrochemical Society, Inc. and Subsidiaries
Consolidated Statements of Cash Flows
Years Ended December 31, 2013 and 2012

	2013	2012
Cash flows from operating activities		
Change in net assets	$ 972,274	$ 870,108
Adjustments to reconcile change in net assets to net cash provided by operating activities		
Depreciation and amortization	328,979	328,979
Contributions - permanently restricted	(33,870)	(8,545)
Allowance for doubtful accounts	--	(291,902)
Unrealized (gain) on investments	(294,251)	(346,418)
Increase (decrease) in cash from		
Accounts receivable	106,369	187,381
Unconditional promises to give	--	(17,575)
Prepaid expenses, deposits, and other assets	(199,092)	2,569
Deferred rent	22,563	(12,349)
Accounts payable and accrued expenses	43,432	113,652
Deferred revenue	310,328	457,929
Custodial account liability	(87,626)	(3,658)
Security deposits	(87)	(2,500)
Deferred compensation	4,301	80,637
Net cash provided by operating activities	1,173,320	1,358,308
Cash flows from investing activities		
Purchase of investments and custodial account investments	(3,630,371)	(6,667,329)
Proceeds from sale of investments and custodial account investments	2,930,627	5,904,766
Additions to buildings - office park	--	(5,602)
Additions to intangible assets	--	(262,000)
Net cash used in investing activities	(699,744)	(1,030,165)
Cash flows from financing activities		
Investment in permanent endowment	33,870	8,545
Net increase in cash and cash equivalents	507,446	336,688
Cash and cash equivalents, beginning of year	1,255,149	918,461
Cash and cash equivalents, end of year	$ 1,762,595	$ 1,255,149

The Notes to Financial Statements are an integral part of these statements.

1. Organization and Summary of Significant Accounting Policies

Organization

The Electrochemical Society, Inc. (ECS) was founded in 1902 as an international nonprofit, educational organization concerned with a broad range of phenomena relating to electrochemical and solid-state science and technology. ECS was originally named the American Electrochemical Society. Its name was officially changed to The Electrochemical Society, Inc. in 1930 to more accurately reflect its international activities and membership. ECS has more than 8,000 scientists and engineers in over 70 countries worldwide that hold individual memberships, as well as approximately 100 corporations and laboratories that hold corporate membership. ECS's objectives are:

- to advance the theory and practice of electrochemistry, solid-state science, and allied subjects;
- to encourage research and dissemination of knowledge in these fields; and
- to assure the availability of adequate training and education of fundamental and applied scientists and engineers in these fields.

ECS Holdings LLC (LLC) is a single-member limited liability company owned entirely by ECS. It was organized for the purpose of operating, using, developing, improving, renovating, maintaining, managing, leasing, and when applicable, selling, exchanging, or otherwise disposing of real property.

Lithium Batteries Fund Limited Liability Company (LBF) was incorporated in December 2011 for the management of the IMLB custodian funds.

Summary of Significant Accounting Policies

Significant accounting policies followed by the Society in the preparation of the accompanying consolidated financial statements are summarized below:

Principles of Consolidation

The consolidated financial statements include the accounts of The Electrochemical Society, Inc. and its Divisions, Groups and Sections, the LLC, as well as LBF, since the majority of LBF's members are also the Board Committee members of the Society, the Society is considered to have controlling interest in LBF. All intercompany balances and transactions have been eliminated in consolidation.

Method of Accounting

The consolidated financial statements are prepared on the accrual basis of accounting. Revenue, other than contributions, is recognized when earned and expense is recognized when the obligation is incurred.

Financial Statement Presentation

Financial statement presentation follows the recommendations of the accounting guidance provided by "*Not-for-Profit Entities*" which requires the Society to report information regarding its financial position and activities according to three classes of net assets: unrestricted net assets, temporarily restricted net assets, and permanently restricted net assets.

The consolidated financial statements have been prepared to focus on The Electrochemical Society, Inc. and Subsidiary (the Society) as a whole, and to present balances and transactions according to the existence or absence of donor-imposed restrictions. Accordingly, net assets and changes therein are classified as follows:

Unrestricted net assets – net assets not subject to donor-imposed stipulations:

- General operating – funds available for general operations of ECS;
- LLC – represents the funds available for general operations of the LLC;

- Custodial – funds held by ECS for the Divisions, Groups and Sections to be invested and expended as specified by the Divisions, Groups and Sections, and certain funds held by ECS for designated purposes;
- Designated – represents net assets and earnings on those assets designated by ECS and the Divisions to be maintained for a specific purpose or for a specified period of time;
- Sections – funds available for general operations of the Sections.
- Lithium Battery Fund – funds available for general operations of LBF.

Temporarily restricted net assets – net assets subject to donor-imposed stipulations that will be met by actions of ECS and/or by the passage of time. As of December 31, 2013 and 2012, the temporarily restricted net asset balance is primarily to be used for various awards.

Permanently restricted net assets (endowment funds) – net assets subject to donor-imposed stipulations that they be maintained permanently by ECS. Generally, the donors permit ECS to use all or part of the income earned on related investments for various awards. The income earned on these funds is designated as temporarily restricted until they are expended.

Use of Estimates
The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates.

Income Tax Status and Income Taxes
ECS and its Divisions, Groups, and Sections qualify as a tax-exempt organization described under Section 501(c)(3) of the Internal Revenue Code and all of its income, except income generated through the advertising included in its publications, is exempt from Federal income taxes under Section 501(a) of the Code.

As a single-member limited liability company, the LLC is treated as a “disregarded entity” for income tax purposes and, as such, its financial activity is reported in conjunction with the Federal income tax filings of ECS.

LBF is a limited liability company. Therefore, the income or loss is passed through to the members and no provision or liability for federal and state income taxes has been included in the financial statements for the company.

The Society files tax returns in the U.S. federal jurisdiction and New Jersey. The Society has no open years prior to December 31, 2010.

The Society follows the accounting pronouncement that provides guidance on uncertain tax positions. The Society had no unrecognized tax benefits at December 31, 2013 and 2012. In addition, the Society has no income tax related penalties or interest for the periods reported on these financial statements.

Cash and Cash Equivalents
For purposes of the Consolidated Statements of Financial Position and the Consolidated Statements of Cash Flows, the Society considers all highly liquid investments with an initial maturity of three months or less to be cash equivalents.

Accounts Receivable
Accounts receivable consist of amounts owed to the Society primarily for subscriptions of publications. Accounts receivable are recorded at net realizable value. The Society provides for probable losses on accounts receivable using the allowance method. The allowance is determined based on management’s

experience and collection efforts. Balances that remain outstanding after the Society has used reasonable collection efforts are written off. The allowance for doubtful accounts at both December 31, 2013 and 2012 was $3,037.

Investments and Custodial Account Investments
Investments and custodial account investments are stated at fair value which is determined as follows:

- Investments in marketable securities and debt securities are stated at quoted market value;
- Certificates of deposits purchased from banks are stated at original deposit plus accrued interest;
- Certificates of deposits purchased from trading brokers are stated at quoted market value;
- Real estate trust investment is stated at estimated market value;
- Common stock in privately held company, received as a gift, is stated at zero market value, as could best be determined by management.

Investment income and realized and unrealized net gains and losses on investments of permanently restricted net assets are reported as follows:

- as increases or decreases in temporarily restricted net assets if the terms of the gift impose restrictions on the use of the income and/or net gains;
- as increases or decreases in unrestricted net assets in all other cases.

Land and Buildings
Land and buildings are recorded at cost at the date of acquisition or at accumulated construction cost, if constructed. Additions with an original value of $10,000 or more and more than one year of life are capitalized. Buildings are stated primarily at cost less accumulated depreciation. Constructed buildings are depreciated when completed and placed in service. The Society depreciates buildings using straight-line method over the estimated useful lives of the assets, which is generally forty years for buildings and improvements. Maintenance and minor repairs are charged to operations when incurred. Depreciation expense for 2013 and 2012 amounted to $85,106 and $85,105, respectively.

Impairment of Long-Lived Assets
Long-lived assets are reviewed for impairment whenever events or changes in circumstances indicate that the carrying amount may not be recoverable. If the sum for the expected future undiscounted cash flows is less than the carrying amount of the asset, a loss is recognized for the difference between the fair value and carrying fair value of the assets. The Society did not record an impairment charge during the years in question.

Intangible Assets
Intangible assets with respect to community website development are amortized on a straight-line method over a 3-year period. The gross carrying amount, at cost, is $600,620. The related accumulated amortization amounted to $600,620 and $356,747 at December 31, 2013 and 2012, respectively. Amortization expense for the community website development amounted to $243,873 for both the years ended December 31, 2013 and 2012.

Deferred Revenue
Cash received in advance for subsequent years' individual and corporate membership dues, subscriptions, meeting abstracts and proceedings volumes is recorded as deferred revenue.

Compensated Absences
Costs of compensated absences are estimated based upon accrued time and charged to expense as the accrued time is utilized. Accrued compensated absences amounted to $15,522 and $48,680 at December 31, 2013 and 2012, respectively.

Custodial Accounts
The Society holds funds on behalf of other entities as a fiduciary agent for the purpose of symposia. These funds are invested until the point at which they are utilized or returned.

Functional Allocation of Expenses

The cost of providing program and supporting services of the Society have been summarized on a functional basis in the consolidated financial statements. Accordingly, certain costs have been allocated among the program and supporting services benefited.

Expenses are reported in the Consolidated Statements of Activities in functional categories. The Society's primary program services are publications; membership; meetings and activities; and awards, fellowships, and grants. Expenses reported as general and administrative are incurred in support of these primary program services. Fund-raising expenses are disclosed separately.

Unconditional Promises to Give and Support Recognition

Contributions are recognized when the donor makes a promise to give to the Society that is, in substance, unconditional. Unconditional promises to give due in the next year are reflected as current promises to give and are recorded at their net realizable values. Unconditional promises to give due in the subsequent years are reflected as long-term promises to give and are recorded at the present value of their net realizable value, using risk-free interest rates applicable to the years in which the promises are received to discount the amounts.

The Society records contributions and grants as unrestricted, temporarily restricted, or permanently restricted support depending on the existence and/or nature of any donor restrictions. Temporarily restricted net assets are reclassified to unrestricted net assets upon satisfaction of the time or purpose restrictions. However, if a restriction is fulfilled in the same time period in which the contribution or grant is received, the Society reports the support as unrestricted.

Revenue Recognition

Revenues are reported as increases in unrestricted net assets unless the use of the related asset is limited by donor-imposed restrictions. Expenses are reported as decreases in unrestricted net assets.

Gains and losses on investments and other assets or liabilities are reported as increases or decreases in unrestricted net assets unless their use is restricted by explicit donor stipulation or by law.

Advertising

The Society uses advertising to promote its membership, publication, and program activities. The costs of advertising are expensed as incurred. During the years ended December 31, 2013 and 2012, advertising costs totaled $3,172 and $5,359, respectively.

Reclassification

Certain items in the 2012 financial statements have been reclassified to conform to the financial presentation used in 2013. Such reclassification had no effect on changes in net assets.

2. Unconditional Promises to Give

As of December 31, unconditional promises consist of the following:

	2013	2012
Receivables in less than one year	$ 10,000	$ 5,000
Receivables in one to five years	10,000	15,000
Total promises receivable	20,000	20,000
Less discounts to net present value	(2,425)	(2,425)
Net unconditional promises to give	$ 17,575	$ 17,575

3. Fair Value of Investments

The Society follows the accounting guidance provided by "*Fair Value Measurements and Disclosures"* which defines fair value, establishes a framework for measuring fair value under generally accepted accounting principles and enhances disclosure about fair value measurements. Fair value is defined as the exchange price that would be received for an asset or paid to transfer a liability (an exit price) in the principal or most advantageous market for the asset or liability in an orderly transaction between market participants on the measurement date. Valuation techniques used to measure fair value must maximize the use of observable inputs and minimize the use of unobservable outputs. The standard describes a fair value hierarchy based on three levels of inputs, of which the first two are considered observable and the last unobservable.

These levels are:

- Level 1 – Valuation is based upon quoted prices for identical instruments traded in active markets.
- Level 2 – Valuation is based upon quoted prices for similar instruments in active markets, quoted prices for identical or similar instruments in markets that are not active, and model-based valuation techniques for which all significant assumptions are observable in the market.
- Level 3 – Valuation is generated from model-based techniques that use significant assumptions not observable in the market. These unobservable assumptions reflect one's estimates of assumptions that a market participant would use in pricing the asset or liability. Valuation techniques include use of option pricing models, discounted cash flow models and similar techniques.

The following is a description of the valuation methodologies used for the Society's investments measured at fair value. There have been no changes in the methodologies used for periods presented in these financial statements.

Cash and money market funds: Shares of cash and money market funds are valued at quoted market prices at the Society's fiscal year-end.

Stocks and mutual funds: Shares of stocks and mutual funds are valued at quoted market prices at the Society's fiscal year-end.

Certificates of deposit: Savings certificates are valued with original deposited amount and interest earned during the period of deposit.

Corporate and US bonds: Valued at the closing price reported on the active market on which the individual securities or bonds are traded.

Real estate trusts: Investment in real estate trusts is valued at the offering price reported on the limited secondary market on which the real estate trusts investments are traded.

The following table represents the Society's fair value hierarchy for its financial assets and liabilities measured at fair value on a recurring basis as of December 31, 2013:

	Level 1	Level 2	Level 3	Total
Cash and cash equivalents	$ 1,762,595	$ --	$ --	$ 1,762,595
Money market funds	1,855	--	--	1,855
Stocks and mutual funds				
Consumer discretionary	432,690	--	--	432,690
Consumer staples	1,332,878	--	--	1,332,878
Energy	527,272	--	--	527,272
Financials	342,801	--	--	342,801
Healthcare	361,078	--	--	361,078
Industrials	1,495,514	--	--	1,495,514

Information technology	908,895	--	--	908,895
Materials	270,000	--	--	270,000
Telecommunication services	328,380	--	--	328,380
Utilities	409,777	--	--	409,777
Index fund	14,423	--	--	14,423
Fixed income funds	445,012	--	--	
Certificates of deposit	--	316,195	--	316,195
Corporate and US bonds				
Treasury/Agency	--	853,111	--	853,111
AAA	--	153,805	--	153,805
AA	--	486,378	--	486,378
A	--	756,195	--	756,195
BBB	--	233,036	--	233,036
Other rating	--	115,260	--	115,260
Real estate trusts	--	--	513,714	513,714
Total	$ 8,633,170	$ 2,913,980	$ 513,714	$12,060,864

In addition, the Society owns common stock in a privately held company that was received as a gift during 2011. Management has determined that this stock should be classified as a Level 3 investment with a fair market value of $ -0- at December 31, 2013 and 2012.

The following table represents the Society's fair value hierarchy for its financial assets and liabilities measured at fair value on a recurring basis as of December 31, 2012:

	Level 1	Level 2	Level 3	Total
Cash and cash equivalents	$ 1,255,149	$ --	$ --	$ 1,255,149
Money market funds	3,527	--	--	3,527
Stocks and mutual funds				
Consumer discretionary	356,816	--	--	356,816
Consumer staples	1,120,175	--	--	1,120,175
Energy	917,915	--	--	917,915
Financials	230,739	--	--	230,739
Healthcare	801,555	--	--	801,555
Industrials	585,942	--	--	585,942
Information technology	421,546	--	--	421,546
Materials	91,364	--	--	91,364
Telecommunication services	277,535	--	--	277,535
Utilities	335,212	--	--	335,212
Fixed income funds	347,477	--	--	347,477
Certificates of deposit	--	515,605	--	515,605
Corporate and US bonds				
Treasury/Agency	--	791,754	--	791,754
AAA	--	298,801	--	298,801
AA	--	516,438	--	516,438
A	--	691,705	--	691,705
BBB	--	306,120	--	306,120
Other rating	--	126,455	--	126,455
Real estate trusts	--	--	567,593	567,593
Total	$ 6,744,952	$ 3,246,878	$ 567,593	$10,559,423

The following is a summary of activity for the years ended December 31, 2013 and 2012 for assets measured at fair value based upon unobservable measure criteria:

	Real Estate Trust Investment
Balance, January 1, 2012	$ 300,000
Purchases	250,000
Investment income	17,593
Balance, December 31, 2012	$ 567,593
Investment income (loss)	(53,879)
Balance, December 31, 2013	$ 513,714

	Fair Value at December 31, 2013	**2012**	**Unfunded Commitment**	**Redemption Frequency**	**Redemption Notice Period**
Real estate investment trusts					
Chamber Street Properties	$ 249,299	$ 317,593	$ --	N/A	N/A
CPA:17 – Global	264,415	250,000	--	N/A	N/A
	$ 513,714	$ 567,593	$ --		

The real estate investment trusts ("REIT") primarily invest in commercial properties in U.S. or foreign countries. The fair values of these trusts have been estimated using the net asset value of the Society's ownership interest. These investments cannot be redeemed prior to trusts' liquidation. However, the trusts have share redemption programs to provide eligible shareholders with limited, interim liquidity by enabling them to sell shares back to the trusts prior to a liquidity event. The amount received from the redemption may depend on the numbers of years the shares are held. It is estimated that the underlying assets of the fund will be liquidated over next 7 years.

4. Investments and Custodial Account Investments

Investments and custodial account investments are reported at fair market value. Cost, market value and unrealized appreciation (depreciation) at December 31, 2013 are summarized as follows:

	Cost	**Fair Market Value**	**Unrealized Appreciation**
Money market funds	$ 1,855	$ 1,855	$ --
Stocks and mutual funds	6,019,011	6,868,720	849,709
Certificates of deposit	316,195	316,195	--
Corporate and US bonds	2,417,263	2,597,785	180,522
Real estate trust	550,000	513,714	(36,286)
Total	$ 9,304,324	$ 10,298,269	$ 993,945

In addition, the Society owns common stock in a privately held company that was received as a gift during 2011. Management has determined that this stock has both a cost and fair market value of $ -0- upon receipt of the gift and at December 31, 2013 and 2012. Therefore, there is no unrealized appreciation as related to this investment during 2013 and 2012.

Cost, market value and unrealized appreciation (depreciation) at December 31, 2012 are summarized as follows:

	Cost	Fair Market Value	Unrealized Appreciation
Money market funds	$ 3,527	$ 3,527	$ --
Stocks and mutual funds	5,185,619	5,486,276	300,657
Certificates of deposit	515,605	515,605	--
Corporate and US bonds	2,416,257	2,731,273	315,016
Real estate trust	550,000	567,593	17,593
Total	$ 8,671,008	$ 9,304,274	$ 633,266

At December 31, 2013 and 2012, $875,383 and $841,513 of the investments are donor designated for long-term purposes.

The following schedule summarizes the investment return for the year ended December 31, 2013:

	Unrestricted	Temporarily Restricted	Total
Investment income	$ 970,276	$ 48,958	$ 1,019,234
Unrealized gains	294,251	--	294,251
Total	$ 1,264,527	$ 48,958	$ 1,313,485

The following schedule summarizes the investment return for the year ended December 31, 2012:

	Unrestricted	Temporarily Restricted	Total
Investment income	$ 209,208	$ 59,383	$ 268,591
Unrealized gains	346,418	--	346,418
Total	$ 555,626	$ 59,383	$ 615,009

5. Deferred Compensation

In accordance with the terms of a supplemental retirement agreement, the Society has agreed to pay a former employee certain compensation benefits. The amounts reported included the actuarial value of the future benefits less current payments. As of December 31, 2013 and 2012, the Society estimated this liability to be $53,927 and $63,435, respectively.

The Society has a 457(b) non-qualified tax-deferred compensation plan covering the Executive Director of the Society. Funds are held by the Society for the exclusive benefit of the plan participant. For the years ended December 31, 2013 and 2012, the Society had made contributions of $16,207 and $15,026, respectively.

6. Endowment Funds

The Society's endowment funds consist of several funds established to fund awards, as well as an educational endowment fund, publications endowment fund and an ECS endowment fund. The endowment funds include both donor-restricted funds and funds designated by the Board of Directors to function as endowments. As required by generally accepted accounting principles (GAAP), net assets associated with endowment funds are classified based on the existence or absence of donor-imposed restrictions.

The Society's policy requires the preservation of the fair value of the original gift as of the gift date of the donor-restricted endowment funds absent explicit donor stipulations to the contrary. As a result, the Society classifies as permanently restricted net assets the original value of gifts donated to the permanent endowment and the original value of subsequent gifts to the permanent endowment. The remaining portion of the donor-restricted endowment fund that is not classified in permanently restricted net assets is classified as temporarily restricted net assets until those amounts are appropriated for expenditure by the Society. The Society considers the following factors in making a determination to appropriate or accumulate donor-restricted endowment funds:

- The duration and preservation of the fund
- The purposes of the Society and the donor-restricted endowment fund
- General economic conditions
- The possible effect of inflation and deflation
- The expected total return from income and appreciation of investments
- Other resources of the Society
- The investment policies of the Society

The endowment net asset composition by type of fund at December 31, 2013 is as follows:

	Unrestricted	Temporarily Restricted	Permanently Restricted	Total
Donor-restricted	$ --	$ 405,214	$ 875,383	$ 1,280,597
Board-designated endowment	6,472,891	--	--	6,472,891
Total	$ 6,472,891	$ 405,214	$ 875,383	$ 7,753,488

The endowment net asset composition by type of fund at December 31, 2012 is as follows:

	Unrestricted	Temporarily Restricted	Permanently Restricted	Total
Donor-restricted	$ --	$ 381,425	$ 841,513	$ 1,222,938
Board-designated endowment	5,994,435	--	--	5,994,435
Total	$ 5,994,435	$ 381,425	$ 841,513	$ 7,217,373

Changes in endowment net assets for the year ended December 31, 2013 are as follows:

	Unrestricted	Temporarily Restricted	Permanently Restricted	Total
Endowment net assets, beginning of year	$ 5,994,435	$ 381,425	$ 841,513	$ 7,217,373
Investment return-income	206,124	48,958	--	255,082
Contributions	34,920	--	1,500	36,420
Transfer	300,000	--	--	300,000
Award income (expenditures)	(21,014)	(25,169)	32,370	(13,813)
Other expenditures	(41,574)	--	--	(41,574)
Endowment assets, end of year	$ 6,472,891	$ 405,214	$ 875,383	$ 7,753,488

Changes in endowment net assets for the year ended December 31, 2012 are as follows:

	Unrestricted	Temporarily Restricted	Permanently Restricted	Total
Endowment net assets, beginning of year	$ 5,961,014	$ 377,775	$ 832,968	$ 7,171,757
Investment return-income	133,649	54,648	--	188,297
Contributions	11,098	--	8,545	19,643
Award expenditures	(11,289)	(50,998)	--	(62,287)
Other expenditures	(100,037)	--	--	(100,037)
Endowment assets, end of year	$ 5,994,435	$ 381,425	$ 841,513	$ 7,217,373

At December 31, 2013, the Society's Board of Directors approved a designation of $300,000 in net assets from the General Operating Fund to the Publications Endowment Fund. Earnings from the Publications Endowment Fund, at an annual rate of six percent, are designated to support a portion of the editorial, production and distribution costs of the Society's publications.

The Society has adopted investment policies for endowment assets that attempt to provide a predictable stream of funding to programs supported by its endowment while seeking to maintain the purchasing power of the endowment assets. Endowment assets include those assets of donor-restricted funds that the Society must hold in perpetuity or for a donor-specified period as well as board designated funds. Under this policy, as approved by the Board of Directors, the endowment assets are invested in a manner that is intended to produce results which will provide current usable income of 5% of portfolio value and which will increase funds to help offset inflation. The Society expects its endowment funds, over time, to provide a rate of return which should exceed expenses by at least 1%.

To satisfy its long term rate-of-return objectives, the Society relies on a total return strategy in which investment returns are achieved through both capital appreciation (realized and unrealized) and current yield (interest and dividends). The Society targets a diversified asset allocation to achieve its long-term return objectives within prudent risk constraints.

The Society has a policy of appropriating for distribution each year an amount necessary to fulfill the donor's award expense. Over the long-term, the Society expects the current spending policy to allow its endowment to grow at approximately 1% annually. This is consistent with the Society's objective to maintain the purchasing power of the endowment assets held in perpetuity or for a specified term as well as to provide additional real growth through new gifts and investment return.

7. Restrictions on Net Assets

Temporarily Restricted Net Assets
Temporarily restricted net assets of $424,196 and $410,627 are available primarily for awards at December 31, 2013 and 2012, respectively.

Temporarily restricted net assets were released from donor restrictions during 2013 and 2012 by incurring expenses of $35,389 and $56,003, respectively.

Permanently Restricted Net Assets
Permanently restricted net assets of $875,383 and $841,513 are restricted for awards at December 31, 2013 and 2012, respectively.

8. Pension Plan

The Society has a non-contributory defined contribution pension plan covering substantially all employees under arrangements with Teachers Insurance and Annuity Association (TIAA) and a tax-deferred annuity plan with the College Retirement Equities Fund (CREF) which plan provides for the purchase of annuities for employees. The cost of the plan for the years ended December 31, 2013 and 2012 was $124,105 and $124,356, respectively.

9. Leases

ECS Holdings LLC leases office space to various tenants under operating lease arrangements expiring through 2019. Rental income under the aforementioned leases totaled $468,764 and $512,521 (net of Society rentals of $90,117 and $78,115) for the years ended December 31, 2013 and 2012, respectively.

Future minimum lease rentals for non-cancelable operating leases as of December 31, 2013 are as follows:

Year Ending December 31,	Amount
2014	$ 407,198
2015	207,375
2016	199,515
2017	180,844
2018	158,205
Thereafter	290,337
Total	$ 1,443,474

10. Property Management Agreement

The Society has entered into an agreement to retain the independent services of an asset manager for the purpose of securing and overseeing property management services, financial oversight, budgeting, and information, and to generally act on behalf of the Society to assure that the premises are operated and maintained in good condition.

Should the Society terminate the agreement, except in the event of willful malfeasance or gross negligence of the asset manager, the Society is obligated to pay the manager a termination fee. This fee is the greater of (1) 1½% of the appraised value of the premises valued as if the Society were paying the average rental per square foot; or (2) an amount calculated by subtracting the gross purchase of the premises from such appraised value, and multiplying the result by 1% for each full year the asset manager has operated the premises, however not to exceed 10%. Management currently has no intention to terminate the agreement.

11. Operating Lease Commitments

The Society has non-cancelable operating leases for office equipment that expire through 2017. Monthly rent expense was approximately $1,800. Rental expense for the years ended December 31, 2013 and 2012 was $21,517 and $21,155, respectively.

Future minimum lease payments for non-cancelable operating leases as of December 31, 2013 are as follows:

Year Ending December 31,	**Amount**
2014	$ 10,988
2015	10,988
2016	6,362
2017	868
Total	$ 29,206

12. Concentration of Credit Risk

The Society maintains cash balances with major financial institutions. At various times during the year, these balances may exceed federally insured limits. The Society has not experienced any losses in such accounts. Management monitors the soundness of these institutions and considers the Society's risk negligible.

The Society also invests funds in professionally managed mutual funds that contain various types of marketable and debt securities. Such investments are exposed to various risks, such as fluctuations in market value and credit risks. Thus, it is at least reasonably possible that changes in the near term could materially affect investment balances and the amounts reported in the consolidated financial statements.

13. Subsequent Events

The Society has evaluated subsequent events occurring after the balance sheet through May 23, 2014, which is the date the financial statements were available to be issued. Based on this evaluation, the Society has determined that no subsequent events have occurred, which require disclosure in the financial statements.

SUPPLEMENTARY INFORMATION

The Electrochemical Society, Inc. and Subsidiaries **Schedule 1**
Consolidating Schedule of Financial Position
December 31, 2013

Assets	ECS: ECS (excluding sections)	ECS: Sections	LLC	Lithium Battery Fund, LLC	Consolidating and eliminating entries	Total
Cash and cash equivalents	$ 1,596,818	$ 35,380	$ 130,397	$ --	$ --	$ 1,762,595
Accounts receivable, net	42,616	--	--	396,830	(396,830)	42,616
Unconditional promises to give, net	17,575	--	--	--	--	17,575
Prepaid expenses, deposits, and other assets	329,801	--	--	--	--	329,801
Investments	9,667,985	--	--	--	--	9,667,985
Investment in subsidiary	2,913,751	--	--	--	(2,913,751)	--
Custodial account investments	630,284	--	--	--	--	630,284
Deferred rent	--	--	58,442	--	--	58,442
Land - Office Park	--	--	1,252,819	--	--	1,252,819
Buildings - Office Park, net	--	--	2,245,788	--	--	2,245,788
Land - ECS occupied	--	--	350,608	--	--	350,608
Building - ECS occupied, net	--	--	555,338	--	--	555,338
Intangible assets, net	--	--	--	--	--	--
	$ 15,198,830	$ 35,380	$ 4,593,392	$ 396,830	$ (3,310,581)	$ 16,913,851
Liabilities and Net Assets						
Liabilities						
Accounts payable and accrued expenses	$ 357,462	$ --	$ --	$ --	$ --	$ 357,462
Deferred revenue	1,600,829	--	--	--	--	1,600,829
Custodial account liability	630,284	--	--	--	--	630,284
Security deposits	--	--	30,900	--	--	30,900
Deferred compensation	119,329	--	--	--	--	119,329
Total liabilities	2,707,904	--	30,900	--	--	2,738,804
Net assets						
Unrestricted	11,191,347	35,380	4,562,492	396,830	(3,310,581)	12,875,468
Temporarily restricted	424,196	--	--	--	--	424,196
Permanently restricted	875,383	--	--	--	--	875,383
Total net assets	12,490,926	35,380	4,562,492	396,830	(3,310,581)	14,175,047
	$ 15,198,830	$ 35,380	$ 4,593,392	$ 396,830	$ (3,310,581)	$ 16,913,851

See accompanying independent auditors' report.

The Electrochemical Society, Inc. and Subsidiary
Consolidating Schedule of Unrestricted Activities
Year Ended December 31, 2013

Schedule 2

	ECS	LLC	Consolidating and eliminating entries	Total
Revenues and support				
Publications	$ 2,660,730	$ --	$ --	$ 2,660,730
Membership	529,990	--	--	529,990
Constituent programs	63,177	--	--	63,177
Society meetings and activities	2,072,274	--	--	2,072,274
Investment income	970,276	--	--	970,276
Contributions and grants	47,562	--	--	47,562
Rental income	--	558,881	(90,117)	468,764
Other revenues	4,714	10,246	--	14,960
Total revenues and support	6,348,723	569,127	(90,117)	6,827,733
Expenses				
Program Services				
Publications	2,127,074	--	--	2,127,074
Membership	183,396	--	--	183,396
Constituent programs	635,591	--	--	635,591
Society meetings and activities	1,719,343	--	--	1,719,343
Awards, fellowships, and grants	100,519	--	--	100,519
Supporting services				
General and administrative				
Operating	888,924	--	--	888,924
Society office building	123,778	--	(90,117)	33,661
Other expenses	603	--	--	603
Fundraising	27,549	--	--	27,549
Rental operations	--	480,489	--	480,489
Total expenses	5,806,777	480,489	(90,117)	6,197,149
Increase in net assets from operations	541,946	88,638	--	630,584
Nonoperating gain				
Net change in fair value of investments	294,251	--	--	294,251
Increase in net assets	836,197	88,638	--	924,835
Net assets - beginning of year	10,390,530	4,635,856	(3,075,753)	11,950,633
Member distributions	--	(162,002)	162,002	--
Net assets - end of year	$ 11,226,727	$ 4,562,492	$ (2,913,751)	$ 12,875,468

See accompanying independent auditors' report.

The Electrochemical Society, Inc. and Subsidiaries **Schedule 3**
Schedule of Changes in Society Unrestricted Net Assets
Year Ended December 31, 2013

	ECS				
	General operating	**Custodial and designated**	**Sections**	**Consolidating and eliminating entries**	**Total**
Revenues and support					
Publications	$ 2,610,675	$ 50,055	$ --	$ --	$ 2,660,730
Membership	490,638	39,352	--	--	529,990
Constituent programs	63,177	--	--	--	63,177
Society meetings and activities	2,000,236	72,038	--	--	2,072,274
Investment income	707,133	262,744	399	--	970,276
Contributions and grants	12,532	35,030	--	--	47,562
Other revenues	4,529	--	185	--	4,714
Total revenues and support	5,888,920	459,219	584	--	6,348,723
Expenses					
Program Services					
Publications	2,127,074	--	--	--	2,127,074
Membership	136,519	46,877	--	--	183,396
Constituent programs	635,591	--	--	--	635,591
Society meetings and activities	1,522,418	186,336	10,589	--	1,719,343
Awards, fellowships, and grants	2,773	97,746	--	--	100,519
Supporting services					
General and administrative					
Operating	888,924	--	--	--	888,924
Society office building	123,778	--	--	--	123,778
Other expenses	--	--	603	--	603
Fundraising	27,549	--	--	--	27,549
Total expenses	5,464,626	330,959	11,192	--	5,806,777
Increase (decrease) in net assets from operations	424,294	128,260	(10,608)	--	541,946
Nonoperating gain					
Net change in fair value of investments	--	294,251	--	--	294,251
Increase (decrease) in net assets	424,294	422,511	(10,608)	--	836,197
Net assets - beginning of year	1,046,360	9,298,182	45,988	--	10,390,530
Board designation	(300,000)	300,000	--	--	--
Net assets - end of year	$ 1,170,654	$ 10,020,693	$ 35,380	$ --	$ 11,226,727

See accompanying independent auditors' report.

The Electrochemical Society, Inc. and Subsidiaries **Schedule 4**
Consolidated Schedule of Changes in Net Assets
Year Ended December 31, 2013

	Award Balances									
	Unrestricted – Designated			Temporarily restricted			Permanently restricted			
	Balance as of January 1, 2013	Increase (decrease) in net assets	Balance as of December 31, 2013	Balance as of January 1, 2013	Increase (decrease) in net assets	Balance as of December 31, 2013	Balance as of January 1, 2013	Increase (decrease) in net assets	Balance as of December 31, 2013	Balances as of December 31, 2013
Unrestricted – General operating	$ 1,046,360	$ 124,294	$ 1,170,654	$ --	$ --	$ --	$ --	$ --	$ --	$ 1,170,654
Unrestricted – LLC	$ 1,560,103	$ 88,638	$ 1,648,741	$ --	$ --	$ --	$ --	$ --	$ --	$ 1,648,741
Unrestricted – Custodial										
Society Reserve	$ 1,932,188	$ --	$ 1,932,188	$ --	$ --	$ --	$ --	$ --	$ --	$ 1,932,188
Education Endowment Fund	501,001	(816)	500,185	--	--	--	--	--	--	500,185
Publications Endowment Fund	2,339,984	449,551	2,789,535	--	--	--	--	--	--	2,789,535
ECS Endowment	2,396,842	23,968	2,420,810	--	--	--	--	--	--	2,420,810
Society Headquarters	247,986	7,079	255,065	--	--	--	--	--	--	255,065
ECS Charitable Gift Annuity	120,174	(540)	119,634	--	--	--	--	--	--	119,634
Battery Division	77,316	(14,635)	62,681	--	--	--	--	--	--	62,681
Corrosion Division	58,510	631	59,141	--	--	--	--	--	--	59,141
Dielectric Science & Technology Division	72,452	7,167	79,619	--	--	--	--	--	--	79,619
Electrodeposition Division	64,508	(1,644)	62,864	--	--	--	--	--	--	62,864
Electronics & Photonics Division	98,370	(14,062)	84,308	--	--	--	--	--	--	84,308
Energy Technology Division	89,710	(14,172)	75,538	--	--	--	--	--	--	75,538
Fullerenes Division	39,496	4,515	44,011	--	--	--	--	--	--	44,011
High Temperature Materials Division	104,550	(9,343)	95,207	--	--	--	--	--	--	95,207
Industrial Electrochemistry & Electrochemical Engr Division	50,585	(7,626)	42,959	--	--	--	--	--	--	42,959
Luminescence & Display Materials Division	16,824	2,883	19,707	--	--	--	--	--	--	19,707
Organic & Biological Electrochemistry Division	30,059	7,277	37,336	--	--	--	--	--	--	37,336
Physical & Analytical Electrochemistry Division	131,285	(25,448)	105,837	--	--	--	--	--	--	105,837
Sensor Division	89,125	(9,334)	79,791	--	--	--	--	--	--	79,791
Arizona Section	664	27	691	--	--	--	--	--	--	691
Brazilian Section	3,122	303	3,425	--	--	--	--	--	--	3,425
Canadia Section	26,226	166	26,392	--	--	--	--	--	--	26,392
Chicago Section	18,235	1,433	19,668	--	--	--	--	--	--	19,668
China Section	12	--	12	--	--	--	--	--	--	12
Cleveland Section	19,664	(1,200)	18,464	--	--	--	--	--	--	18,464
Detroit Section	6,362	997	7,359	--	--	--	--	--	--	7,359
European Section	64,589	400	64,989	--	--	--	--	--	--	64,989
Georgia Section	5,759	705	6,464	--	--	--	--	--	--	6,464
India Section	935	145	1,080	--	--	--	--	--	--	1,080
Israel Section	481	104	585	--	--	--	--	--	--	585
Japan Section	14,096	6,238	20,334	--	--	--	--	--	--	20,334
Korea Section	2,416	722	3,138	--	--	--	--	--	--	3,138
Mexico Section	1,445	366	1,811	--	--	--	--	--	--	1,811
National Capital Section	23,848	1,756	25,604	--	--	--	--	--	--	25,604
New England Sectioin	4,386	2,487	6,873	--	--	--	--	--	--	6,873
Pittsburgh Section	1,855	339	2,194	--	--	--	--	--	--	2,194
San Francisco Section	21,665	1,915	23,580	--	--	--	--	--	--	23,580
Taiwan Section	--	30	30	--	--	--	--	--	--	30
Texas Section	10,293	1,541	11,834	--	--	--	--	--	--	11,834
Twin Cities Section	590	416	1,006	--	--	--	--	--	--	1,006
Solid Oxide Fuel Cells Symposium	30,748	(2,374)	28,374	--	--	--	--	--	--	28,374
Society Reserve – Net Unrealized Gain/Loss	(56,608)	294,251	237,643	--	--	--	--	--	--	237,643
Total custodial	$ 8,661,748	$ 716,218	$ 9,377,966	$ --	$ --	$ --	$ --	$ --	$ --	$ 9,377,966
Unrestricted – Sections										
Japan	$ 16,364	$ (5,243)	$ 11,121	$ --	$ --	$ --	$ --	$ --	$ --	$ 11,121
San Francisco	4,398	(716)	3,682	--	--	--	--	--	--	3,682
Twin Cities	25,226	(4,649)	20,577	--	--	--	--	--	--	20,577
Total sections	$ 45,988	$ (10,608)	$ 35,380	$ --	$ --	$ --	$ --	$ --	$ --	$ 35,380
Awards and Symposia										
Edward Goodrich Acheson Award	$ --	$ --	$ --	$ 168,177	$ 8,045	$ 176,222	$ 100,000	$ --	$ 100,000	$ 276,222
Vittorio de Nora Award	--	--	--	54,865	5,846	60,711	140,000	--	140,000	200,711
Olin Palladium Award	58,015	--	58,015	43,167	(1,501)	41,666	50,000	--	50,000	149,681
Gordon E. Moore SSS&T Award	--	--	--	23,341	(1,329)	22,012	186,100	--	186,100	208,112
Carl Wagner Memorial Award	--	--	--	53,374	150	53,524	19,100	--	19,100	72,624
Henry B. Linford Award	68,182	--	68,182	(474)	2,331	1,857	10,000	--	10,000	80,039
Edward Westom Fellowship	69,000	(2,185)	66,815	1,380	--	1,380	--	--	--	68,195
David C. Grahame Award	10,442	5,708	16,150	--	--	--	--	--	--	16,150
Norman Hackerman Young Authors' Award	--	--	--	(1,245)	(368)	(1,613)	94,916	40	94,956	93,343
Allen J. Bard Award	--	--	--	--	619	619	--	30,975	30,975	31,594
Max Bredig Award	7,607	10,504	18,111	--	--	--	--	--	--	18,111
HTM Div. Achievement Award	30,530	201	30,731	--	--	--	--	--	--	30,731
H.H. Dow Memorial Student Award	19,239	(2,290)	16,949	--	--	--	--	--	--	16,949
Sensor Div. Achievement Award	5,313	213	5,526	--	--	--	--	--	--	5,526
Manuel M. Baizer Award	25,376	1,015	26,391	--	--	--	--	--	--	26,391
Thomas D. Callinan Award	30,436	(302)	30,134	--	--	--	--	--	--	30,134
Daniel Cubicciotti Student Award	82,436	(572)	81,864	--	--	--	--	--	--	81,864
Morris Cohen Student Award	39,330	(467)	38,863	--	--	--	--	--	--	38,863
H. H. Uhlig Award	37,920	(13)	37,907	--	--	--	--	--	--	37,907
Electrodeposition Division Research Award	44,300	1,772	46,072	--	--	--	--	--	--	46,072
New Electrochemistry Technology Award	31,826	1,273	33,099	--	--	--	--	--	--	33,099
Heinz Gerischer Award	15,482	(3,564)	11,918	--	--	--	--	--	--	11,918
Charles W. Tobias Young Investigator Award	--	--	--	8,907	3,929	12,836	122,060	--	122,060	134,896
H.H. Uhlig Summer Fellowship	61,000	(5,000)	56,000	5,253	5,994	11,247	53,622	--	53,622	120,869
Srinivasan Young Investigator Award	--	--	--	(417)	(1,419)	(1,836)	28,010	1,355	29,365	27,529
Richard Smalley Research Award	--	--	--	22,729	889	23,618	25,000	--	25,000	48,618
SES Research Young Investigator Award	--	--	--	2,305	533	2,838	11,025	--	11,025	13,863
Georgia Section Outstanding Student Award	--	--	--	63	70	133	1,680	1,500	3,180	3,313
Dokiya Symposia	--	--	--	17,310	(10,220)	7,090	--	--	--	7,090
Charitable Gift Annuity	--	--	--	11,892	--	11,892	--	--	--	11,892
Totals	$ 636,434	$ 6,293	$ 642,727	$ 410,627	$ 13,569	$ 424,196	$ 841,513	$ 33,870	$ 875,383	$ 1,942,306

See accompanying independent auditors' report.